



United States Department of State

*Washington, D.C. 20520*

December 15, 2017

Case No. F-2016-01568

Segment: PA/EX-0011,0012,

L/PD-0001, 0002

Arthur Spitzer, Esq.  
American Civil Liberties Union of the Nation's Capital  
4301 Pennsylvania Avenue, NW  
Suite 434  
Washington, DC 20008

Dear Mr. Spitzer:

I refer to our letter dated October 16, 2017, regarding the release of certain Department of State records under the Freedom of Information Act ("FOIA") 5 U.S.C. § 552.

The review of 374 documents responsive to your request has been completed and we have determined that 2 documents may be released in full, 213 documents may be released in part, and 159 documents must be withheld in full pursuant to FOIA Exemptions 5 and 6, 5 U.S.C. §§ (b)(5) and (b)(6).

An enclosure explains the FOIA exemptions and other grounds for withholding material. Where we have made excisions, the applicable exemptions are marked on each document. In some cases, two or more exemptions may apply to the same document. All non-exempt material that is reasonably segregable from the exempt material has been released. All released material is enclosed.

We will keep you informed as your case progresses. If you have any questions, please contact DOJ Trial Attorney Ryan Parker at 202-514-4336 or [ryan.parker@usdoj.gov](mailto:ryan.parker@usdoj.gov).

Sincerely,

A handwritten signature in cursive script that reads "Susan P. Weinman for".

Eric F. Stein, Director  
Office of Information Programs and Services

Enclosures:  
As stated.

The Freedom of Information Act (5 USC 552)

FOIA Exemptions

- (b)(1) Information specifically authorized by an executive order to be kept secret in the interest of national defense or foreign policy. Executive Order 13526 includes the following classification categories:
- 1.4(a) Military plans, systems, or operations
  - 1.4(b) Foreign government information
  - 1.4(c) Intelligence activities, sources or methods, or cryptology
  - 1.4(d) Foreign relations or foreign activities of the US, including confidential sources
  - 1.4(e) Scientific, technological, or economic matters relating to national security, including defense against transnational terrorism
  - 1.4(f) U.S. Government programs for safeguarding nuclear materials or facilities
  - 1.4(g) Vulnerabilities or capabilities of systems, installations, infrastructures, projects, plans, or protection services relating to US national security, including defense against transnational terrorism
  - 1.4(h) Weapons of mass destruction
- (b)(2) Related solely to the internal personnel rules and practices of an agency
- (b)(3) Specifically exempted from disclosure by statute (other than 5 USC 552), for example:
- |                |   |
|----------------|---|
| ARMSEXP        | Arms Export Control Act, 50a USC 2411(c)                    |
| CIA PERS/ORG   | Central Intelligence Agency Act of 1949, 50 USC 403(g)      |
| EXPORT CONTROL | Export Administration Act of 1979, 50 USC App. Sec. 2411(c) |
| FS ACT         | Foreign Service Act of 1980, 22 USC 4004                    |
| INA            | Immigration and Nationality Act, 8 USC 1202(f), Sec. 222(f) |
| IRAN           | Iran Claims Settlement Act, Public Law 99-99, Sec. 505      |
- (b)(4) Trade secrets and confidential commercial or financial information
- (b)(5) Interagency or intra-agency communications forming part of the deliberative process, attorney-client privilege, or attorney work product
- (b)(6) Personal privacy information
- (b)(7) Law enforcement information whose disclosure would:
- (A) interfere with enforcement proceedings
  - (B) deprive a person of a fair trial
  - (C) constitute an unwarranted invasion of personal privacy
  - (D) disclose confidential sources
  - (E) disclose investigation techniques
  - (F) endanger life or physical safety of an individual
- (b)(8) Prepared by or for a government agency regulating or supervising financial institutions
- (b)(9) Geological and geophysical information and data, including maps, concerning wells

Other Grounds for Withholding

NR Material not responsive to a FOIA request excised with the agreement of the requester

RELEASE IN FULL

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox <pareviews@state.gov>  
**Sent:** Friday, August 05, 2016 6:51 AM  
**To:** PA Reviews Mailbox  
**Subject:** (\* Cuba \*)



## Clearance Request

Request Date: 8/5/2016  
Request Title: (\* Cuba \*)  
Contact Name: Press: WHA

You have been selected by the PA Reviews Mailbox to provide input to whether this Request is **CLEARED OR DENIED**

[View Request](#)



RELEASE IN PART  
B6

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Monday, June 06, 2016 3:42 PM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Review Status: POE For Organizations With A Repetitive Building Type

B6

Dear

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed are yours alone and not necessarily those of the U.S. Government.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Tuesday, June 07, 2016 8:11 AM  
**To:** Maier, Christina A  
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review - NONCOMBATANT EVACUATION OPERATIONS: DEPARTMENT OF STATE'S LESSONS LEARNED PROGRAM  
**Attachments:** .docx

B6

Christy:

Attached is an 72-page thesis for the U.S. Army Command and General Staff College entitled "*NONCOMBATANT EVACUATION OPERATIONS: DEPARTMENT OF STATE'S LESSONS LEARNED PROGRAM*", written in private capacity by  currently assigned to FSI/AFSC. We would appreciate hearing from you by **COB Thursday, June 16**. Please see Mr.  responses to the standard questions below for additional details.

**QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED**

What is the author's first and last name, current job title and office symbol?

- DS Employee currently assigned to FSI/AFSC

Is the author writing in his/her private capacity or official capacity?

- Private capacity.

Will the author receive remuneration outside of his/her Department of State salary for the article?

- No

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

- Yes, The opinions and conclusions expressed herein are those of the student author and do not necessarily represent the views of the U.S. Army Command and General Staff College or any other governmental agency. (References to this study should include the foregoing statement.)

Who else has cleared (your supervisor, others within the Department of State)?

- Lance Bailey (Supervisory Special Agent Chief, DS Operations Planning Staff).

Where does the author intend to submit the article/manuscript for publication?

- Combined Arms Research Digital Library  
(<http://cgsc.contentdm.oclc.org/cdm/landingpage/collection/p4013coll2>)

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

- No.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Wednesday, June 08, 2016 3:57 PM  
**To:** [REDACTED]  
**Cc:** PA Reviews Mailbox  
**Subject:** Review Status: Delirious in DF  
**Attachments:** Delirious in DF - edits.docx

RELEASE IN PART  
B6

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Mr. [REDACTED]

The Department has completed the review of this article "Delirious in DF", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this article are yours alone and not necessarily those of the U.S. Government.

Your paper is attached with suggested edits and comments included for your consideration.

Thank you,

PA Reviews

RELEASE IN PART  
B6**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Monday, June 13, 2016 7:22 AM  
**To:** Johnson, Nolen P  
**Cc:** PA Reviews Mailbox  
**Subject:** Islamabad Publication Review - vignettes of Pakistan - Yesterday and Tomorrow  
**Attachments:** Pull of the Tides.docx; Barbecue Cell.doc; Sacred Sets of Four.docx

Nolen:

For your review, attached are the *vignettes* of a manuscript entitled "Pakistan: Yesterday and Tomorrow" written in private capacity by  a State Department FSO who was the CAO Islamabad at her previous assignment and B6 currently works in WHA as PAO.

The book comprises twenty-five chapters and each chapter was reviewed and approved for publication based solely on the provisions of 3 FAM 4170 on November 2, 2015 by PPD, SRAP, and Mission Pakistan.

We would appreciate hearing from you on this review request by **COB Friday, June 17**. Please see attached Ms.  responses to the standard questions for additional details.

#### QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- **What is the author's first and last name, current job title and office symbol?**  
 Public Affairs Officer, WHA/HSC
- **Is the author writing in his/her private capacity or official capacity?**  
☐ Private
- **Will the author receive remuneration outside of his/her Department of State salary for the article?**  
☐ Royalties, which in my case will not amount to that much
- **Does the author intend to use a disclaimer? If so, how will the disclaimer read?**  
☐ Yes: "The views expressed are those of the author  and not necessarily those of the U.S. Department of State, the employee's agency, or the U.S. Government."
- **Who else has cleared (your supervisor, others within the Department of State)?**  
☐ This is the continuation of a previous project cleared in November 2015 for publication by PPD, SRAP, and Mission Pakistan.
- **Where does the author intend to submit the article/manuscript for publication?**  
☐ Inkwater Press
- **Does the paper relate in any way to the author's current duties or duties he/she had over the last year?**  
☐ Vignettes of the collection are set in Pakistan but NOT about my duties. Attached three pieces are not set in Pakistan.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);

3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Monday, June 13, 2016 12:45 PM  
**To:** [REDACTED] MERICS  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Personal Capacity Speaking Request, Brussels

RELEASE IN PART  
B6

B6

Dear [REDACTED]

The Department has completed the review of your personal capacity speaking request to talk at the Brussels chapter of the Young China Watchers, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed are yours alone and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

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**From:** [REDACTED] MERICS [mailto:[REDACTED]]  
**Sent:** Monday, June 13, 2016 6:04 AM  
**To:** PA Reviews Mailbox  
**Subject:** Personal Capacity Speaking Request, Brussels

Good morning!

As separate from my request last week, I'm submitting TPs for a proposed upcoming talk at the Brussels chapter of the Young China Watchers. This is a very informal event.

Let me know if you need anything else at the moment.

Best,

[REDACTED]

**SPEAKING ENGAGEMENT REQUEST FORM**

**Speaker's name, title and office symbol:** [REDACTED] Foreign Affairs Research Analyst, INR/EAP

**Date of event:** July 11, 2016

**Venue Name, City and State:** Eno Atelier (wine bar), 42 rue de Dublin, Brussels

**Group/Organization Sponsoring the Event:** Young China Watchers  
Brussels, <http://redirect.state.sbu/?url=http://www.youngchinawatchers.com/category/locations/brussels/>

**Subject of Discussion:** "What do we really know about the Chinese leadership? Gossip, guanxi, and guesswork"

**Format (remarks with or without Q&A, panel discussion, keynote address, speech):** Informal remarks (10-15 minutes) followed by Q&A

**Audience (number of expected attendees and demographics):** 15-20 attendees, most under 40, likely a mix of academics, think tankers, government, and other local China-watchers

**Media (open press, closed press):** No media.

**Attribution (on-the-record, off-the-record, on background):** I'll state that Chatham House Rules apply.

**Clearances [supervisor, regional bureau (as appropriate), others]:** None yet.

**Is the speaker being compensated outside of his/her DOS salary to speak at this event?:** No

**Is the speaker presenting in an official or personal capacity at this event?:** Personal capacity

**Recommendation (why this furthers U.S. interests):** Discussion and exchange with the broader China-watching community deepens INR connections and insights.

[Redacted]

Visiting Academic Fellow  
Mercator Institute for China Studies (MERICS), Berlin  
MERICS gGmbH | Klosterstrasse 64 | 10179 Berlin  
Phone: [Redacted]  
Email: [Redacted]

B6



**Hartmann, Lorraine (Lori)**

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**From:** [redacted] <[redacted]@state.gov>  
**Sent:** Tuesday, June 14, 2016 7:38 AM  
**To:** PA Reviews Mailbox  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Publication Review - Vignettes of Pakistan - Yesterday and Tomorrow

B6

RELEASE IN PART  
B6

Thank you! Will do. --[redacted]

This email is UNCLASSIFIED.

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**From:** PA Reviews Mailbox [<mailto:pareviews@state.gov>]  
**Sent:** Tuesday, June 14, 2016 7:01 AM  
**To:** [redacted]  
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review - Vignettes of Pakistan - Yesterday and Tomorrow

Dear [redacted]

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this chapter are those of the author and not necessarily those of the U.S. Government.

Please let us know if you have any questions.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Tuesday, June 14, 2016 1:51 AM  
**To:** [REDACTED]  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Does this Piece Need a Pre-publication Review?  
**Attachments:** Review Status: Public Diplomacy Engages Religious Communities, Actors, And Organizations: A Belated And Transformative Marriage

RELEASE IN PART  
B6

B6

Dear Mr. [REDACTED]

PA cleared your article on June 8 and sent the attached e-mail that day.

Our apologies that you did not receive it.

Please let us know if you have any questions.

Thank you.

PA Reviews

**From:** [REDACTED] [mailto:[REDACTED]]  
**Sent:** Monday, June 13, 2016 2:42 PM  
**To:** PA Reviews Mailbox  
**Subject:** Re: Does this Piece Need a Pre-publication Review?

Dear PA friends, Had a call from my editor this weekend asking how long the review of my article might take. Any idea? Sorry to push but they are pushing me. Many thanks, [REDACTED]

[REDACTED]  
Bethesda, Maryland  
[REDACTED]

-----Original Message-----

**From:** [REDACTED] <[REDACTED]>  
**To:** pareviews <pareviews@state.gov>  
**Sent:** Tue, Jun 7, 2016 11:20 pm  
**Subject:** Re: Does this Piece Need a Pre-publication Review?

Decided with Judy Mann's (L Ethics) kind guidance to turn down the honorarium. Too many shades of gray.

As you may recall, I had completely forgotten that there was an honorarium and do most of my academic writing without any thought of payment.

You won't see me panhandling by Foggy Bottom Metro entrance! No worries.

Thanks for asking. [REDACTED]

[redacted]  
Bethesda, Maryland

-----Original Message-----

From: PA Reviews Mailbox <pareviews@state.gov>

To: [redacted] <[redacted]>

Cc: PA Reviews Mailbox <pareviews@state.gov>

Sent: Tue, Jun 7, 2016 10:42 am

Subject: RE: Does this Piece Need a Pre-publication Review?

Good morning Mr. [redacted]

We wanted touch base regarding the remuneration request. Have you received approval from the Ethics office?

Thank you,

PA Reviews

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**From:** PA Reviews Mailbox

**Sent:** Wednesday, June 01, 2016 11:30 AM

**To:** [redacted]

**Cc:** PA Reviews Mailbox

**Subject:** RE: Does this Piece Need a Pre-publication Review?

Mr. [redacted]

The Ethics office would need to be approve the remuneration request. Please send an email to [ethicsattorneymailbox@state.gov](mailto:ethicsattorneymailbox@state.gov) and let us know the outcome.

Thank you.

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**From:** [redacted] [[http://redirect.state.sbu/?url=mailto:\[redacted\]](http://redirect.state.sbu/?url=mailto:[redacted])]

**Sent:** Wednesday, June 01, 2016 10:52 AM

**To:** PA Reviews Mailbox

**Subject:** Fwd: Does this Piece Need a Pre-publication Review?

Dear PA friends, I checked with the PDC. They are planning to give me a \$500 honorarium if and when the piece is published. [redacted]

Sent from my iPhone

Begin forwarded message:

**From:** [redacted] <[redacted]>

**Date:** June 1, 2016 at 8:23:38 AM EDT

**To:** [pareviews@state.gov](mailto:pareviews@state.gov)

**Subject:** Fwd: Does this Piece Need a Pre-publication Review?

Please find answers to your questions below. I would note that in addition to speaking and writing for the Public Diplomacy Council, I taught a course on public diplomacy to stave off conflict including engagement with faith based communities at George Mason University's School of Conflict Analysis and Resolution in 2013. Much of my systematic thinking on this subject came from organizing a curriculum on that subject.

Thanks and regards, [redacted]

[redacted]  
Bethesda, Maryland

-----Original Message-----

From: PA Reviews Mailbox <pareviews@state.gov>

To: [redacted] <[redacted]>

Cc: PA Reviews Mailbox <pareviews@state.gov>

Sent: Wed, Jun 1, 2016 7:21 am

Subject: RE: Does this Piece Need a Pre-publication Review?

Dear Mr. [redacted]

Thank you for your e-mail. Your paper does require a PA review. Please provide answers to the questions below and send it back to us, so we can start the process.

Please let us know if you have any questions.

Thank you.

#### QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol? [redacted] WAE, ECA-PE-VFA
- Is the author writing in his/her private capacity or official capacity? Private academic capacity
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration. No remuneration
- Does the author intend to use a disclaimer? If so, how will the disclaimer read? Wasn't intending to but would be pleased to do so if PA so recommends. Disclaimer would highlight that the history and views in the article are my private views and do not represent the Department.
- Who else has cleared (your supervisor, others within the Department of State)? No one, this is an academic piece written in the context of the work of the Public Diplomacy Council and reflects on my own experiences except for examples presented from way back in my career. The Bangladesh example is drawn from my last year of active foreign service in 2010.
- Where does the author intend to submit the article/manuscript for publication? Public Diplomacy Council publication
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year? Absolutely not
- Best Contact Information (e-mail and phone number): [redacted], [redacted]

From: [redacted]

[http://redirect.state.sbu/?url=http://redirect.state.sbu/?url=http://redirect.state.sbu/?url=mailto:[redacted]]

Sent: Tuesday, May 31, 2016 9:23 PM

To: PA Reviews Mailbox

Subject: Does this Piece Need a Pre-publication Review?

Dear PA colleagues,

Attached, please find an essay I wrote after a panel I chaired on the subject sponsored by the Public Diplomacy Council, an academic NGO, in fall of 2013.

They want to include it as a chapter in a book. Erring on the side of caution, I told them I would need to submit it to PA.

The creation of the current Religion and Global Affairs structure is relatively current and what I've written is based entirely on public sources. I cover the creation and the speeches given at the dedication and the structure. I know little about the inner workings of this new structure and have not written about the way it has involved or the way it works beyond the bare facts of its creation.

I have not been a part of any related structure since my last job heading the Office of International Religious Freedom in 2009-2010 at which point I retired from the Foreign Service after a 30 year career. I have done WAE work but not in any field related to the religion and diplomacy theme.

Some of the earlier anecdotes from my career contained in the piece have been cleared by PA in the context of a chapter I authored almost 10 years ago. The current ECA programs I know about from my support of Imam Bashar's work, rather than by direct involvement in my career or inside knowledge of the Department. Again, all of this information is available on his websites and in his literature.

My editor was surprised I felt I needed to submit the piece but I feel I do. She is hoping that we could have your approval or a nod that nothing here is sensitive by a week from today. I know that may not be realistic, but I'm hoping someone could give it a quick read to see if anything needs closer examination.

Many thanks for your help.

B6

Bethesda, Maryland

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Wednesday, June 15, 2016 2:54 AM  
**To:** SCA-Press; WHA-Press; Ethics Attorney Mailbox  
**Cc:** PA Reviews Mailbox  
**Subject:** SCA, WHA Publication Review - Fictional Novel - Enemy of the Good  
**Attachments:**  - Enemy of the Good.docx

<b>RELEASE IN PART</b> <b>B6</b>
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B6

SCA and WHA Colleagues:

For your review, attached is a 389-page fictional novel entitled "Enemy of the Good," written in private capacity by FSO  in EAP/MLA.

**SCA Colleagues:** The novel appears to take place primarily in Kyrgyzstan with many interactions with the U.S. Embassy.

**WHA Colleagues:** There are many references to Cuba and US-Cuba relations in this novel.

I am also including L/Ethics on this e-mail, as the author intends to receive remuneration for the publication of this novel.

We would appreciate hearing from you by **Monday, July 11**. Please see attached Mr.  responses to the standard questions for additional details.

### QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED

- **What is the author's first and last name, current job title and office symbol?**   
Director, EAP/MLA
- **Is the author writing in his/her private capacity or official capacity?** Private
- **Will the author receive remuneration outside of his/her Department of State salary for the novel?** Yes
- **Does the author intend to use a disclaimer? If so, how will the disclaimer read?** Yes: This is a work of fiction. The views expressed here are those of the characters. They do not necessarily represent those of the author or the Department of State.
- **Where does the author intend to submit the article/manuscript for publication?** Penguin Random House (G.P. Putnam and Sons)
- **Does the paper relate in any way to the author's current duties or duties he/she had over the last year?** No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);

3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

**From:** [redacted] MERICS <[redacted]>  
**Sent:** Thursday, June 16, 2016 8:51 AM  
**To:** PA Reviews Mailbox  
**Subject:** RE: Personal Capacity Speaking Request - MERICS Conference, Berlin, June 25

RELEASE IN PART  
B6

B6

Great, thank you very much!

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**From:** PA Reviews Mailbox [<http://redirect.state.sbu/?url=mailto:pareviews@state.gov>]  
**Sent:** Thursday, June 16, 2016 2:45 PM  
**To:** [redacted] MERICS <[redacted]>  
**Cc:** PA Reviews Mailbox <[pareviews@state.gov](mailto:pareviews@state.gov)>  
**Subject:** RE: Personal Capacity Speaking Request - MERICS Conference, Berlin, June 25

Orna Blum clears for PA. Thank you.

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**From:** [redacted] MERICS [[http://redirect.state.sbu/?url=mailto:\[redacted\]](http://redirect.state.sbu/?url=mailto:[redacted])]  
**Sent:** Wednesday, June 08, 2016 11:07 AM  
**To:** PA Reviews Mailbox  
**Subject:** Personal Capacity Speaking Request - MERICS Conference, Berlin, June 25

Good morning, PA Reviews!

I am, yet again, submitting another variant of my talking points on the Xi Jinping theme for your review. They will look very familiar, as they are drawn from the same paper you have seen several times already. My apologies for this rather late submission – the conference I hope to participate in will take place in a little over from two weeks from now.

Please let me know if you require any further information from me at this point.

Best,

[redacted]

**SPEAKING ENGAGEMENT REQUEST FORM**

**Speaker's name, title and office symbol:** [redacted] Foreign Affairs Research Analyst, INR/EAP

**Date of event:** June 25, 2016

**Venue Name, City and State:** MERICS office spaces, Klosterstrasse 64, Berlin.

**Group/Organization Sponsoring the Event:** MERICS (Mercator Institute for China Studies)

**Subject of Discussion:** Western misperceptions of Xi Jinping (Title of talk: Beware of the cult of the cult of Xi)

**Format (remarks with or without Q&A, panel discussion, keynote address, speech):** Remarks (10-15 minutes) followed by Q&A with other panelists



**Audience (number of expected attendees and demographics):** Approximately 70 attendees. 20-30 of these will be other conference speakers/panelists. The majority of other attendees are Europe-based China watchers working in the academy, think tanks, or government

**Media (open press, closed press):** There will be approximately 5 media representatives from German outlets in attendance.

**Attribution (on-the-record, off-the-record, on background):** Chatham House Rules apply to the full conference.

**Clearances (supervisor, regional bureau (as appropriate), others):** None yet.

**Is the speaker being compensated outside of his/her DOS salary to speak at this event?:** No

**Is the speaker presenting in an official or personal capacity at this event?:** Personal

**Recommendation (why this furthers U.S. interests):** This event includes many well-known and experienced China experts from all over the world. Participation in this event will broaden INR's contacts with non-government China-watchers as well as offer an opportunity to gain further insights about current trends from these experts.

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**From:** PA Reviews Mailbox

[<http://redirect.state.sbu/?url=http://redirect.state.sbu/?url=http://redirect.state.sbu/?url=mailto:pareviews@state.gov>]

**Sent:** Monday, March 14, 2016 12:54 PM

**To:** [redacted] <[redacted]>

**Cc:** PA Reviews Mailbox <[pareviews@state.gov](mailto:pareviews@state.gov)>

**Subject:** Personal Capacity Speaking Request - Young China Watchers

Dear [redacted]

The Department has completed the review of your personal capacity speaking request to your local chapter of the Young China Watchers, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

B6

**Hartmann, Lorraine (Lori)**

**From:** [REDACTED] MERICS [REDACTED]  
**Sent:** Thursday, June 16, 2016 10:50 AM  
**To:** PA Reviews Mailbox  
**Subject:** Personal capacity article review - MERICS blog post  
**Attachments:** Conference Blog\_2016.05.15 [REDACTED].docx

B6

RELEASE IN PART B6
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Good morning PA Reviews,

I'm sorry to pile so much on in such a short time, but as this hews very closely to the content I recently sent for my talk in Brussels, I hope it won't be too much more work for you.

Many thanks as ever for your patience, and please let me know if I can provide any additional information.

[REDACTED]

**QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED**

- What is the author's first and last name, current job title and office symbol?
  - [REDACTED] Foreign Affairs Research Analyst, INR/EAP
- Is the author writing in his/her private capacity or official capacity?
  - Personal capacity – I am a visiting fellow at a think tank
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
  - No. As part of my fellowship, the think tank is providing housing while I am in Berlin, but I am not being paid for this article.
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
  - Yes, I plan to have a statement in the article that says, "This article reflects the personal views of the author and not necessarily the views of the U.S. Government or the Department of State." Please see attached.
- Who else has cleared (your supervisor, others within the Department of State)?
  - No one at State has yet cleared.
- Where does the author intend to submit the article/manuscript for publication?
  - This article will be published on the MERICS blog (hosted by the institute where I am currently a fellow).
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
  - Yes, in INR my secondary (backup) portfolio covers China leadership issues.

[REDACTED]  
 Visiting Academic Fellow  
 Mercator Institute for China Studies (MERICS), Berlin  
 MERICS gGmbH | Klosterstrasse 64 | 10179 Berlin  
 Phone: [REDACTED]  
 Email: [REDACTED]

**Hartmann, Lorraine (Lori)**

**From:** [redacted] <[redacted]@state.gov>  
**Sent:** Monday, June 20, 2016 8:32 AM  
**To:** PA Reviews Mailbox  
**Subject:** RE: Submission Returned for Modifications - [redacted] Dissent Snowden

B6

RELEASE IN PART  
B6

I'm returning this to PA and requesting it's assistance in running the review process for the offices listed. FRO has identified possible interagency interest, which is why we're sending to PA to coordinate the DC review per 3 FAM 4170.

I suggest that the article be reviewed by the following offices: S/P (dissent channel), INR (intel community - NSA), DGHR/PC (AFSA), SCA/PPD, and PA. The Final Review Office at post does not believe that the article contains any any classified information or would have "adverse consequences" according to the review standard in 3 FAM 4176.3(b). Some may, however, find the article controversial, but it does not meet the standard that would recommend prohibition of publication.

[redacted]

[redacted] | First Secretary for Exchanges and Education | U.S. Embassy New Delhi  
 American Center, 24 Kasturba Gandhi Marg, New Delhi 110 001 India | +91 (11) 2347-  
 2408 | [redacted]@state.gov

This email is UNCLASSIFIED.

**From:** PA Reviews Mailbox [mailto:pareviews@state.gov]  
**Sent:** Friday, June 17, 2016 9:18 PM  
**To:** [redacted]  
**Subject:** Submission Returned for Modifications



# PA Reviews



## Clearance Request

**Request Title:** (\* Dissent Channel - Edward Snowd \*)

Your request is being returned because PA reviewer is requesting additional information.

### Message from PA:

The final reviewing office at Post (which handles 3 FAM 4170 reviews for employees overseas) is the COM or his/her designate not Public Affairs (PA). Please be sure to include

the disclaimer that is required for personal capacity public communications on matters of Departmental concern; that the views expressed are yours alone and not necessarily those of the U.S. Government (see 3 FAM 4176.1).

**View Sent Back by PA Queue**

(\*Warning: If clearance is not in queue when opened, another user in your bureau has resubmitted to PA.\*)

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Monday, June 20, 2016 2:53 PM  
**To:** [REDACTED] MERICS  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Personal capacity article review - MERICS blog post

<b>RELEASE IN PART</b> <b>B6</b>
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B6

Dear [REDACTED]

The Department has completed the review of this article, "Gossip, guanxi, and guesswork – Trying to demystify the Chinese leadership", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are yours alone and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

---

**From:** [REDACTED] MERICS [mailto:[REDACTED]]  
**Sent:** Thursday, June 16, 2016 10:50 AM  
**To:** PA Reviews Mailbox  
**Subject:** Personal capacity article review - MERICS blog post

Good morning PA Reviews,

I'm sorry to pile so much on in such a short time, but as this hews very closely to the content I recently sent for my talk in Brussels, I hope it won't be too much more work for you.

Many thanks as ever for your patience, and please let me know if I can provide any additional information.

[REDACTED]

**QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED**

- What is the author's first and last name, current job title and office symbol?
  - [REDACTED] Foreign Affairs Research Analyst, INR/EAP
- Is the author writing in his/her private capacity or official capacity?
  - Personal capacity – I am a visiting fellow at a think tank
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
  - No. As part of my fellowship, the think tank is providing housing while I am in Berlin, but I am not being paid for this article.
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
  - Yes, I plan to have a statement in the article that says, "This article reflects the personal views of the author and not necessarily the views of the U.S. Government or the Department of State." Please see attached.
- Who else has cleared (your supervisor, others within the Department of State)?
  - No one at State has yet cleared.
- Where does the author intend to submit the article/manuscript for publication?

- This article will be published on the MERICS blog (hosted by the institute where I am currently a fellow).
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
  - Yes, in INR my secondary (backup) portfolio covers China leadership issues.

[Redacted]

Visiting Academic Fellow

Mercator Institute for China Studies (MERICS), Berlin

MERICS gGmbH | Klosterstrasse 64 | 10179 Berlin

Phone: [Redacted]

Email: [Redacted]

B6

**Härtmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Tuesday, June 21, 2016 12:38 PM  
**To:**   
**Cc:** Hamly, Elizabeth G; PA Reviews Mailbox  
**Subject:** Pre-publication Review Status: NONCOMBATANT EVACUATION OPERATIONS:  
DEPARTMENT OF STATE'S LESSONS LEARNED PROGRAM  
**Attachments:** .docx

RELEASE IN PART  
B6

B6

Mr.

The Department has completed the review of the thesis "NONCOMBATANT EVACUATION OPERATIONS: DEPARTMENT OF STATE'S LESSONS LEARNED PROGRAM", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed are yours alone and not necessarily those of the U.S. Government.

Your paper is attached with suggested edits and comments included for your consideration.

Thank you,

PA Reviews

RELEASE IN PART  
B6

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Wednesday, June 22, 2016 1:23 PM  
**To:** [REDACTED]  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Essay for Publication

B6

[REDACTED]

The Department has completed the review of this essay, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are yours alone and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

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**From:** [REDACTED] [mailto:[REDACTED]@state.gov]  
**Sent:** Wednesday, June 08, 2016 2:10 PM  
**To:** PA Reviews Mailbox  
**Subject:** Essay for Publication

PA Reviews Team,

I have attached a draft essay I plan to submit for publication in a book of essays on the history of U.S. military and naval advisers in foreign countries. My supervisor, Christopher Morrison, has reviewed the draft and, below, determined that nothing in it prohibits publication.

Please advise on the next steps I should take to move my work through the PA review process.

Thank you!

[REDACTED]

Historian, Policy Studies Division  
Office of the Historian  
U.S. Department of State  
SA-4D, 2300 E St NW  
Washington, DC 20372  
Phone: 202-955-0251  
<http://history.state.gov>

This email is UNCLASSIFIED.



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**From:** Morrison, Chris A  
**Sent:** Wednesday, June 08, 2016 10:17 AM  
**To:** [REDACTED]  
**Subject:** RE: Essay for Publication

B6

[REDACTED]

I have read the paper and reviewed it according the PA guidelines below. I see nothing that meets the criteria to recommend prohibiting publication.

Best,  
Chris

This email is UNCLASSIFIED.

**Hartmann, Lorraine (Lori)**

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**From:** [redacted] CIV, IADC (US) <[redacted]>  
**Sent:** Thursday, June 23, 2016 2:49 PM  
**To:** PA Reviews Mailbox  
**Subject:** RE: clearance needed?

B6

RELEASE IN PART  
B6

Thanks. Will do. [redacted]

[redacted] Faculty  
CID/IADC  
202 314 2820 office  
[redacted] mobile

*"Quis custodiet ipsos custodes?" Satires, Juvenal*

---

**From:** PA Reviews Mailbox [<http://redirect.state.sbu/?url=mailto:pareviews@state.gov>]  
**Sent:** Thursday, June 23, 2016 10:24 AM  
**To:** [redacted] CIV, IADC (US)  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: clearance needed?

Mr. [redacted]

The Department has completed the review of this article, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are yours alone and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

---

**From:** [redacted] CIV, IADC (US) [[http://redirect.state.sbu/?url=mailto:\[redacted\]](http://redirect.state.sbu/?url=mailto:[redacted])]  
**Sent:** Monday, June 13, 2016 3:03 PM  
**To:** PA Clearances Mailbox  
**Subject:** clearance needed?

I am on detail to the Inter-American Defense College, at which I teach two courses: Civ-Mil Relations and Church-State Relations. To fulfill requirements under the college's Faculty Development program, I have been asked to write an article for the college's in-house Journal (Revista), published annually. Given that it is part of my assignment here, I was not sure it would need to be cleared. Please note that it is based entirely on publicly available information.

Please advise.

Thank you,

[redacted]

[REDACTED]

U.S. Foreign Service Officer  
Faculty – Civ-Mil Relations  
Colegio Interamericano de Defensa  
Inter-American Defense College  
Fort Lesley J. McNair  
Washington DC 20319  
+1-202-314-2820 (office)  
+[REDACTED] (mobile)

Link to IADC homepage: <http://redirect.state.sbu/?url=http://www.colegio-id.org>

Link to IADC Foundation: <http://redirect.state.sbu/?url=http://www.iadc.org>

*"Quis custodiet ispos custodies?" Satires, Juvenal*

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Friday, June 24, 2016 9:46 AM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Pre-publication Review Status: Israeli-Jordanian relations during the 1950s and 60s

RELEASE IN PART  
B6

B6

Good morning

The Department has completed the review of this manuscript, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are yours alone and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Tuesday, June 28, 2016 9:50 AM  
**To:** [REDACTED]  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Possible Participation on Panel at Newseum

RELEASE IN PART  
B6

B6

Good morning [REDACTED]

Thank you for the update.

---

**From:** [REDACTED] [mailto:[REDACTED]@state.gov]  
**Sent:** Tuesday, June 28, 2016 7:45 AM  
**To:** PA Reviews Mailbox  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Possible Participation on Panel at Newseum

Good morning Tameka,

Unfortunately, I do not. However, since they wanted to confirm my participation yesterday, I declined to participate on the panel because I didn't know if I would be cleared in time.

Best,

[REDACTED]

This email is UNCLASSIFIED.

---

**From:** PA Reviews Mailbox [mailto:pareviews@state.gov]  
**Sent:** Monday, June 27, 2016 4:10 PM  
**To:** [REDACTED]  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Possible Participation on Panel at Newseum

Good afternoon [REDACTED]

Do you know why the event seems to be open press with VOA acting as the primary outlet, but the attribution is on background?

Thank you.

Tameka  
PA Reviews

---

**From:** [REDACTED] [mailto:[REDACTED]@state.gov]  
**Sent:** Thursday, June 23, 2016 12:02 PM  
**To:** PA Reviews Mailbox

**Cc:** PA Reviews Mailbox

**Subject:** RE: Possible Participation on Panel at Newseum

PA Clearances,

Just a heads up—My supervisor, Bill Fischer, officially clears.

Many thanks,

B6

This email is UNCLASSIFIED.

---

**From:** PA Reviews Mailbox [mailto:pareviews@state.gov]

**Sent:** Thursday, June 23, 2016 9:57 AM

**To:**

**Cc:** PA Reviews Mailbox

**Subject:** RE: Possible Participation on Panel at Newseum

Good morning

Thank you and we will begin the review today.

---

**From:**  [mailto:@state.gov]

**Sent:** Thursday, June 23, 2016 7:45 AM

**To:** PA Reviews Mailbox

**Subject:** RE: Possible Participation on Panel at Newseum

Good morning PA Reviews,

I've included the answers to my questionnaire below. In terms of talking points, I plan to touch on the following:

- \*Personal stories of Islamophobia affecting me and members of my family
- \*Data from Gallup Poll that compares American Muslims to other religious groups in the US in terms of level of education, civic engagement, annual income, etc.
- \*What we as individuals can do to prevent and counter the rise of Islamophobia in the US

Many thanks,

This email is UNCLASSIFIED.

---

**From:** PA Reviews Mailbox [mailto:pareviews@state.gov]

**Sent:** Wednesday, June 22, 2016 2:24 PM

**To:**

**Cc:** PA Reviews Mailbox

**Subject:** RE: Possible Participation on Panel at Newseum

Good afternoon

B6

Please provide the answers to the questionnaire below. We will begin the review as soon as this information is received.  
Do you have remarks or talking points prepared?

Thank you,  
Tameka

PA Reviews

**SPEAKING ENGAGEMENT REQUEST FORM**

**Speaker's name, title and office symbol:**  Program Analyst, A/GIS/IPS/PP/LA

**Date of event:** 6/28/2016

**Venue Name, City and State:** Newseum, Washington, DC

**Group/Organization Sponsoring the Event:** The South and Central Asia Division at the Voice of America

**Subject of Discussion:** Islam and America (my portion will focus on Islamophobia)

**Format (remarks with or without Q&A, panel discussion, keynote address, speech):** Panel discussion

**Audience (number of expected attendees and demographics):** About 100, most likely working professionals

**Media (open press, closed press):** VOA is hosting the event so they would be the primary media outlet

**Attribution (on-the-record, off-the-record, on background):** background

**Clearances [supervisor, regional bureau (as appropriate), others]:** \*Pending supervisor

**Is the speaker being compensated outside of his/her DOS salary to speak at this event?:** No

**Is the speaker presenting in an official or personal capacity at this event?:** Personal

**Recommendation (why this furthers U.S. interests):** Facilitating an open dialogue on Islam in America and the rise of Islamophobia, can only yield positive outcomes and help to encourage inclusivity, tolerance and understanding toward an often misunderstood minority group.

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**From:**  [mailto:@state.gov]

**Sent:** Wednesday, June 22, 2016 1:42 PM

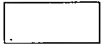
**To:** PA Reviews Mailbox

**Subject:** Possible Participation on Panel at Newseum

Good afternoon PA Clearances,

The South and Central Asia Division at the Voice of America is organizing a panel at the Newseum on Islam and America on Tuesday June 28<sup>th</sup> at 10 am. I was asked to participate to discuss what role Islamophobia has played in my life recently as a private citizen. My intention is to speak in my own private capacity as a citizen with no reference to my official duties. Inclusion in this panel has to be officially confirmed by their organizers, which is still pending. However, I wanted to get a head start on the formal clearance process, just in case, given the relatively short turnaround time. Your guidance is greatly appreciated.

Many thanks,



B6

This email is UNCLASSIFIED.



**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Tuesday, June 28, 2016 3:13 PM  
**To:** Arbesu, Arnaldo  
**Cc:** PA Reviews Mailbox  
**Subject:** Pre-publication Review: Supreme Court of Cassation of the Republic of Italy, October 20, 2015  
**Attachments:** OT-173145012 (1).docx

RELEASE IN PART B6
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Arnie:

Attached is a 15-page article entitled "Supreme Court of Cassation of the Republic of Italy, October 20, 2015", written in private capacity by [REDACTED] We would appreciate hearing from you by COB Thursday, June 30. B6

Please see the author's responses to the standard questions below for additional details.

- What is the author's first and last name, current job title and office symbol? [REDACTED] Attorney Adviser L/PD
- Is the author writing in his/her private capacity or official capacity? Personal
- Will the author receive remuneration outside of his/her Department of State salary for the article? No.
- Does the author intend to use a disclaimer? If so, how will the disclaimer read? Yes: "The views expressed herein are the author's own and not necessarily those of the Department of State or the U.S. Government."
- Who else has cleared (your supervisor, others within the Department of State)? My supervisor, L/PD ALA Ona Hahs, and Attorney Adviser Jim Schnabel (L/PD). Also circulated with L/CID and L/DL
- Where does the author intend to submit the article/manuscript for publication? American Journal of International Law
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year? No.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

---

**From:** PA Reviews Mailbox  
**Sent:** Wednesday, June 29, 2016 4:11 PM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Pre-publication Review Status: Supreme Court of Cassation of the Republic of Italy  
**Attachments:** OT-173145012 (1).docx

RELEASE IN PART  
B6

B6

The Department has completed the review of this article, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this article are yours alone and not necessarily those of the U.S. Government.

Your paper is attached with suggested edits and comments included for your consideration.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Wednesday, June 29, 2016 2:12 PM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** RE: For Approval: Waste into Weapons

RELEASE IN PART  
B6

B6

Mr.

The Department has completed the review of your book review of Peter Thorsheim's "Waste into Weapons", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are yours alone and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**From:**  [mailto:  
**Sent:** Sunday, June 19, 2016 9:33 AM  
**To:** PA Reviews Mailbox  
**Subject:** For Approval: Waste into Weapons

Hello,

I am submitting the attached book review, "Waste into Weapons," for approval. It will be submitted to an academic journal, "Britain and the World."

Please let me know if you have any questions.

Sincerely,

What is the author's first and last name, current job title and office symbol?

-- Fellow/Foreign Affairs Officer, EUR/CARC

Is the author writing in his/her private capacity or official capacity?

--In private capacity.

Will the author receive remuneration outside of his/her Department of State salary for the article?

--No.

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

--Yes. It reads: "The ideas expressed are solely the author's opinions and do not represent the United States Department of State or any other agency."

Who else has cleared (your supervisor, others within the Department of State)?

--No one else has read it.

Where does the author intend to submit the article/manuscript for publication?

-- The journal "Britain and the World."

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

--No.

RELEASE IN PART  
B6

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Tuesday, June 28, 2016 3:39 PM  
**To:** SCA-Press; NEA-Press; McKeeby, David I; CAPRESSREQUESTS; Paul, Joshua M  
**Cc:** PA Reviews Mailbox  
**Subject:** Book Review of J. Kael Weston's "The Mirror Test"  
**Attachments:** OT-17820320 (1).doc

SCA, NEA, PM and CA Colleagues:

Attached is a 3-page book review of J. Kael Weston's "The Mirror Test", written in private capacity by [REDACTED] We B6 would appreciate hearing from you by **COB Friday, July 1**. Please see Mr. [REDACTED] responses to the standard questions below for additional details.

CA – See page 3 re: the Special Immigrant Visa Program.

**QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES PUBLISHED**

What is the author's first and last name, current job title and office symbol?

-- [REDACTED] FSI Student

Is the author writing in his/her private capacity or official capacity?

--In private capacity.

Will the author receive remuneration outside of his/her Department of State salary for the article?

--No.

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

-- The views expressed in this article are his alone and do not necessarily represent those of the State Department or the U.S. Government.

Who else has cleared (your supervisor, others within the Department of State)?

--No one else has read it.

Where does the author intend to submit the article/manuscript for publication?

-- Foreign Policy - Tom Ricks Blog

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

--No, but there is a paragraph that relates to my upcoming assignment. If there are objections, I can remove it, but it is pretty generic and reflects the current State Department position.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

---

**From:** PA Reviews Mailbox  
**Sent:** Friday, July 01, 2016 10:37 AM  
**To:** [REDACTED]  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Clearance Status for - (\*Casenote: Flatow v. Government\*)

RELEASE IN PART  
B6

B6

Yes, please keep us posted! Thank you, Mr. [REDACTED]

---

**From:** [REDACTED] [mailto:[REDACTED]@state.gov]  
**Sent:** Friday, July 01, 2016 10:32 AM  
**To:** PA Reviews Mailbox  
**Subject:** RE: Clearance Status for - (\*Casenote: Flatow v. Government\*)

Not a problem. Yes the article was circulated with L/CID and L/DL a few weeks ago and I am unaware of any objections to the publication. I know L/DL and L/CID reviewed but haven't heard from them since so I'll send Lisa Grosh an email to see if they have any suggested edits just to be sure. I'll then circle back with you.

Thanks,

[REDACTED]

This email is UNCLASSIFIED.

---

**From:** PA Reviews Mailbox [mailto:pareviews@state.gov]  
**Sent:** Friday, July 01, 2016 10:20 AM  
**To:** [REDACTED]  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Clearance Status for - (\*Casenote: Flatow v. Government\*)

We did not connect with L/CID and L/DL. Per the questionnaire, wasn't the article circulated with L/CID and L/DL? Are you aware if they had objections to the publication? Sorry for the misunderstanding but I thought L was fine with the review.

---

**From:** [REDACTED] [mailto:[REDACTED]@state.gov]  
**Sent:** Friday, July 01, 2016 10:09 AM  
**To:** PA Reviews Mailbox  
**Subject:** RE: Clearance Status for - (\*Casenote: Flatow v. Government\*)

Thank you PA Reviews team! Were you able to connect with L/CID and L/DL on this or should I reach out to them again separately?

Best,

[REDACTED]

This email is UNCLASSIFIED.

**From:** PA Reviews Mailbox [mailto:pareviews@state.gov]  
**Sent:** Wednesday, June 29, 2016 4:14 PM  
**To:** [REDACTED]  
**Cc:** [REDACTED]  
**Subject:** Clearance Status for - (\*Casenote: Flatow v. Government\*)

B6

## Clearance Request Resolution

**Clearance Request Title:** (\*Casenote: Flatow v. Government\*)

**Clearance Request Submitter:** [REDACTED]

**This Request has been Approved.**

### Approved with Caveat:

PA Reviews Mailbox :

The Department has completed the review of this article, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this article are yours alone and not necessarily those of the U.S. Government. Your paper is attached with suggested edits and comments included for your consideration.

[Please click this link to view the attachments with edits](#)



**Hartmann, Lorraine (Lori)**

---

**From:** PA Reviews Mailbox  
**Sent:** Friday, July 01, 2016 9:50 AM  
**To:** EB-A-PD-DL; CAPRESSREQUESTS; CA-Press  
**Cc:** PA Reviews Mailbox  
**Subject:** Pre-publication Review: How National Economies Influence U.S. Visa Refusal Rates  
**Attachments:** OT-17922828.docx

RELEASE IN PART  
B6

EB and CA Colleagues:

Attached is a 12-page article entitled "Chasing Growth: How National Economies Influence U.S. Visa Refusal Rates", written in private capacity by [REDACTED]. We would appreciate hearing from you by **COB Friday, July 8.**

B6

Please see the author's responses to the standard questions below for additional details.

- What is the author's first and last name, current job title and office symbol? [REDACTED] FSI/LT
- Is the author writing in his/her private capacity or official capacity? Personal
- Will the author receive remuneration outside of his/her Department of State salary for the article? No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read? Yes. The views expressed in this article are those of the author and do not necessarily represent the views of the U.S. Department of State or the U.S. government.
- Who else has cleared (your supervisor, others within the Department of State)? No one.
- Where does the author intend to submit the article/manuscript for publication? The Migration Policy Institute's Migration Information Source  
<http://www.migrationpolicy.org/programs/migration-information-source>
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year? Paper is a result of work started during my Economic training course at FSI. I will be the new NIV deputy in the consular section at U.S. Consulate General Ho Chi Minh City.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Friday, July 01, 2016 8:43 AM  
**To:** [REDACTED]  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: For Approval: "Golden Jubilee"

RELEASE IN PART  
B6

B6

Mr. [REDACTED]

The Department has completed the review of your book review of Safi Ahsan Rizvi's "Golden Jubilee", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are yours alone and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**From:** [REDACTED] [mailto:[REDACTED]]  
**Sent:** Thursday, June 23, 2016 8:31 PM  
**To:** PA Reviews Mailbox  
**Subject:** For Approval: "Golden Jubilee"

Hello,

I am submitting the attached book review of Safi Ahsan Rizvi's *Golden Jubilee: DGSP/IGSP Conference Since 1950* for approval. It will be sent to *Intelligence and National Security*, an academic journal.

Please let me know if you have any questions.

Sincerely,

[REDACTED]

What is the author's first and last name, current job title and office symbol?

-- [REDACTED] Fellow/Foreign Affairs Officer, EUR/CARC

Is the author writing in his/her private capacity or official capacity?

--In private capacity.

Will the author receive remuneration outside of his/her Department of State salary for the article?

--No.

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

--Yes. It reads: "The ideas expressed are solely the author's opinions and do not represent the United States Department of State or any other agency."

Who else has cleared (your supervisor, others within the Department of State)?

--No one else has read it.

Where does the author intend to submit the article/manuscript for publication?

-- *Intelligence and National Security* (or similar academic journal)

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

--No.

**Hartmann, Lorraine (Lori)**

**From:**  <>  
**Sent:** Tuesday, July 05, 2016 9:02 PM  
**To:** PA Reviews Mailbox  
**Subject:** For Approval: Muhamad Olimat's "China and Central Asia in the Post-Soviet Era"  
**Attachments:** reviewChina&CentralAsiaPostSoviet.doc

RELEASE IN PART  
B6

B6

**Follow Up Flag:** Follow up  
**Flag Status:** Completed

Hello,

I am submitting the attached book review of Muhamad S. Olimat's *China and Central Asia in the Post-Soviet Era* for approval. It will be sent to *Central Asian Survey*, an academic journal.

Please let me know if you have any questions.

Sincerely,

What is the author's first and last name, current job title and office symbol?

-  Fellow/Foreign Affairs Officer, EUR/CARC

Is the author writing in his/her private capacity or official capacity?

--In private capacity.

Will the author receive remuneration outside of his/her Department of State salary for the article?

--No.

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

--Yes. It reads: "The ideas expressed are solely the author's opinions and do not represent the United States Department of State or any other agency."

Who else has cleared (your supervisor, others within the Department of State)?

--No one else has read it.

Where does the author intend to submit the article/manuscript for publication?

-- *Central Asian Survey* (or similar academic journal)

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

--No. I don't work on Central Asia or China, but Central Asia is geographically next to the South Caucasus, separated by the Caspian Sea.

**Hartmann, Lorraine (Lori)**

---

**From:** [redacted] <[redacted]@state.gov>  
**Sent:** Tuesday, July 05, 2016 3:12 PM  
**To:** PA Clearances Mailbox  
**Cc:** [redacted]  
**Subject:** podcast clearance request  
**Attachments:** ~Transcript Ep1.docx

B6

RELEASE IN PART  
B6

**Follow Up Flag:** Follow up  
**Flag Status:** Completed

Hello.

I'd like to request clearance for a podcast myself and a colleague of mine [redacted] have recorder recently. For ease of clearance, I am attaching a transcript of the audio file. If cleared, the podcast and the transcript will be made available on the web.

The podcast is done in our personal capacity and includes the standard disclaimer. We hope to tape additional episodes in the future.

Many thanks,

[redacted]

----- / - / -----

[redacted]  
Office of the Historian  
U.S. Department of State  
t: 202-955-0238

SA-04 D (Navy Hill - Potomac Annex)  
2300 E Street, NW  
Washington, DC 20372

This email is UNCLASSIFIED.

**Hartmann, Lorraine (Lori)**

**From:** [redacted] <[redacted]@state.gov>  
**Sent:** Tuesday, July 05, 2016 9:46 AM  
**To:** PA Reviews Mailbox  
**Subject:** RE: Submission Returned for Modifications  
**Attachments:** [redacted] et al monochromatic paper - Clearance Page.docx

RELEASE IN PART  
B6

**Follow Up Flag:** Follow up  
**Flag Status:** Completed

Hi PA Reviews,

Please see clearance page attached, indicating "info by request" by Steve Harper in CA/P.

Thank you,

[redacted]

**From:** PA Reviews Mailbox [mailto:pareviews@state.gov]  
**Sent:** Friday, July 01, 2016 4:23 PM  
**To:** [redacted]  
**Subject:** Submission Returned for Modifications



## Clearance Request

**Request Title:** (\* Monochromatic design for the p \*)

Your request is being returned because PA reviewer is requesting additional information.

### Message from PA:

Who cleared for CA/P? Please include the clearance page as this is written in the official capacity. PA will review last once all clearances have been obtained.

### View Sent Back by PA Queue

(\*Warning: If clearance is not in queue when opened, another user in your bureau has resubmitted to PA.\*)

**Hartmann, Lorraine (Lori)**

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**From:** [redacted] <[redacted]@state.gov>  
**Sent:** Thursday, July 07, 2016 9:46 AM  
**To:** PA Reviews Mailbox  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Publication Review - J. Kael Weston's "The Mirror Test"

RELEASE IN PART  
B6

B6

Hello,

Thank you very much for the quick turnaround and for shepherding it through the process!

Best,

[redacted]

---

**From:** PA Reviews Mailbox [mailto:pareviews@state.gov]  
**Sent:** Thursday, July 07, 2016 9:03 AM  
**To:** [redacted]  
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review - J. Kael Weston's "The Mirror Test"

[redacted]

The Department has completed the review of your book review of J. Kael Weston's "The Mirror Test", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** Blum, Orna T  
**Sent:** Monday, July 11, 2016 10:30 AM  
**To:** PA Reviews Mailbox  
**Subject:** RE: Orna: CA/P clearance obtained

RELEASE IN PART  
B6

Given clearance by CA/FPP, CA/P and L/CA, if they found there are no concerns related to security of USG procedures, I clear.  
Orna

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**From:** PA Reviews Mailbox  
**Sent:** Monday, July 11, 2016 10:04 AM  
**To:** Blum, Orna T  
**Cc:** PA Reviews Mailbox  
**Subject:** Orna: CA/P clearance obtained

Orna – Requesting your clearance on the attached article written in official capacity by [redacted] Article will be posted in the Keesing Journal of Documents & Identity, clearance page is attached. B6

Thank you.

---

**From:** [redacted] [mailto:[redacted]]  
**Sent:** Friday, July 08, 2016 12:31 PM  
**To:** PA Reviews Mailbox  
**Subject:** CA/P clearance obtained

Hello PA Reviews,

I have finally obtained CA/P clearances from Niles Cole. Clearing offices for the attached paper now include CA/FPP, CA/P and L/CA and a new clearance page is attached.

Will this be acceptable for clearance from PA?

[redacted]  
Supervisory Physical Scientist  
Counterfeit Deterrence Laboratory  
CA/FPP/CDL



**Hartmann, Lorraine (Lori)**

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**From:** [redacted] <[redacted]@state.gov>  
**Sent:** Monday, July 11, 2016 5:02 AM  
**To:** PA Reviews Mailbox  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Publication Review - Why a Pardon for Edward Snowden is in our Foreign Policy Interest

B6

RELEASE IN PART  
B6

Thank you for review and approval. I will use the modified disclaimer and also let you know about publication date.

Regards,

[redacted]

[redacted] | First Secretary for Exchanges and Education | U.S. Embassy New Delhi  
American Center, 24 Kasturba Gandhi Marg, New Delhi 110 001 India | +91 (11) 2347-  
2408 | [redacted]@state.gov

This email is UNCLASSIFIED.

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**From:** PA Reviews Mailbox [mailto:pareviews@state.gov]  
**Sent:** Wednesday, July 06, 2016 5:32 PM  
**To:** [redacted]  
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review - Why a Pardon for Edward Snowden is in our Foreign Policy Interest

Dear Mr. [redacted]

The Department has completed the review of your article *Why a Pardon for Edward Snowden is in our Foreign Policy Interest* pursuant to 3 FAM 4170, and finds no obstacle to publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed are yours alone and not necessarily those of the U.S. Government. To clarify that your article is not based on insider information, the Office of the Legal Advisor asks that you use the following language for the disclaimer:

"The views expressed are the author's alone and do not necessarily reflect those of the U.S. Government. The author has no insight into the contents of the classified U.S. Government information Snowden is accused of disclosing without authorization, nor the effect of unauthorized disclosure on U.S. Government interests other than what he has gleaned from public sources."

Sincerely,

PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Monday, July 11, 2016 10:04 AM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Review Status: The Materiality of Soft Power in Public Diplomacy  
**Attachments:**  Soft Power Chapter.docx

RELEASE IN PART  
B6

B6

Mr.

The Department has completed review of your book chapter "The Materiality of Soft Power in Public Diplomacy", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are yours alone and not necessarily those of the U.S. Government.

One recommendation to make one of the points more precise is listed below for your consideration:

Likewise, US international broadcasting maintains a robust online presence for every country and language it targets. The Broadcasting Board of Governors also promotes circumvention technologies, that allow audiences living behind censoring and surveilling firewalls to have unrestricted access to any available online information.

Please let us know if you have questions.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Monday, July 11, 2016 11:29 AM  
**To:** [REDACTED] (Ho Chi Minh)  
**Cc:** PA Reviews Mailbox  
**Subject:** Review Status: How National Economies Influence U.S. Visa Refusal Rates  
**Attachments:** [REDACTED] edits.docx

RELEASE IN PART  
B6

B6

Mr. [REDACTED]

The Department has completed review of your article "Chasing Growth: How National Economies Influence U.S. Visa Refusal Rates", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are yours alone and not necessarily those of the U.S. Government.

Your paper is attached with suggested edits included for your consideration.

Thank you,  
PA Reviews

RELEASE IN PART  
B6

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Tuesday, July 12, 2016 2:10 AM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Podcast Clearance

B6

Good Morning

We have completed the review of your podcast "History Shaken Not Stirred" pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

Andy Laine  
PA Reviews

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Wednesday, July 13, 2016 2:37 AM  
**To:** EUR-Press  
**Cc:** PA Reviews Mailbox  
**Subject:** Pre-Publication Review - Losing Hearts and Minds: From Brexit to November  
**Attachments:** [redacted].docx

RELEASE IN PART  
B6

B6

Dear EUR Colleagues:

Attached is a 4-page Medium Blog Post entitled *Losing Hearts and Minds: From Brexit to November*, written in private capacity by [redacted] Executive Assistant in the EB FO. We would appreciate hearing from you by **COB Thursday, July 14**. Please see Mr. [redacted] responses to the standard questions below for additional details.

**QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED**

What is the author's first and last name, current job title and office symbol?

- [redacted], Executive Assistant, EB FO

Is the author writing in his/her private capacity or official capacity?

- Private capacity.

Will the author receive remuneration outside of his/her Department of State salary for the article?

- No.

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

- Yes. The ideas expressed are solely the author's opinions and do not represent the United States Department of State or any other agency.

Who else has cleared (your supervisor, others within the Department of State)?

- EB Assistant Secretary and PDAS.

Where does the author intend to submit the article/manuscript for publication?

- Medium.com (a social media blog site)

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

- Trade policy is covered in EB. Since I work in the front office, I am tangentially related to these issues. However, my views in the piece are not informed by the job nor does it include sensitive information.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Friday, July 15, 2016 3:14 PM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Podcast Review - Interview with former Secretary Rice

RELEASE IN PART  
B6

B6

The Department has completed the review of your podcast interview with former Secretary Rice, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this podcast are yours and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

RELEASE IN PART  
B6

**Hartmann, Lorraine (Lori)**

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**From:** Arnold, Nathan W <ArnoldNW@state.gov>  
**Sent:** Friday, July 15, 2016 4:15 PM  
**To:** Cody, Ann E; PA Reviews Mailbox  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: State Dept Fellow -- Audio Podcasts on Sports Diplomacy

Agreed. Clear for ECA.

Nathan for eca-press

This email is UNCLASSIFIED.

---

**From:** Cody, Ann E  
**Sent:** Friday, July 15, 2016 4:14 PM  
**To:** PA Reviews Mailbox; Arnold, Nathan W  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: State Dept Fellow -- Audio Podcasts on Sports Diplomacy

No objections from SportsUnited.

This email is UNCLASSIFIED.

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**From:** PA Reviews Mailbox [<mailto:pareviews@state.gov>]  
**Sent:** Friday, July 15, 2016 7:31 AM  
**To:** Cody, Ann E; Arnold, Nathan W  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: State Dept Fellow -- Audio Podcasts on Sports Diplomacy

Thank you. If ECA has no objections, PA will begin its review. Thank you.

---

**From:** Cody, Ann E [<mailto:CodyAE@state.gov>]  
**Sent:** Thursday, July 14, 2016 3:13 PM  
**To:** PA Reviews Mailbox; Arnold, Nathan W  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: State Dept Fellow -- Audio Podcasts on Sports Diplomacy

Travis Murphy in our division has review the transcripts.

This email is UNCLASSIFIED.



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**From:** PA Reviews Mailbox [mailto:pareviews@state.gov]  
**Sent:** Thursday, July 14, 2016 7:08 AM  
**To:** Arnold, Nathan W; Cody, Ann E  
**Cc:** PA Reviews Mailbox  
**Subject:** FW: State Dept Fellow -- Audio Podcasts on Sports Diplomacy

Good Morning Nathan and Ann:

I am checking to see if ECA has reviewed the attached podcast transcripts.

Please advise.

Thank you.

---

**From:** [REDACTED]  
**Sent:** Wednesday, July 13, 2016 2:36 PM  
**To:** Posey, Tameka S; (U) Laine, Andrew J  
**Cc:** Arnold, Nathan W; Cody, Ann E  
**Subject:** RE: State Dept Fellow -- Audio Podcasts on Sports Diplomacy

B6

Hi Everyone-

Thanks for the intro, Luke (BCC'd).

One point of clarification - I left the Sports Diplomacy team in ECA last year to begin a one-year International Affairs Fellowship through the Council on Foreign Relations, so I technically belong to HR for "long-term training". Over the past year, as part of my research, I've conducted almost 20 (and counting) interviews with athletes, journalists and policy makers to discuss the interplay between sports and government.

I've attached transcripts of my first six interviews here. I've also included links to the .mp3 files below. I will also send individual requests to: [PAReviews@state.gov](mailto:PAReviews@state.gov).

- **Please provide your first and last name, current job title and office symbol**  
[REDACTED] International Affairs Fellow, HR/CFR
- **Is this podcast in his/her private capacity or official capacity?**  
Private capacity
- **Will you receive remuneration outside of your Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.**  
No
- **Do you intend to use a disclaimer? If so, how will the disclaimer read?**  
Yes. "The views and opinions expressed by the guests of the DiploSport podcast do not necessarily represent the official policy or position of any agency of the United States Government or any organization, public or private."
- **Who else has cleared (your supervisor, others within the Department of State)?**  
I don't have a supervisor, per se. I have sent these to ECA/PD (Nathan Arnold) and the ECA SportsUnited Office (Ann Cody) for clearance.
- **Where will this podcast air? Where will the audio/transcript be posted?**  
I would like to air them in a weekly format, beginning in August around the Olympics. I haven't found a Podcast channel for them yet, but have discussed the possibility with the CFR and Sports Illustrated. I would also entertain the idea of releasing them myself via a "DiploSport" Podcast on iTunes. I have about 18 in the can right now, and would like to finish up 6-10 more before the end of my Fellowship in Sept.
- **Does the podcast relate to your current duties or over the last year?**

B6

No. I conducted the interviews on my own time, travelling at my own expense over the course of my fellowship year. I was the Sports Diplomacy Cultural Affairs Officer in ECA from May '13-May '15, but haven't been in that role for more than a year.

- Please provide contact information (telephone and e-mail).

[redacted] / [redacted]@state.gov

B6

Thank you for your support!

R/

[redacted]

1. <http://diplosport.com/CondiRice.mp3>
2. <http://diplosport.com/MichelleKwan.mp3>
3. <http://diplosport.com/Summer.mp3>
4. <http://diplosport.com/JimJordan.mp3>
5. <http://diplosport.com/AlexWolff.mp3>
6. <http://diplosport.com/JOKoss.mp3>

This email is UNCLASSIFIED.

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**From:** Forgeron, Luke A [<mailto:ForgeronLA@state.gov>]

**Sent:** Tuesday, July 12, 2016 6:41 PM

**To:** (U) Laine, Andrew J; Posey, Tameka S

**Cc:** [redacted]

**Subject:** State Dept Fellow -- Audio Podcasts on Sports Diplomacy

Andy and Tameka,

Our colleague [redacted] who is currently assigned to ECA's Sports Diplomacy Office but is on a Council on Foreign Relations Fellowship with the NBA has produced a series of podcasts for CFR about sports diplomacy. The podcasts will likely be released through CFR's web properties, but [redacted] is clearing the transcripts with ECA. I advised him to send the transcripts to PA Clearances as well. Any assistance/guidance you can provide him would be much appreciated.

Thanks in advance, and best,

Luke

PA/ODE  
x7-5442

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Wednesday, July 20, 2016 2:39 AM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Podcast Review - Interview with Michelle Kwan

RELEASE IN PART  
B6

B6

The Department has completed the review of your podcast interview with Michelle Kwan, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this podcast are yours and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Friday, July 15, 2016 3:15 PM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Podcast Review - Summer Sanders Interview

RELEASE IN PART  
B6

B6

The Department has completed the review of your podcast interview with Summer Sanders, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this podcast are yours and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Friday, July 15, 2016 7:21 AM  
**To:** [REDACTED] [REDACTED]  
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review - Muhamad Olimat's "China and Central Asia in the Post-Soviet Era"  
**Attachments:** ShafferReviewChinaCentralAsiaPostSoviet (NG edit).doc

B6

Mr. [REDACTED]

The Department has completed the review of your book review of Muhamad Olimat's "China and Central Asia in the Post-Soviet Era", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

We have included a suggested, tracked edit for your consideration.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

**From:** [redacted] <[redacted]>  
**Sent:** Monday, July 18, 2016 8:01 AM  
**To:** PA Reviews Mailbox  
**Cc:** [redacted] (Amman)  
**Subject:** Re: Submission of manuscript for PA review

RELEASE IN PART B6
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B6

Thank you!

On Jul 18, 2016, at 7:19 AM, PA Reviews Mailbox <pareviews@state.gov> wrote:

Good Morning [redacted]

We reviewed your manuscript and do not believe that it is a matter of Department concern. Therefore it does not require a PA review.

Please let us know if you have any further questions.

Sincerely,

PA Reviews

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**From:** [redacted] [[http://redirect.state.sbu/?url=mailto:\[redacted\]@state.gov](http://redirect.state.sbu/?url=mailto:[redacted]@state.gov)]  
**Sent:** Thursday, July 14, 2016 11:02 AM  
**To:** PA Reviews Mailbox  
**Cc:** [redacted] PA Reviews Mailbox  
**Subject:** RE: Submission of manuscript for PA review

Dear PA,

Thank you for your email. My answers are below.

Best regards,

[redacted]

- What is the author's first and last name, current job title and office symbol? [redacted]  
Foreign Service Officer, FSI/NEA
- Is the author writing in his/her private capacity or official capacity? Private capacity
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration. Not sure. If this book is published, it will likely bring in remuneration. However, I may end up paying costs out-of-pocket.
- Does the author intend to use a disclaimer? If so, how will the disclaimer read? I defer to PA on whether or not this is necessary. The manuscript does not mention my job as an FSO or the State Dept at all.
- Who else has cleared (your supervisor, others within the Department of State)? No one. This manuscript is not work-related.
- Where does the author intend to submit the article/manuscript for publication? I will find a publishing house. TBD.

- Does the paper relate in any way to the author's current duties or duties he/she had over the last year? No.
- Best contact information (e-mail and telephone)

B6

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**From:** PA Reviews Mailbox [<http://redirect.state.sbu/?url=mailto:pareviews@state.gov>]  
**Sent:** Thursday, July 14, 2016 10:47 AM  
**To:**   
**Cc:**  PA Reviews Mailbox  
**Subject:** RE: Submission of manuscript for PA review

Thank you for your e-mail.

Please provide answers to the following questions and then resubmit to PA Reviews.

Thank you

#### QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
- Is the author writing in his/her private capacity or official capacity?
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
- Who else has cleared (your supervisor, others within the Department of State)?
- Where does the author intend to submit the article/manuscript for publication?
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
- Best contact information (e-mail and telephone)

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**From:**  A [<http://redirect.state.sbu/?url=mailto:input@state.gov>]  
**Sent:** Thursday, July 14, 2016 10:44 AM  
**To:** PA Reviews Mailbox  
**Cc:** Trudeau, Elizabeth K;   
**Subject:** Submission of manuscript for PA review

Dear Public Affairs,

Please find attached the draft of a book I would like to publish. I am a Foreign Service Officer and am submitting the draft to you for review per the FAM guidelines. The book is a personal story about my late father, a Syrian who immigrated to the United States in 1961, and a chronicle of his life. He passed away in 2014 and I began writing the book soon thereafter.

Please let me know if you need any more information. I tried to reduce the size of the file as much as possible, but please let me know if there are any technical issues with this version.

Many thanks,

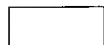
**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Wednesday, July 20, 2016 2:39 AM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Podcast Review - Interview with Alex Wolff

RELEASE IN PART  
B6

B6



The Department has completed the review of your podcast interview with Alex Wolff, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this podcast are yours and not necessarily those of the U.S. Government.

Thank you,

PA Reviews



**Hartmann, Lorraine (Lori)**

---

**From:** PA Reviews Mailbox  
**Sent:** Wednesday, July 20, 2016 1:50 PM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Podcast Interview with Congressman Jim Jordan

RELEASE IN PART  
B6

B6

The Department has completed the review of your podcast interview with Congressman Jim Jordan, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this podcast are yours and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

---

**From:** PA Reviews Mailbox  
**Sent:** Wednesday, July 20, 2016 1:52 PM.  
**To:** [REDACTED]  
**Cc:** PA Reviews Mailbox  
**Subject:** Podcast Interview with Johann Olav Koss

RELEASE IN PART  
B6

B6

[REDACTED]

The Department has completed the review of your podcast interview with Johann Olav Koss, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this podcast are yours and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

---

**From:** PA Reviews Mailbox  
**Sent:** Wednesday, July 20, 2016 6:49 AM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Pre-Publication Review - Losing Hearts and Minds: From Brexit to November

RELEASE IN PART  
B6

B6

The Department has completed the review of your blog post "Losing Hearts and Minds: From Brexit to November", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

---

**From:** PA Reviews Mailbox  
**Sent:** Monday, July 25, 2016 8:18 AM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Pre-Publication Review - The Grand Strategy That Won the Cold War: Architecture of Triumph

RELEASE IN PART  
B6

B6

The Department has completed the review of your book review of "The Grand Strategy That Won the Cold War: Architecture of Triumph", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

RELEASE IN PART  
B6

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox <pareviews@state.gov>  
**Sent:** Monday, July 25, 2016 8:13 AM  
**To:** PA Reviews Mailbox  
**Subject:** (\* Book Review \*)



# PA Reviews

## Clearance Request

**Request Date:** 7/25/2016  
**Request Title:** (\* Book Review \*)  
**Contact Name:** [REDACTED]

You have been selected by the PA Reviews Mailbox to provide input to whether this Request is **CLEARED OR DENIED**.

[View Request](#)

B6

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox <pareviews@state.gov>  
**Sent:** Wednesday, July 27, 2016 2:53 PM  
**To:** PA Reviews Mailbox  
**Subject:** (\* Brexit Vote Impacts Irish Far \*)

RELEASE IN PART  
B6



## Clearance Request

Request Date: 7/27/2016  
Request Title: (\* Brexit Vote Impacts Irish Far \*)  
Contact Name:

You have been selected by the PA Reviews Mailbox to provide input to whether this Request is CLEARED OR DENIED.

[View Request](#)

B6

**Hartmann, Lorraine (Lori)**

---

**From:** PA Reviews Mailbox  
**Sent:** Thursday, July 28, 2016 7:30 AM  
**To:**   
**Cc:** Fox, Eleanore M; PA Reviews Mailbox  
**Subject:** Publication Review - Brexit-Vote Impacts Irish Farmers

RELEASE IN PART  
B6

. B6



The Department has completed the review of your article "Brexit Vote Impacts Irish Farmers", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Also please work directly with PA/ODE regarding placement on DipNote.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

**From:**

**Sent:** Friday, July 29, 2016 3:19 AM

**To:** PA Reviews Mailbox

**Subject:** Personal capacity article for review - MERICS Web Special

B6

RELEASE IN PART B6
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Good morning PA Reviews,

Attached please find another personal-capacity article for your review. It was co-authored with a colleague here at MERICS.

PLEASE NOTE: In addition to the graphics, pictures, and charts in the attached, there are also two original videos that we have created as supplementary material for our manuscript. They are linked to from near the top of page 4 in the attached manuscript. The text is as follows:

See for yourself: click here for a compilation of press conference footage of Jiang Zemin, Zhu Rongji, Hu Jintao, Wen Jiabao, Xi Jinping, and Li Keqiang. An extended version is also available here.

The short video is only a condensed version of the long video – it does not contain any additional content.

Below you will find the standard question/answer list for personal-capacity articles; please let me know if you require any further information at this time.

I will be away from my work email accounts for the next week, so please send any correspondence to this email account.

Thank you!

#### QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

What is the author's first and last name, current job title and office symbol?

Foreign Affairs Research Analyst, INR/EAP

Is the author writing in his/her private capacity or official capacity?

Personal capacity – I am a visiting fellow at a think tank

Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.

No. As part of my fellowship, the think tank is providing housing while I am in Berlin, but I am not being paid for this article.

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

Yes, I plan to have a statement in the article that says, "This article reflects the personal views of the author and not necessarily the views of the U.S. Government or the Department of State." Please see attached.



Who else has cleared (your supervisor, others within the Department of State)?

No one at State has yet cleared.

Where does the author intend to submit the article/manuscript for publication?

This article will be published as a "Web Special" on the MERICS website (hosted by the institute where I am currently a fellow).

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

Yes, in INR my secondary (backup) portfolio covers China leadership issues.

**Hartmann, Lorraine (Lori)**

---

**From:** PA Reviews Mailbox  
**Sent:** Wednesday, August 03, 2016 8:40 AM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Pre-Publication Review: Branding Xi

RELEASE IN PART  
B6

B6

Dear

The Department has completed the review of your article "Branding Xi", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Wednesday, August 03, 2016 11:41 AM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Book to be published: Diversify or Die

RELEASE IN PART  
B6

B6

Hi

Thanks for submitting the draft to us. We agree that the material in your paper does not address matters of Departmental concern and need not be submitted for review. In addition, the disclaimer is only required if your personal capacity communication is on a matter of Departmental concern.

Thank you,

Tameka  
PA Reviews

---

**From:**  [mailto:@state.gov]  
**Sent:** Tuesday, August 02, 2016 3:24 PM  
**To:** PA Reviews Mailbox  
**Subject:** Book to be published: Diversify or Die

Tameika,

Thank you for talking to Rachel and me. Attached please find the my book. I would have submitted saved drafts of this book sooner, but I kept making substantial edits. But with this version, the substantial editing is complete so I will delete the other potential submissions.

Here is more information:

- I am in OBO/CFSM/FAC
- I was not intending on using a disclaimer as I am not writing this book as a DOS PSC/employee and I do not mention OBO or DOS in the book at all.
- I intend to self-publish..
- The subject is diversity and inclusion

Please let me know if you need anything else.

**Hartmann, Lorraine (Lori)**

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**From:** [redacted] <[redacted]@state.gov>  
**Sent:** Wednesday, August 03, 2016 7:34 AM  
**To:** PA Reviews Mailbox  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Request for Clearance: [redacted] fiction for Prothom Alo / Bangladesh

RELEASE IN PART  
B6

B6

Thank you – WHA Press reached out yesterday to let me know that they were having trouble retrieving it from the database, thus, they asked for the information by email and would then run clearances. I'll double check with them this morning. Thanks for reaching out!

[redacted]

This email is UNCLASSIFIED.

---

**From:** PA Reviews Mailbox [mailto:pareviews@state.gov]  
**Sent:** Wednesday, August 03, 2016 7:23 AM  
**To:** [redacted]  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Request for Clearance: [redacted] fiction for Prothom Alo / Bangladesh

Good Morning [redacted]

We still haven't received this request via the database.

We reviewed the text you sent us via e-mail and do not believe that the content is of Department concern. Therefore, it does not require PA review.

Please let us know if you have any questions.

Thank you

---

**From:** PA Reviews Mailbox  
**Sent:** Tuesday, August 02, 2016 8:12 AM  
**To:** [redacted]  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Request for Clearance: [redacted] fiction for Prothom Alo / Bangladesh

Ok – we haven't received it yet, but will wait for it to come through the system.

---

**From:** [redacted] [mailto:[redacted]@state.gov]  
**Sent:** Tuesday, August 02, 2016 8:08 AM  
**To:** PA Reviews Mailbox  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Request for Clearance: [redacted] fiction for Prothom Alo / Bangladesh

Thanks – I just received the information for using the database and created a profile and submitted it through there. Sorry that I wasn't aware of the database (I didn't notice it in the FAM).

Best,

[redacted]

B6

This email is UNCLASSIFIED.

---

**From:** PA Reviews Mailbox [mailto:pareviews@state.gov]

**Sent:** Tuesday, August 02, 2016 8:06 AM

**To:** [redacted]

**Cc:** PA Reviews Mailbox

**Subject:** RE: Request for Clearance: [redacted] fiction for Prothom Alo / Bangladesh

[redacted]

Please provide answers to the following questions and resubmit the request.

Thank you.

- What is the author's first and last name, current job title and office symbol?
- Is the author writing in his/her private capacity or official capacity?
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
- Who else has cleared (your supervisor, others within the Department of State)?
- Where does the author intend to submit the article/manuscript for publication?
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

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**From:** [redacted] [mailto:[redacted]@state.gov]

**Sent:** Tuesday, August 02, 2016 7:40 AM

**To:** PA Reviews Mailbox

**Cc:** McConnell, Ann B; Biggins, Namita S

**Subject:** Request for Clearance: [redacted] fiction for Prothom Alo / Bangladesh

Good morning,

Attached is a DRAFT fiction piece written at the request of the daily newspaper Prothom Alo from Dhaka, Bangladesh. I have received clearance from the following:

SCA: Public Diplomacy Desk Officer: Biggins

Embassy Dhaka: PAO McConnell

Embassy Santo Domingo: DCM Dunn and a/PAO Urry

Based on feedback from SCA and Embassy Dhaka, I removed references from the draft to Gulshan and "bargaining." Attached is the "final" DRAFT version for clearance.

What: Fiction-style memoir of time in Dhaka

Attribution: From [redacted] a U.S. citizen who lived in Dhaka from 2012 – 2014 (note: no USG reference)

Who: Prothom Alo, Bangladesh's leading Bangla news daily

Many thanks,

[Redacted]

B6

[Redacted]

Press and Information Officer

U.S. Embassy Santo Domingo

Phone: 809-368-7194

Mobile: [Redacted]

Email: [Redacted]@state.gov

This email is UNCLASSIFIED.

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Wednesday, August 03, 2016 11:57 AM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Review Status: US Diplomats in Latvia and Estonia 1938-40

RELEASE IN PART  
B6

B6

The Department has completed the review of your article "Raging Rumors: American Diplomats' Reportage from Latvia and Estonia, 1938-1940", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed are yours alone and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

RELEASE IN PART  
B6

**Hartmann, Lorraine (Lori)**

**From:** [redacted] <[redacted]@state.gov>  
**Sent:** Friday, August 05, 2016 9:21 AM  
**To:** Janik, Jonathan; Fogarty, Caitlin F  
**Cc:** WHA-Press; PA Reviews Mailbox  
**Subject:** RE: Op-ed: Environmental Protection White Rose of Diplomacy

B6

Good morning,

Thank you so much for facilitating this process, especially within a short timeframe.

Best regards,

[redacted]

This email is UNCLASSIFIED.

---

**From:** Janik, Jonathan  
**Sent:** Friday, August 05, 2016 8:51 AM  
**To:** [redacted] Fogarty, Caitlin F  
**Cc:** WHA-Press; [redacted]  
**Subject:** RE: Op-ed: Environmental Protection White Rose of Diplomacy

Hello [redacted]

You are good to go. PA cleared with the caveat you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Jonathan

---

**From:** [redacted]  
**Sent:** Thursday, August 04, 2016 11:03 AM  
**To:** Janik, Jonathan; Fogarty, Caitlin F  
**Cc:** WHA-Press; [redacted]  
**Subject:** RE: Op-ed: Environmental Protection White Rose of Diplomacy

Great thank you.

I added the statement in the attachment. Is it now good to go?

Best regards,

[redacted]



This email is UNCLASSIFIED.

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**From:** Janik, Jonathan  
**Sent:** Thursday, August 04, 2016 10:51 AM  
**To:** [REDACTED] Fogarty, Caitlin F  
**Cc:** WHA-Press; [REDACTED]  
**Subject:** RE: Op-ed: Environmental Protection White Rose of Diplomacy

B6

[REDACTED]

Per PA, the standard disclaimer needs to be included in the word document; this is a 3 FAM 4170 requirement.

Jonathan

---

**From:** [REDACTED]  
**Sent:** Wednesday, August 03, 2016 3:17 PM  
**To:** Fogarty, Caitlin F  
**Cc:** WHA-Press; [REDACTED]  
**Subject:** Op-ed: Environmental Protection White Rose of Diplomacy

Hi.Cait,

Friday is my last day and it seems you are leaving tomorrow. Any word on my op-ed status? I'm ccing my personal email on this in case it goes beyond Friday.

Thank you,

[REDACTED]

This email is UNCLASSIFIED.

**Hartmann, Lorraine (Lori)**

---

**From:** PA Reviews.Mailbox  
**Sent:** Friday, August 05, 2016 6:57 AM  
**To:** [REDACTED]  
**Cc:** WHA-Press  
**Subject:** Publication Review - Environmental Reform: The White Rose of Diplomacy in Cuba

RELEASE IN PART  
B6

B6

[REDACTED]

The Department has completed the review of your article "Environmental Reform: The White Rose of Diplomacy in Cuba", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

RELEASE IN PART  
B6**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Tuesday, August 09, 2016 3:07 PM  
**To:** EAP-Press; McKeeby, David I  
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review: Foreign Military Education in China and Implications for U.S. Partnerships  
**Attachments:** Foreign Military Education in China and Implications for U.S. Partnerships.docx

EAP and PM Colleagues:

Attached for your review is a 18-page article, The PLA's 'Soft Power' Approach: Foreign Military Education in China and Implications for U.S. Partnerships, co-authored by [redacted] in his private capacity. We would appreciate hearing from you by **Monday, August 15**. Please see Mr. [redacted] responses to the standard questions below for additional details.

B6

**QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES PUBLISHED**

- What is the author's first and last name, current job title and office symbol?
  - [redacted] F/NEA
- Is the author writing in his/her private capacity or official capacity?
  - private capacity
- Will the author receive remuneration outside of his/her Department of State salary for the article?
  - No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
  - Yes. "The views expressed in this article are solely those of the authors."
- Who else has cleared (your supervisor, others within the Department of State)?
  - I have spoken to my supervisor, Laura Hall, (Department of State civil service) and my contract supervisor as I am a contractor with the Department.
- Where does the author intend to submit the article for publication?
  - Primarily military journals such as Parameters/Joint Force Quarterly/etc.
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
  - Duties relate tangentially, however, the ideas in the article were shaped largely prior to commencing work as a contractor with the Department of State. The experience and relevant knowledge that went into this article originated from my work at the National Defense University (NDU) in the field of professional military education. This is co-written with a current NDU employee.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you.

PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Wednesday, August 10, 2016 6:42 AM  
**To:** [REDACTED]  
**Cc:** Gibson, Kimberly N (Nicole); PA Reviews Mailbox  
**Subject:** RE: Review of Publication

RELEASE IN PART  
B6

B6

Dear [REDACTED],

Thank you for your e-mail. We've looked at the article and do not believe it is a matter of Department concern. Therefore it does not require a PA review.

Please let us know if you have any questions.

Thank you

PA Reviews

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**From:** [REDACTED] [mailto:[REDACTED]@state.gov]  
**Sent:** Tuesday, August 09, 2016 3:57 PM  
**To:** PA Reviews Mailbox  
**Cc:** Gibson, Kimberly N (Nicole)  
**Subject:** Review of Publication

Dear PA Reviews,

I am a new employee and prior to working at the State Department, I worked at Argonne National Laboratory. Though no longer being paid, I kept my association with ANL to facilitate correspondence on publications.

Effective 8/10/16 (tomorrow), I will no longer have any association with ANL. The corresponding author on the paper that I still have in process has been switched to another colleague. However, it will officially be published while I am an employee of the State. Therefore, I would like to obtain clearance from you and make sure I go through the proper procedures.

I should clarify that the subject matter of this paper has nothing to do with my work here at State. Additionally, you'll see on the cover page that I am being listed as neither an employee of State nor an employee of Argonne. I simply have no affiliation.

Please let me if you need any other information.

V/r,

[REDACTED]

[REDACTED]  
EGCI Program Manager  
Office of Energy Programs  
Bureau of Energy Resources  
U.S. Department of State  
Tel: +1 202 647-9158

BB: +1

E-mail: @state.gov

B6



This email is UNCLASSIFIED.

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Friday, August 12, 2016 8:42 AM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Podcast Interview with Tiff Roberts

RELEASE IN PART  
B6

B6



The Department has completed the review of your podcast interview with Tiff Roberts, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this podcast are yours and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Friday, August 12, 2016 8:36 AM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Podcast Interview with Ruth Riley

RELEASE IN PART  
B6

B6

The Department has completed the review of your podcast interview with Ruth Riley, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this podcast are yours and not necessarily those of the U.S. Government.

Thank you,

PA Reviews



**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Friday, August 12, 2016 8:25 AM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Podcast Interview with Jed Lowrie

RELEASE IN PART  
B6

B6

The Department has completed the review of your podcast interview with Jed Lowrie, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this podcast are yours and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Monday, August 15, 2016 8:36 AM  
**To:** [REDACTED]  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Podcast Transcript Review Request - Katie Uhlaender

RELEASE IN PART B6
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B6

[REDACTED]

The Department has completed the review of your podcast interview with Katie Uhlaender, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this podcast are yours and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

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**From:** [REDACTED] [mailto:[REDACTED]@state.gov]  
**Sent:** Sunday, August 14, 2016 10:53 PM  
**To:** PA Reviews Mailbox  
**Subject:** Podcast Transcript Review Request - Katie Uhlaender

PA Clearances:

Please find attached the transcript for a podcasts I'm submitting for review. This is my interview with US Winter Olympian Katie Uhlaender. Background info follows below.

You guys have been great to work with, by the way. Thank you!

R/  
 [REDACTED]

- **Please provide your first and last name, current job title and office symbol**  
 [REDACTED], International Affairs Fellow, HR/CFR
- **Is this podcast in his/her private capacity or official capacity?**  
 Private capacity
- **Will you receive remuneration outside of your Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.**  
 No
- **Do you intend to use a disclaimer? If so, how will the disclaimer read?**  
 Yes. "The views and opinions expressed by the guests of the DiploSport podcast do not necessarily represent the official policy or position of any agency of the United States Government or any organization, public or private."
- **Who else has cleared (your supervisor, others within the Department of State)?**  
 Under my Fellowship, I don't have a State Dept. supervisor, per se. I have sent these to ECA/PD (Nathan Arnold) and the ECA SportsUnited Office for clearance.
- **Where will this podcast air? Where will the audio/transcript be posted?**

I would like to air them in a weekly format, beginning in August around the Olympics. I will release them myself via a "DiploSport" Podcast on iTunes. I have about 18 in the can right now, and would like to finish up 6-10 more before the end of my Fellowship in Sept.

- **Does the podcast relate to your current duties or over the last year?**

No. I conducted the interviews on my own time, travelling at my own expense over the course of my fellowship year. I was the Sports Diplomacy Cultural Affairs Officer in ECA from May '13-May '15, but haven't been in that role for more than a year.

- **Please provide contact information (telephone and e-mail).**

[redacted] / [redacted]@state.gov

B6

This email is UNCLASSIFIED.

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Monday, August 15, 2016 10:43 AM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Review Status: Foreign Military Education in China and Implications for U.S. Partnerships

RELEASE IN PART  
B6

B6

Mr.

The Department has completed the review of your article "The PLA's 'Soft Power' Approach: Foreign Military Education in China and Implications for U.S. Partnerships", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** Greenberg, Brenda L <GreenbergBL2@state.gov>  
**Sent:** Wednesday, August 17, 2016 12:27 PM  
**To:** PA Reviews Mailbox  
**Subject:** RE: Question from State Detailee to JHU SAIS [REDACTED]

RELEASE IN PART  
B6

B6

Perfect – thank you!!!!

This email is UNCLASSIFIED.

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**From:** PA Reviews Mailbox [mailto:pareviews@state.gov]  
**Sent:** Wednesday, August 17, 2016 12:19 PM  
**To:** Greenberg, Brenda L  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Question from State Detailee to JHU SAIS [REDACTED]

Brenda,

PA and EUR have completed the review of "Prospects for the Future" section for a book entitled Nordic Countries, pursuant to 3 FAM 4170 and determined that the author may proceed, provided that the author includes the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed are those of the author and not necessarily those of the U.S. Government.

Thank you.

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**From:** PA Reviews Mailbox  
**Sent:** Wednesday, August 17, 2016 8:13 AM  
**To:** Greenberg, Brenda L  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Question from State Detailee to JHU SAIS [REDACTED]

Thanks Brenda – we'll send to EUR this morning.

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**From:** Greenberg, Brenda L [mailto:GreenbergBL2@state.gov]  
**Sent:** Wednesday, August 17, 2016 8:13 AM  
**To:** PA Reviews Mailbox; PA Reviews Mailbox  
**Subject:** RE: Question from State Detailee to JHU SAIS [REDACTED]

[REDACTED] chatted with Athena Katsoulos. Athena told her that she has to wait for it to go through the regular PA channels.

It appears that PA will need to seek EUR clearance.

Thanks for your help with this.

Brenda

---

**From:** "Greenberg, Brenda L" <GreenbergBL2@state.gov>  
**To:** [redacted] <[redacted]>  
**Sent:** Tuesday, August 16, 2016 12:11 PM  
**Subject:** RE: Hi Brenda, me again

B6

Did you try Athena Katsoulos at 7-6975?

This email is UNCLASSIFIED.

---

**From:** PA Reviews Mailbox [mailto:pareviews@state.gov]  
**Sent:** Wednesday, August 17, 2016 7:46 AM  
**To:** Greenberg, Brenda L; PA Reviews Mailbox  
**Subject:** RE: Question from State Detailee to JHU SAIS [redacted]

Brenda:

Please advise if EUR has already cleared on this request, or if we will need to seek EUR clearance.

Thank you

---

**From:** Greenberg, Brenda L [mailto:GreenbergBL2@state.gov]  
**Sent:** Tuesday, August 16, 2016 5:08 PM  
**To:** PA Clearances Mailbox  
**Subject:** RE: Question from State Detailee to JHU SAIS [redacted]

Please note that [redacted] will include this disclaimer: These are my views and not necessarily those of the Department.

This email is UNCLASSIFIED.

---

**From:** Greenberg, Brenda L  
**Sent:** Tuesday, August 16, 2016 12:22 PM  
**To:** PA Clearances Mailbox; PA Reviews Mailbox (pareviews@state.gov)  
**Cc:** [redacted]  
**Subject:** Question from State Detailee to JHU SAIS [redacted]

PA: Please note that I have entered this in the PA clearance database for Ms. [redacted] since she does not have access to our database.

Brenda

This email is UNCLASSIFIED.

---

**From:** [redacted] [mailto:[redacted]]  
**Sent:** Tuesday, August 16, 2016 10:41 AM  
**To:** Greenberg, Brenda L  
**Subject:** Hi Brenda, me again

B6

Greetings Brenda,

I hope you are having a wonderful summer. I have been working on a project at Johns Hopkins, SAIS and am editing a book. I have been told by L and PA that I do not need clearances for editing something I have not written, so am proceeding in that way. However, I have now co-drafted a short prospects for the future piece which will go at the end of this book. I am including it here and looking for your advice on whom to send it to in the Department. I believe it will have to go to EUR because it is about the Nordic region, but I know from last time that L changes who works on these.

The book project itself is called "Nordic Ways" and was born out of the increasing interest in the Nordic countries in the United States. It is a collection of 45 essays from prominent Nordic people across a vast array of disciplines (everything from architecture, arts, design, education, economics), and flows rather nicely with the outcomes and statements from the US-Nordic Summit hosted by President Obama earlier this spring.

Your advice would be, as always, most welcome, and I have attempted to answer those questions that I know will be asked by L, in advance....below.

I have not received, nor will I receive any financial compensation for this work.

I will place the appropriate language on the bottom of the page noting that these are my views and not necessarily those of the Department.

This work is being done as part of my secondment assignment to Johns Hopkins, SAIS.

Thanks Brenda and I look forward hearing back from you soon.

Regards, [redacted]

**Hartmann, Lorraine (Lori)**

---

**From:** PA Reviews Mailbox  
**Sent:** Wednesday, August 17, 2016 3:27 PM  
**To:** Maier, Christina A; Greenberg, Brenda L  
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review: Breathless in Jakarta  
**Attachments:** Breathless in Jakarta.docx

RELEASE IN PART  
B6

M Colleagues:

Attached for your review is a 3-page article, *Breathless in Jakarta—A Cautionary Tale*, written in a private capacity by [redacted]. We would appreciate hearing from you by Friday, August 19. Please see Ms [redacted] responses to the standard questions below for additional details. B6

**QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES PUBLISHED**

- What is the author's first and last name, current job title and office symbol?
  - o [redacted] Deputy Director CSO/AF
- Is the author writing in his/her private capacity or official capacity?
  - o private capacity
- Will the author receive remuneration outside of his/her Department of State salary for the article?
  - o No
- Who else has cleared (your supervisor, others within the Department of State)?
  - o None
- Where does the author intend to submit the article for publication?
  - o Foreign Service Journal
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
  - o No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you.

PA Reviews



**Hartmann, Lorraine (Lori)**

---

**From:** PA Reviews Mailbox  
**Sent:** Wednesday, August 17, 2016 3:39 PM  
**To:** [REDACTED]  
**Cc:** [REDACTED] PA Reviews Mailbox  
**Subject:** RE: Article written in personal capacity for review

RELEASE IN PART  
B6

B6

[REDACTED]

The Department has completed the review of your article "Jimmy Carter's Forgotten Latin America Legacy", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed are yours alone and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

---

**From:** [REDACTED] [mailto:[REDACTED]@state.gov]  
**Sent:** Monday, August 15, 2016 8:36 AM  
**To:** PA Reviews Mailbox  
**Cc:** [REDACTED]  
**Subject:** Article written in personal capacity for review

Hi,

I wrote the attached article in my personal capacity and intend to try to get it published in a foreign affairs journal. Lana Vahab from the Office of Ethics and Financial Disclosure instructed me to email it to this address. I wrote it before coming to the State Department (I just started three weeks ago), on the Carter Administration's Latin American foreign policy, and do not intend to get financially compensated.

Please let me know if there is any additional information you require from me.

Thank you,

[REDACTED]

Venezuela Desk Officer  
Office of Andean Affairs  
Bureau of Western Hemisphere Affairs  
[REDACTED]@state.gov | HST-4915 | (202) 647-3338

This email is UNCLASSIFIED.

**Hartmann, Lorraine (Lori)**

---

**From:** Helton, Candice H <HeltonCH@state.gov>  
**Sent:** Wednesday, August 24, 2016 3:50 PM  
**To:** PA Reviews Mailbox  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Clearance Status for - (\*Political Science and Internat\*)

RELEASE IN PART  
B6

Many thanks.

Best, CH

**Candice H. Helton | Public Affairs Specialist**  
Bureau of Conflict & Stabilization Operations (CSO)  
Office of Partnerships, Strategy, and Communications  
U.S. Department of State  
202.663.0852 | 202.374.3221  
**FOLLOW CSO ONLINE**  
[Twitter](#) | [Facebook](#)  
[Email CSO](#) | [State.gov/J/CSO](#)

This email is UNCLASSIFIED.

---

**From:** PA Reviews Mailbox [mailto:pareviews@state.gov]  
**Sent:** Wednesday, August 24, 2016 3:46 PM  
**To:** Helton, Candice H  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Clearance Status for - (\*Political Science and Internat\*)

Hi Candice,

The disclaimer listed herein is fine to use. Or simply, "The views presented in this book are those of the author only and not necessarily those of the U.S. Government or Georgetown University". Thanks for checking.

---

**From:** Helton, Candice H [mailto:HeltonCH@state.gov]  
**Sent:** Wednesday, August 24, 2016 3:32 PM  
**To:** PA Reviews Mailbox  
**Subject:** FW: Clearance Status for - (\*Political Science and Internat\*)

Hello – Thank you for clearing Mr. [ ] publication. For clarification, is the language below sufficient enough along with the caveat for Mr. [ ] publication? He specifically would like to know what is the exact language that needs to be included in his disclaimer.

B6

*The views presented in this book are the author's only and do not represent in any shape or form those of the United States Government or Georgetown University.*

Many thanks, CH

**Candice H. Helton | Public Affairs Specialist**  
Bureau of Conflict & Stabilization Operations (CSO)

Office of Partnerships, Strategy, and Communications  
U.S. Department of State  
202.663.0852 | 202.374.3221  
**FOLLOW CSO ONLINE**  
[Twitter](#) | [Facebook](#)  
[Email CSO](#) | [State.gov/J/CSO](#)

This email is UNCLASSIFIED.

---

**From:** [REDACTED]  
**Sent:** Tuesday, August 23, 2016 2:24 PM  
**To:** Helton, Candice H  
**Cc:** Holzhauer, Robin L  
**Subject:** RE: Clearance Status for - (\*Political Science and Internat\*)

B6

Hi Candice,

To confirm, all of this language needs to be on or in the book? Can you confirm exactly what language (all or part of it) and where it needs to be listed? I just want to make sure I provide the correct instructions to the publisher.

Thank you!

[REDACTED]

This email is UNCLASSIFIED.

---

**From:** Helton, Candice H  
**Sent:** Tuesday, August 23, 2016 2:18 PM  
**To:** [REDACTED]  
**Cc:** Holzhauer, Robin L  
**Subject:** RE: Clearance Status for - (\*Political Science and Internat\*)

Hi [REDACTED] - I believe in addition to your disclaimer you must include the following FAM disclaimer as well.  
<http://arpsdir.a.state.gov/fam/03fam/03fam4170.html>

## **3 FAM 4176 PERSONAL CAPACITY PUBLIC APPEARANCES AND PUBLICATIONS**

### **3 FAM 4176.1 General**

(CT:PER-776; 07-27-2015)

(State/USAID)

*(Applies to all Employees in the United States and Abroad)*

- a. Employees who, in their personal capacity, wish to communicate publicly on matters that are clearly not "of Departmental concern" (see 3 FAM 4173) need not seek Department review under the procedures outlined herein, and need not use the personal capacity disclaimer discussed below in paragraph (b). If there is doubt as to the need to seek review or use a disclaimer, employees should seek guidance from the appropriate Final Review Office (see 3 FAM 4174.3(a)). Please note that all employee public communications must comply with the content rules in 3 FAM 4176.2.

- b. Unless the appropriate Final Review Office indicates otherwise, employees who are communicating publicly in their personal capacity on matters of Departmental concern must include a disclaimer indicating that the views expressed are their own and not necessarily those of the U.S. Government. However, such a disclaimer is not required when re-posting previously cleared and publicly disseminated U.S. Government content in a social media environment. Where such disclaimers are required but difficult to include (such as in a microblog character-limited format), employees must include information in their profiles indicating that their views are personal. In some cases, PA will provide specific disclaimer language as warranted by the topic or nature of publication.
- c. When engaging in personal capacity public communication, employees may generally not accept compensation if the activity "relates to the employee's official duties." See 11 FAM 600. If abroad, see also 3 FAM 4120, "Employee Responsibilities Abroad."
- d. An employee may not improperly use U.S. Government time or resources for preparing or conducting personal capacity communications. See 5 FAM 723. An employee also may not use his or her official title for personal capacity communications, except as part of a biographical text that includes the disclaimer discussed above in paragraph (b), and provided the reference to the title is not given any special prominence. Additionally, an employee may not violate other applicable ethical standards of character, integrity, and conduct, including, for example, the rules prohibiting the use of public office for private gain and the rules concerning prohibited political activity.
- e. As stated in 3 FAM 4174.2(c)(1), the review process is limited to three purposes. (See also 3 FAM 4176.4.) Therefore, completion of the review process is not a Department "clearance" or "approval" of the planned communication, and is not meant to insulate employees from discipline or other administrative action related to their communications, including for conducting personal capacity public communications that interfere with the Department's ability to effectively and efficiently carry out its mission and responsibilities, by, for example, disrupting operations, impairing working relationships, or impeding the employee from carrying out his or her duties. Ultimately, employees remain responsible for their personal communications whether or not the communications are on topics of Departmental concern.

Best, CH

**Candice H. Helton | Public Affairs Specialist**  
 Bureau of Conflict & Stabilization Operations (CSO)  
 Office of Partnerships, Strategy, and Communications  
 U.S. Department of State  
 202.663.0852 | 202.374.3221  
**FOLLOW CSO ONLINE**  
[Twitter](#) | [Facebook](#)  
[Email CSO](#) | [State.gov/J/CSO](#)

This email is UNCLASSIFIED.

**From:** [REDACTED]  
**Sent:** Tuesday, August 23, 2016 2:05 PM  
**To:** Helton, Candice H  
**Cc:** Holzhauer, Robin L  
**Subject:** RE: Clearance Status for - (\*Political Science and Internat\*)

B6

Candice,

Thank you for this good news, and your help in securing PA's approval.

Can you confirm that the following disclaimer is compliant?: *"The views presented in this book are the author's only and do not represent, in any shape or form, those of the United States Government or Georgetown University"*

Best,

[REDACTED]

This email is UNCLASSIFIED.

**From:** Helton, Candice H  
**Sent:** Tuesday, August 23, 2016 1:57 PM  
**To:** [REDACTED]  
**Cc:** Holzhauer, Robin L  
**Subject:** FW: Clearance Status for - (\*Political Science and Internat\*)

B6

Hi [REDACTED] - Please see the disclaimer below

Best, CH

**Candice H. Helton | Public Affairs Specialist**  
Bureau of Conflict & Stabilization Operations (CSO)  
Office of Partnerships, Strategy, and Communications  
U.S. Department of State  
202.663.0852 | 202.374.3221  
**FOLLOW CSO ONLINE**  
[Twitter](#) | [Facebook](#)  
[Email CSO](#) | [State.gov/J/CSO](#)

This email is UNCLASSIFIED.

**From:** PA Reviews Mailbox [<mailto:pareviews@state.gov>]  
**Sent:** Tuesday, August 23, 2016 1:46 PM  
**To:** Helton, Candice H  
**Cc:** Helton, Candice H  
**Subject:** Clearance Status for - (\*Political Science and Internat\*)

## Clearance Request Resolution

**Clearance Request Title:** (\*Political Science and Internat\*)

**Clearance Request Submitter:** Helton, Candice

**This Request has been Approved**

**Approved with Caveat:**

PA Reviews Mailbox

The Department has completed the review of [REDACTED] manuscript entitled, "Great Powers and Fragmented Allies: Cooperating to Balance Internal Threats" pursuant to 3 FAM 4170 and determined that the author may proceed provided that the author includes the disclaimer required for personal capacity public communications (see 3 FAM 4176-1).

B6

that the views expressed are his alone, and not necessarily those of the U.S. Government

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Monday, August 29, 2016 2:41 PM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** RE: For Approval: Review of "Pakistan's Counterterrorism Challenge"

RELEASE IN PART  
B6

B6

Mr.

The Department has completed the review of your request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed are your own and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**From:**  [mailto:  
**Sent:** Tuesday, August 23, 2016 7:49 PM  
**To:** PA Reviews Mailbox  
**Subject:** For Approval: Review of "Pakistan's Counterterrorism Challenge"

Hello,

I am submitting the attached book review of *Pakistan's Counterterrorism Challenge* for approval. It will be submitted to an academic journal, *Intelligence and National Security*.

Please let me know if you have any questions.

Sincerely,

-

What is the author's first and last name, current job title and office symbol?

-  Fellow/Foreign Affairs Officer, EUR/CARC

Is the author writing in his/her private capacity or official capacity?

--In private capacity.

Will the author receive remuneration outside of his/her Department of State salary for the article?

--No.

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

--Yes. It reads: "The ideas expressed are solely the author's opinions and do not represent the United States Department of State or any other agency."

Who else has cleared (your supervisor, others within the Department of State)?

--No one else has read it.

Where does the author intend to submit the article/manuscript for publication?

-- The journal, *Intelligence and National Security*.

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

--No.



**Hartmann, Lorraine (Lori)**

---

**From:** [redacted] <[redacted]@state.gov>  
**Sent:** Thursday, September 01, 2016 11:58 AM  
**To:** PA Reviews Mailbox  
**Cc:** PA Reviews Mailbox  
**Subject:** Re: Review Status: Trafficking Victim Trauma

B6

Thanks for the correspondence!

Best,

[redacted]

Sent from my BlackBerry 10 smartphone.

---

**From:** PA Reviews Mailbox  
**Sent:** Thursday, September 1, 2016 11:15 AM  
**To:** [redacted]  
**Cc:** PA Reviews Mailbox  
**Subject:** Review Status: Trafficking Victim Trauma

Dear [redacted]

The Department has completed the review of your article regarding trafficking victim trauma, pursuant to 3 FAM 4170, and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed are your own and not necessarily those of the U.S. Government.

Thank you.

PA Reviews

**Hartmann, Lorraine (Lori)**

---

**From:** PA Reviews Mailbox  
**Sent:** Friday, September 09, 2016 7:12 AM  
**To:** [REDACTED] PA Reviews Mailbox  
**Subject:** RE: Personal publication--academic/scholarly

RELEASE IN PART  
B6

B6

[REDACTED]

The Department has completed the review of your publication pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

---

**From:** [REDACTED] [mailto:[REDACTED]@state.gov]  
**Sent:** Thursday, September 08, 2016 12:18 PM  
**To:** PA Reviews Mailbox  
**Subject:** RE: Personal publication--academic/scholarly

Thank You.

Please see Answers in Bold:

QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES PUBLISHED

- What is the author's first and last name, current job title and office symbol? [REDACTED] Historian, PA/HO
- Is the author writing in his/her private capacity or official capacity? **PRIVATE**
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration. **NO**
- Does the author intend to use a disclaimer? If so, how will the disclaimer read? **YES, "The views expressed here do not necessarily reflect those of the Department of State or the U.S. government."**
- Who else has cleared (your supervisor, others within the Department of State)? **Cleared by PA/HO Supervisor William McAllister**
- Where does the author intend to submit the article/manuscript for publication? **H-Diplo/ISSF, an online source of scholarly book and article reviews, blog postings, and other communications between diplomatic historians and political scientists hosted by H-NET at Michigan State University. <https://issforum.org/about>.**
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year? **As part of my internal historical duties, I have researched the State Department's policy toward Iraq. This publication, however, is unrelated. It is an "introduction" (i.e. summary) to a series of reviews in a scholarly roundtable about a UK publication about Iraq.**

This email is UNCLASSIFIED.

**From:** PA Reviews Mailbox [mailto:pareviews@state.gov]  
**Sent:** Thursday, September 08, 2016 12:05 PM  
**To:** [redacted]  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Personal publication--academic/scholarly

B6

[redacted]  
Thank you for your e-mail.

Please provide answers to the following questions and then resubmit to PA Reviews.

Thank you.

QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES PUBLISHED

- What is the author's first and last name, current job title and office symbol? [redacted] Historian, PA/HO
- Is the author writing in his/her private capacity or official capacity? **PRIVATE**
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration. **NO**
- Does the author intend to use a disclaimer? If so, how will the disclaimer read? **YES, "The views expressed here do not necessarily reflect those of the Department of State or the U.S. government."**
- Who else has cleared (your supervisor, others within the Department of State)? **Cleared by PA/HO Supervisor William McAllister**
- Where does the author intend to submit the article/manuscript for publication? **H-Diplo/ISSF, an online source of scholarly book and article reviews, blog postings, and other communications between diplomatic historians and political scientists hosted by H-NET at Michigan State University. Please see the website for examples of the postings: <https://issforum.org/about>.**
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year? **As part of my internal historical duties, I have researched the State Department's policy toward Iraq. This publication, however, is unrelated. It is an "introduction" (i.e. summary) to a series of reviews in a scholarly roundtable about a UK publication about Iraq.**

---

**From:** [redacted] [mailto:[redacted]@state.gov]  
**Sent:** Thursday, September 08, 2016 11:36 AM  
**To:** PA Reviews Mailbox  
**Subject:** Personal publication--academic/scholarly

Dear Review Staff,

I've attached a "roundtable introduction" for PA clearance that I was asked to prepare for H-Diplo/ISSF, an online source of scholarly book and article reviews, blog postings, and other communications between diplomatic historians and political scientists hosted by H-NET at Michigan State University. Please see the website for examples of the postings: <https://issforum.org/about>.

I have pre-cleared the product in PA/HO, if that is relevant. Please let me know if you have any questions, comments, or concerns, and also note the disclaimer that I've included at the beginning of the review.

Best  
[redacted]

Office of the Historian  
(202) 955-0229

This email is UNCLASSIFIED.

RELEASE IN PART B6

**Hartmann, Lorraine (Lori)**

---

**From:** [redacted] <[redacted]@state.gov>  
**Sent:** Tuesday, September 13, 2016 8:54 AM  
**To:** PA Reviews Mailbox  
**Subject:** For review and approval  
**Attachments:** Alexievich-Muller review essay - [redacted] - FINAL.docx  
  
**Follow Up Flag:** Follow up  
**Flag Status:** Completed

B6

Dear colleagues,

I am submitting to you the attached book review essay for review and approval to publish with a public outlet. I am submitting this to you in lieu of the Final Review Office here at post, Public Affairs Officer Jeff Lodemeier, who is on indefinite leave due to a family emergency. Likewise, his designated substitute is my tandem spouse, [redacted] chief of the political/economics section here. I expect this to be published online with the Los Angeles Review of Books.

Thank you very much for your consideration.

Very respectfully,

[redacted]  
Vice Consul  
United States Consulate General  
Monterrey, Mexico  
+52 81 8047 3602

**Hartmann, Lorraine (Lori)**

---

**From:** Greenberg, Brenda L <GreenbergBL2@state.gov>  
**Sent:** Tuesday, September 13, 2016 12:03 PM  
**To:** PA Reviews Mailbox  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Brenda: Blog Posting for DiploPundit: request for PA clearance

RELEASE IN PART  
B5,B6

Looks good.

This email is UNCLASSIFIED.

---

**From:** PA Reviews Mailbox [mailto:pareviews@state.gov]  
**Sent:** Tuesday, September 13, 2016 11:54 AM  
**To:** Greenberg, Brenda L  
**Cc:** PA Reviews Mailbox  
**Subject:** Brenda: Blog Posting for DiploPundit: request for PA clearance

Brenda – Requesting your review on the below Q&A to be posted on Diplopundit.net – thank you.

---

**From:** [redacted] [mailto:[redacted]@state.gov]  
**Sent:** Tuesday, September 13, 2016 11:20 AM  
**To:** PA Reviews Mailbox  
**Subject:** Blog Posting for DiploPundit: request for PA clearance

B6

PA Clearances:

Please find below a short q&a for the blog Diplopundit (diplopundit.net). The q&a touches on my experience this past year on the CFR Fellowship and is intended to highlight the podcast project which has come out of it. Y'all have been great clearing everything for me on this so far- thank you.

Background info follows below, which is followed by the text of the proposed blog post.

R/  
[redacted]

- Please provide your first and last name, current job title and office symbol  
[redacted] Language Training @FSI
- Is this posting in his/her private capacity or official capacity?  
Private capacity
- Will you receive remuneration outside of your Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.  
No
- Do you intend to use a disclaimer? If so, how will the disclaimer read?  
Yes. "The views and opinions expressed by the guests of the DiploSport podcast do not necessarily represent the official policy or position of any agency of the United States Government or any organization, public or private."
- Who else has cleared (your supervisor, others within the Department of State)?

Under my Fellowship and in language training, I don't have a State Dept. supervisor.

**Where will this post?**

Hopefully this week.

- **Does the posting relate to your current duties or over the last year?**

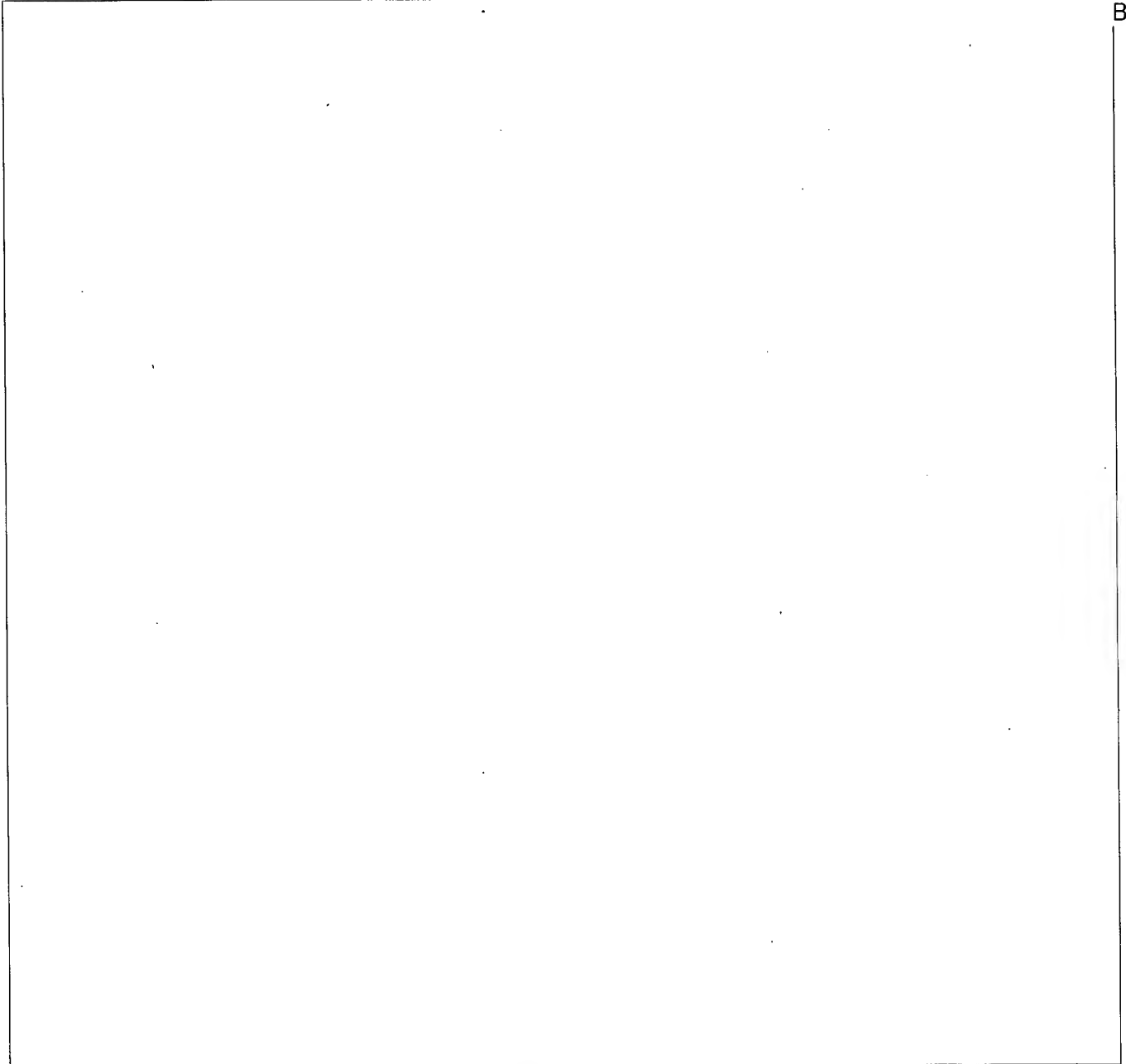
No.

- **Please provide contact information (telephone and e-mail).**

/

B6

-----  
**Q&A -- needs PA clearance for Diplopundit**



B5

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Thursday, September 15, 2016 7:28 AM  
**To:** Greenberg, Brenda L  
**Cc:** PA Reviews Mailbox  
**Subject:** Brenda - Pre-Publication Review [REDACTED]  
**Attachments:** [REDACTED].docx

RELEASE IN PART  
B6

B6

Good Morning Brenda:

For your review, is a 2-page article written in a private capacity by [REDACTED] a policy advisor in D-MR. We would appreciate hearing from you by COB Friday, September 16. Also, please let us know if you think it requires any other Department review.

Please see Ms. [REDACTED] responses to the standard questions below for additional details.

**QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES PUBLISHED**

- What is the author's first and last name, current job title and office symbol? [REDACTED] Policy Advisor, D-MR
- Is the author writing in his/her private capacity or official capacity? Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read? The author's opinions do not necessarily represent those of the U.S. government.
- Who else has cleared (your supervisor, others within the Department of State)? S/GWI, D-MR
- Where does the author intend to submit the article/manuscript for publication? TBD - She has not yet pitched it -- she's planning on pitching domestic outlets with general and/or women-focused audiences.
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year? No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you.

PA Reviews



**Hartmann, Lorraine (Lori)**

---

**From:** PA Reviews Mailbox  
**Sent:** Thursday, September 15, 2016 11:34 AM  
**To:** INL-PAPD  
**Cc:** PA Reviews Mailbox  
**Subject:** INL: Pre-publication Review - Large carnivore poaching  
**Attachments:** A conceptual framework for understanding illegal killing of large carnivores.docx

RELEASE IN PART  
B6

INL Colleagues:

Attached for your review is a 33-page manuscript entitled, A conceptual framework for understanding illegal killing of large carnivores, written in a private capacity by  a Jefferson Science Fellow in INR. We would appreciate B6 hearing from you by **COB Wednesday, September 28**.

Please see Ms.  responses to the standard questions below for additional details.

**QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED**

- What is the author's first and last name, current job title and office symbol?  Jefferson Science Fellow INR/GGI
- Is the author writing in his/her private capacity or official capacity? Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read? Yes. The content of the manuscript does not necessarily reflect the views of the US Government or Department of State
- Who else has cleared (your supervisor, others within the Department of State)? Supervisor,  B6
- Where does the author intend to submit the article/manuscript for publication? Ambio
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year? Yes

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you.

PA Reviews

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Friday, September 16, 2016 8:55 AM  
**To:** [REDACTED] PA Reviews Mailbox  
**Subject:** RE: Podcast Transcript Review Request - Gregor Gillespie

RELEASE IN PART  
B6

B6

[REDACTED]

The Department has completed the review of your podcast interview with Gregor Gillespie, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this podcast are yours and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

---

**From:** [REDACTED] [mailto:[REDACTED]@state.gov]  
**Sent:** Thursday, September 15, 2016 11:19 PM  
**To:** PA Reviews Mailbox  
**Subject:** Podcast Transcript Review Request - Gregor Gillespie

PA Clearances:

Please find attached the transcript for a podcasts I'm submitting for review. This is my interview with UFC Competitor Gregor Gillespie. Background info follows below.

You guys have been great to work with, by the way. Thank you!

R/  
[REDACTED]

- **Please provide your first and last name, current job title and office symbol**  
[REDACTED] Malay Language Student, FSI
- **Is this podcast in his/her private capacity or official capacity?**  
Private capacity
- **Will you receive remuneration outside of your Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.**  
No
- **Do you intend to use a disclaimer? If so, how will the disclaimer read?**  
Yes. "The views and opinions expressed by the guests of the DiploSport podcast do not necessarily represent the official policy or position of any agency of the United States Government or any organization, public or private."
- **Who else has cleared (your supervisor, others within the Department of State)?**  
I share these with ECA/PD (Nathan Arnold) and the ECA SportsUnited Office for clearance.
- **Where will this podcast air? Where will the audio/transcript be posted?**  
I will release them myself via a "DiploSport" Podcast on iTunes.  
**Does the podcast relate to your current duties or over the last year?**

No. I conducted the interviews on my own time, travelling at my own expense over the course of my fellowship year. I was the Sports Diplomacy Cultural Affairs Officer in ECA from May '13-May '15, but haven't been in that role for more than a year.

- Please provide contact information (telephone and e-mail).

--	--

B6

This email is UNCLASSIFIED.

**Hartmann, Lorraine (Lori)**

---

**From:** Laine, Andrew J  
**Sent:** Friday, September 16, 2016 6:47 AM  
**To:** [REDACTED]  
**Cc:** Wallace, Rachel L; PA Reviews Mailbox  
**Subject:** Pre-publication Review - Maternity Leave

RELEASE IN PART  
B6

B6

Dear Ms [REDACTED]

The Department has completed the review of your article on maternity leave, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:**  < >  
**Sent:** Sunday, September 18, 2016 7:32 PM  
**To:** PA Reviews Mailbox  
**Subject:** For Approval: "Shaper Nations"  
**Attachments:**  reviewShaperNations.doc

RELEASE IN PART  
B6

B6

**Follow Up Flag:** Follow up  
**Flag Status:** Flagged

Hello,

I am submitting the attached book review of *Shaper Nations* for approval. It will be submitted to an academic journal, *International Affairs*.

Please let me know if you have any questions.

Sincerely,

What is the author's first and last name, current job title and office symbol?

-- Fellow/Foreign Affairs Officer, EUR/CARC

Is the author writing in his/her private capacity or official capacity?

--In private capacity.

Will the author receive remuneration outside of his/her Department of State salary for the article?

--No.

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

--Yes. It reads: "The ideas expressed are solely the author's opinions and do not represent the United States Department of State or any other agency."

Who else has cleared (your supervisor, others within the Department of State)?

--No one else has read it.

Where does the author intend to submit the article/manuscript for publication?

-- The journal, *International Affairs*.

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

--No.

**Hartmann, Lorraine (Lori)**

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**From:** [redacted] <[redacted]@state.gov>  
**Sent:** Monday, September 19, 2016 10:04 AM  
**To:** Laine, Andrew J  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Pre-publication Review

B6

RELEASE IN PART  
B6

Dear Andrew,

Thank you very much for your approval. We'll see who bites!

Very respectfully,

[redacted]

Vice Consul  
United States Consulate General  
Monterrey, Mexico  
+52 81 8047 3602

---

**From:** Laine, Andrew J [<mailto:LaineAJ2@state.gov>]  
**Sent:** Monday, September 19, 2016 5:27 AM  
**To:** [redacted]  
**Cc:** PA Reviews Mailbox  
**Subject:** Pre-publication Review

Dear Mr. [redacted]

The Department has completed the review of your book review, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

---

**From:** PA Reviews Mailbox  
**Sent:** Wednesday, September 21, 2016 7:21 AM  
**To:** Stone, Lesley A; PA Reviews Mailbox  
**Subject:** RE: Request for clearance

RELEASE IN PART  
B6

Elizabeth Trudeau clears for PA. Thank you

---

**From:** Stone, Lesley A [mailto:StoneLA@state.gov]  
**Sent:** Tuesday, September 20, 2016 4:43 PM  
**To:** PA Reviews Mailbox  
**Subject:** Request for clearance

We request clearance on the attached paper, which has been cleared by Hari Sastry.

Thank you,  
Lesley Stone  
X72653

- What is the author's first and last name, current job title and office symbol?  
 currently employed by University of California, formerly a AAAS fellow in F/PA. B6
- Lesley Stone, Senior Advisory, F/PA
- Is the author writing in his/her private capacity or official capacity? official
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration. No extra remuneration.
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?  
We could, if desirable
- Who else has cleared (your supervisor, others within the Department of State)?  
Supervisor, S/GAC has reviewed it, as has USAID's Bureau for Global Health.
- Where does the author intend to submit the article/manuscript for publication?  
Health Affairs
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?  
Yes, in my capacity as advisor in F, we undertook this research to better understand global public health spending.

This email is UNCLASSIFIED.

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Thursday, September 22, 2016 12:17 PM  
**To:** [REDACTED]  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Podcast Transcript Review Request - Gregor Gillespie (Part II)

<b>RELEASE IN PART</b> <b>B6</b>
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B6

[REDACTED]

The Department has completed the review of your podcast part II interview with Gregor Gillespie, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this podcast are yours and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

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**From:** [REDACTED] [mailto:[REDACTED]@state.gov]  
**Sent:** Wednesday, September 21, 2016 12:04 PM  
**To:** PA Reviews Mailbox  
**Subject:** Podcast Transcript Review Request - Gregor Gillespie (Part II)

PA Clearances:

Please find attached the transcript for a podcast I'm submitting for review. This is the second part of my interview with UFC fighter Gregor Gillespie (y'all cleared part I last week and it's already my most popular episode, surpassing my interview with Condi Rice). Background info follows below.

You guys have been great to work with, by the way. Thank you!

R/  
 [REDACTED]

- **Please provide your first and last name, current job title and office symbol**  
 [REDACTED], Malay Language Student, FSI
- **Is this podcast in his/her private capacity or official capacity?**  
 Private capacity
- **Will you receive remuneration outside of your Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.**  
 No
- **Do you intend to use a disclaimer? If so, how will the disclaimer read?**  
 Yes. "The views and opinions expressed by the guests of the DiploSport podcast do not necessarily represent the official policy or position of any agency of the United States Government or any organization, public or private."
- **Who else has cleared (your supervisor, others within the Department of State)?**  
 I share these with ECA/PD (Nathan Arnold) and the ECA SportsUnited Office for clearance.
- **Where will this podcast air? Where will the audio/transcript be posted?**  
 I will release them myself via a "DiploSport" Podcast on iTunes.  
**Does the podcast relate to your current duties or over the last year?**



No. I conducted the interviews on my own time, travelling at my own expense over the course of my fellowship year. I was the Sports Diplomacy Cultural Affairs Officer in ECA from May '13-May '15, but haven't been in that role for more than a year.

- Please provide contact information (telephone and e-mail).

/ @state.gov

B6

This email is UNCLASSIFIED.

This email is UNCLASSIFIED.

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Monday, September 26, 2016 4:22 PM  
**To:** [REDACTED]  
**Cc:** PA Reviews Mailbox  
**Subject:** Pre-Publication Review - Sharper Nations

RELEASE IN PART  
B6

B6

Mr. [REDACTED]

The Department has completed the review of your book review of *Sharper Nations*, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Tuesday, September 27, 2016 8:59 AM  
**To:** [REDACTED]; PA Reviews Mailbox  
**Subject:** RE: Podcast Transcript Review Request - Tom Beer (Basketball Insiders)

RELEASE IN PART B6
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B6

[REDACTED]

The Department has completed the review of your podcast interview with Tom Beer, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this podcast are yours and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

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**From:** [REDACTED] [mailto:[REDACTED]@state.gov]  
**Sent:** Tuesday, September 27, 2016 12:06 AM  
**To:** PA Reviews Mailbox  
**Subject:** Podcast Transcript Review Request - Tom Beer (Basketball Insiders)

PA Clearances:

Please find attached the transcript I'm submitting for review for a podcast project tied to my CFR Fellowship. Attached is the transcript of my interview with NBA journalist Tom Beer. Background info follows below.

You guys have been great to work with, by the way. Thank you!

R/  
 [REDACTED]

- **Please provide your first and last name, current job title and office symbol**  
 [REDACTED], Malay Language Student, FSI
- **Is this podcast in his/her private capacity or official capacity?**  
 Private capacity
- **Will you receive remuneration outside of your Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.**  
 No
- **Do you intend to use a disclaimer? If so, how will the disclaimer read?**  
 Yes. "The views and opinions expressed by the guests of the DiploSport podcast do not necessarily represent the official policy or position of any agency of the United States Government or any organization, public or private."
- **Who else has cleared (your supervisor, others within the Department of State)?**  
 I share these with ECA/PD (Nathan Arnold) and the ECA SportsUnited Office for clearance.
- **Where will this podcast air? Where will the audio/transcript be posted?**  
 I will release them myself via a "DiploSport" Podcast on iTunes.
- **Does the podcast relate to your current duties or over the last year?**

No. I conducted the interviews on my own time, travelling at my own expense over the course of my fellowship year. I was the Sports Diplomacy Cultural Affairs Officer in ECA from May '13-May '15, but haven't been in that role for more than a year.

- Please provide contact information (telephone and e-mail).

[redacted] / [redacted]@state.gov

B6

**Hartmann, Lorraine (Lori)**

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**From:** Lo, Debra <LoD@state.gov>  
**Sent:** Tuesday, September 27, 2016 11:55 AM  
**To:** [REDACTED] Choi, Grace H; PA Reviews Mailbox; EAP-Press  
**Cc:** Emerson, Susan L; PA Reviews Mailbox  
**Subject:** RE: Please clear -- presentation on US-China relations for East-West Center's APLP program

RELEASE IN PART  
B6

B6

Hi [REDACTED] EAP/CM has no concerns and according to Dep Dir Mike Goldman, you will do just fine. My best,  
Debra

*Debra Lo  
Public Diplomacy Desk Officer for China, Hong Kong, Mongolia, and Taiwan  
202-647-6802*

This email is UNCLASSIFIED.

---

**From:** [REDACTED]  
**Sent:** Monday, September 26, 2016 5:08 PM  
**To:** Choi, Grace H; PA Reviews Mailbox; Lo, Debra; EAP-Press  
**Cc:** Emerson, Susan L; PA Reviews Mailbox  
**Subject:** RE: Please clear -- presentation on US-China relations for East-West Center's APLP program

Thanks, Grace. I did hear back from PA with approval, so long as I use the "personal opinion" disclaimer. That said, if Debra has any additional feedback, I welcome it.

As a heads-up, there's a business delegation from California that will visit the EWC in mid-October, so I'll likely tweak this brief and seek Department agreement that I can use it again at that time – I'll be in touch ahead of the event.

Regards,

[REDACTED]

This email is UNCLASSIFIED.

---

**From:** Choi, Grace H  
**Sent:** Monday, September 26, 2016 10:59 AM  
**To:** [REDACTED]; PA Reviews Mailbox; Lo, Debra; EAP-Press  
**Cc:** Emerson, Susan L; PA Reviews Mailbox  
**Subject:** RE: Please clear -- presentation on US-China relations for East-West Center's APLP program

I've copied Debra from China Desk – I defer to you on the content for any suggestions!

Best,  
Grace

This email is UNCLASSIFIED.

---

**From:** Choi, Grace H  
**Sent:** Monday, September 26, 2016 4:53 PM  
**To:** [REDACTED] PA Reviews Mailbox; Lo, Debra; EAP-Press  
**Cc:** Emerson, Susan L; PA Reviews Mailbox  
**Subject:** RE: Please clear -- presentation on US-China relations for East-West Center's APLP program

B6

Thanks [REDACTED] This is off-the-record and the powerpoint notes that this is done under [REDACTED] private/personal capacity. Seems fine from the EAP Press team...

Thank you!  
Best,  
Grace

This email is UNCLASSIFIED.

---

**From:** [REDACTED]  
**Sent:** Friday, September 23, 2016 4:12 PM  
**To:** PA Reviews Mailbox  
**Cc:** Choi, Grace H; Emerson, Susan L; PA Reviews Mailbox  
**Subject:** RE: Please clear -- presentation on US-China relations for East-West Center's APLP program

PA Reviews,

My answers to your emailed questions are below. Thanks for your attention to this request.

Regards,

[REDACTED]

This email is UNCLASSIFIED.

---

**From:** PA Reviews Mailbox [<mailto:pareviews@state.gov>]  
**Sent:** Friday, September 23, 2016 10:00 AM  
**To:** [REDACTED]  
**Cc:** Choi, Grace H; Emerson, Susan L; PA Reviews Mailbox  
**Subject:** RE: Please clear -- presentation on US-China relations for East-West Center's APLP program

Mr. [REDACTED]

Thank you for your e-mail. Please provide the answers to the questionnaire below and we will begin the review as soon as this information is received.

Please let us know if you have any questions.

Sincerely,

PA Reviews

**SPEAKING ENGAGEMENT REQUEST FORM**

**Speaker's name, title and office symbol:** [redacted] State Department Fellow / Diplomat in Residence  
(note: this is NOT a Diplomat in Residence position under HR, for recruitment), FSI/SR/UT

B6

**Date of event:** TBD, hopefully as soon as 29 September if PA clears in time; could be the following week.

**Venue Name, City and State:** East-West Center, Honolulu, HI

**Group/Organization Sponsoring the Event:** East-West Center

**Subject of Discussion:** US-China relations

**Format (remarks with or without Q&A, panel discussion, keynote address, speech):** 30 minutes of briefer (me) talking with power points slides (emailed to you separately but reattached here), followed by 30 minutes of Q&A / discussion

**Audience (number of expected attendees and demographics):** around 30 mid-career professionals who are in the East-West Center's Asia-Pacific Leadership Program

**Media (open press, closed press):** Media are not invited in their media capacity, but at least one member of the Asia-Pacific Leadership Program works in the media field

**Attribution (on-the-record, off-the-record, on background):** off the record

**Clearances (supervisor, regional bureau (as appropriate), others):** EAP/P had no objection, based on this being an off-the-record presentation in my personal capacity

**Is the speaker being compensated outside of his/her DOS salary to speak at this event?:** no

**Is the speaker presenting in an official or personal capacity at this event?:** personal

**Recommendation (why this furthers U.S. interests):** This provides the audience with a more accurate understanding of the rebalance to Asia and the US-China relationship.

---

**From:** [redacted] [mailto:[redacted]@state.gov]  
**Sent:** Friday, September 23, 2016 3:40 PM  
**To:** PA Reviews Mailbox  
**Cc:** Choi, Grace H; Emerson, Susan L  
**Subject:** FW: Please clear -- presentation on US-China relations for East-West Center's APLP program  
**Importance:** High

B6

Dear PA personnel,

I'm writing to you from the East-West Center, where I'm assigned for senior training under FSI auspices. (I'm an FS-1 officer, having just finished serving as POLAD at the Pacific Fleet and proceeding in the summer of 2017 to the position of Political Counselor in Jakarta; I've spent most of my career working in EAP.)

Part of my function at the East-West Center involves briefing non-USG visitors and participants in East-West Center programs. I've been asked to brief participants in the Center's Asia-Pacific Leadership Program (around 30 mid-career professionals from throughout the Indo-Asia-Pacific region) on US-China relations. I would not be reading a script, but I have drafted powerpoint slides (attached) that show the topics to be covered and the tone. The discussion would be off the record, and I do not anticipate any media coverage. Let me add that I am not seeking to be confrontational or provocative -- just to explain the rebalance, the importance of China to the United States, and the U.S. stance on various issues relating to China.

I would be grateful if you could clear on this presentation; I have already discussed it with EAP/P (Grace Choi is cc'ed), who posed no objection but helpfully referred me to 3 FAM 4170, which says that I need PA concurrence.

Since 3 FAM 4176.3 (a) says PA will consult with my supervisor, I have cc'ed her (Susan Emerson -- FSI's External Training Coordinator) on this email.

I anticipate this will be only the first of multiple off-the-record engagements. I would welcome any advice you might have on future submissions of similar material for similar audiences.

Thanks,

[Redacted]

B6

[Redacted]

State Department fellow  
East-West Center  
Honolulu, HI  
(808) 944-7198 (ofc)

[Redacted]@state.gov

This email is UNCLASSIFIED.



**Hartmann, Lorraine (Lori)**

**From:** [redacted] <[redacted]>  
**Sent:** Tuesday, September 27, 2016 8:25 PM  
**To:** PA Reviews Mailbox  
**Subject:** For Approval: India Review Article  
**Attachments:** [redacted] ReviewArticleIndiaIntelligence.doc

RELEASE IN PART  
B6

B6

**Follow Up Flag:** Follow up  
**Flag Status:** Completed

Hello,

I am submitting the attached book review article titled, "Indian Intelligence Revealed: An Examination of Operations, Failures and Transformations." It will be submitted to the academic journal, *Intelligence and National Security*.

Please let me know if you have any questions.

Sincerely,

[redacted]

What is the author's first and last name, current job title and office symbol?

-- [redacted] Fellow/Foreign Affairs Officer, EUR/CARC

Is the author writing in his/her private capacity or official capacity?

--In private capacity.

Will the author receive remuneration outside of his/her Department of State salary for the article?

--No.

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

--Yes. It reads: "The ideas expressed are solely the author's opinions and do not represent the United States Department of State or any other agency."

Who else has cleared (your supervisor, others within the Department of State)?

--No one else has read it.

Where does the author intend to submit the article/manuscript for publication?

-- The journal, *Intelligence and National Security*.

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

--No.

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Wednesday, September 28, 2016 10:22 AM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Pre-Publication Review

RELEASE IN PART  
B6

B6

The Department has completed the review of your manuscript on Illegal Killing of Carnivores, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

---

**From:** PA Reviews Mailbox  
**Sent:** Thursday, September 29, 2016 4:11 PM  
**To:** [REDACTED]  
**Subject:** FW: Cynthia: Podcast Transcript Review Request - Gregor Gillespie (Part 3)

RELEASE IN PART  
B6

B6

PA clears with below.  
Thank you.  
PA Reviews

---

**From:** Brown, Cynthia A [mailto:BrownCA@state.gov]  
**Sent:** Thursday, September 29, 2016 8:52 AM  
**To:** PA Reviews Mailbox  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Cynthia: Podcast Transcript Review Request - Gregor Gillespie (Part 3)

Clear with caveat that the employee includes disclaimer per 3 FAM 4176.1. Regards, Cynthia

Unless the appropriate Final Review Office indicates otherwise, employees who are communicating publicly in their personal capacity on matters of Departmental concern must include a disclaimer indicating that the views expressed are their own and not necessarily those of the U.S. Government.

This email is UNCLASSIFIED.

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**From:** PA Reviews Mailbox [mailto:pareviews@state.gov]  
**Sent:** Thursday, September 29, 2016 1:53 AM  
**To:** Brown, Cynthia A  
**Cc:** PA Reviews Mailbox  
**Subject:** Cynthia: Podcast Transcript Review Request - Gregor Gillespie (Part 3)

Good Morning Cynthia:

Attached for your review is another podcast from [REDACTED] (8 pages).

Thank you.

---

**From:** [REDACTED] [mailto:[REDACTED]@state.gov]  
**Sent:** Wednesday, September 28, 2016 11:03 PM  
**To:** PA Reviews Mailbox  
**Subject:** Podcast Transcript Review Request - Gregor Gillespie (Part 3)

PA Clearances:

Please find attached the transcript for a podcast I'm submitting for review. This is the third part of my interview with UFC fighter Gregor Gillespie who won his UFC debut on Saturday night. Background info follows below.

You guys have been great to work with, by the way. Thank you!

R/

[Redacted]

B6

- **Please provide your first and last name, current job title and office symbol**  
[Redacted] Malay Language Student, FSI
- **Is this podcast in his/her private capacity or official capacity?**  
Private capacity
- **Will you receive remuneration outside of your Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.**  
No
- **Do you intend to use a disclaimer? If so, how will the disclaimer read?**  
Yes. "The views and opinions expressed by the guests of the DiploSport podcast do not necessarily represent the official policy or position of any agency of the United States Government or any organization, public or private."
- **Who else has cleared (your supervisor, others within the Department of State)?**  
I share these with ECA/PD (Nathan Arnold) and the ECA SportsUnited Office for clearance.
- **Where will this podcast air? Where will the audio/transcript be posted?**  
I will release them myself via a "DiploSport" Podcast on iTunes.
- **Does the podcast relate to your current duties or over the last year?**  
No. I conducted the interviews on my own time, travelling at my own expense over the course of my fellowship year. I was the Sports Diplomacy Cultural Affairs Officer in ECA from May '13-May '15, but haven't been in that role for more than a year.
- **Please provide contact information (telephone and e-mail).**  
[Redacted] / [Redacted]@state.gov

This email is UNCLASSIFIED.

This email is UNCLASSIFIED.

**Hartmann, Lorraine (Lori)**

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**From:** Buangan, Richard L <BuanganRL@state.gov>  
**Sent:** Friday, September 30, 2016 1:41 PM  
**To:** PA Reviews Mailbox  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Richard - For Review - Private Capacity Article

RELEASE IN PART  
B6

Clear.

This email is UNCLASSIFIED.

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**From:** PA Reviews Mailbox [<mailto:pareviews@state.gov>]  
**Sent:** Friday, September 30, 2016 9:46 AM  
**To:** Buangan, Richard L  
**Cc:** PA Reviews Mailbox  
**Subject:** Richard - For Review - Private Capacity Article

Richard:

Attached is a 4-page article on "A ground swell of excellence: good governance through user-centric design", written in private capacity by  a State Department employee on detail to NDU for your final review.

B6

**QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED**

What is the author's first and last name, current job title and office symbol?

- EB, employee on detail to NDU

Is the author writing in his/her private capacity or official capacity?

- Private capacity.

Will the author receive remuneration outside of his/her Department of State salary for the article?

- No.

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

- Yes. The views expressed in this paper are those of the author and are not an official policy or position of the National Defense University, the Department of Defense, or the U.S. Government.

Who else has cleared (your supervisor, others within the Department of State)?

- EB/OIA: Michael Tracton (ok)
- EB/EPPD: Kerry Humphrey (ok)
- EUR/P: Matthew Golden (ok)
- AF/P: Bill Strassberger (ok)
- NEA/P: Christiaan James (ok)
- EAP/P: Grace Choi (ok)
- EAP/EP: Philip Nervig (ok)
- EAP/MLS: Jonathan Turley (ok)

WHA/P: Jonathan Janik (ok).

Where does the author intend to submit the article/manuscript for publication?

- Capital Finance Magazine (cfi.co).

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

- Yes.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

**From:** [redacted] <[redacted]@state.gov> B6  
**Sent:** Tuesday, October 04, 2016 5:06 PM  
**To:** PA Reviews Mailbox  
**Subject:** Article for PA review  
**Attachments:** [redacted] China Counterterrorism (2).docx; [redacted] China Counterterrorism (2).pptx

RELEASE IN PART B6
-----------------------

**Follow Up Flag:** Follow up  
**Flag Status:** Completed

Good afternoon,

Attached please find a personal-capacity article for your review. It was co-authored with a colleague at DIA (which will be obvious from the heavy military focus in the second half).

NBR plans to put this draft article on a password-protected website on October 17 so that conference participants have a chance to review it. For your future awareness, I will be attending the accompanying conference on October 22 and 23 (for which I will be submitting TPs for clearance); after the conference we will revise the paper and it will be published as part of a conference compilation volume (for which, again, I will be submitting another version of this paper).

Below you will find the standard question/answer list for personal-capacity articles; please let me know if you require any further information at this time.

Thank you!

[redacted]

[redacted]  
 China Analyst  
 Department of State, INR/EAP  
 202-647-0067

#### QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
  - [redacted], Foreign Affairs Research Analyst, INR/EAP
- Is the author writing in his/her private capacity or official capacity?
  - Personal capacity
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
  - No. NBR and SSI will cover transportation and hotel costs associated with attending the conference, but I will not be paid for this article.

- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
  - Yes, I plan to have a statement in the article that says, "This article reflects the personal views of the author and not necessarily the views of the U.S. Government or the Department of State." Please see attached.
- Who else has cleared (your supervisor, others within the Department of State)?
  - No one at State has yet cleared.
- Where does the author intend to submit the article/manuscript for publication?
  - This article will be published as a draft article on the NBR website for PLA conference participants (it will eventually be published in an edited volume by the Strategic Studies Institute in 2017, for which I will submit a revised draft for review).
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
  - Yes, in INR I cover CT issues as they relate to China.

This email is UNCLASSIFIED.



**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Thursday, October 06, 2016 2:46 AM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Podcast Transcript Review Request - Tom Beer (Part II)

RELEASE IN PART  
B6

B6

The Department has completed the review of your podcast interview with Tom Beer (Part II), pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this podcast are yours and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Friday, October 07, 2016 4:03 PM  
**To:** [REDACTED]  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Speaking Engagement in Personal Capacity

RELEASE IN PART  
B6

B6

[REDACTED]

The Department has completed the review of your speaking request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1) during your presentation – that the views expressed are yours alone and not necessarily those of the U.S. Government.

Thank you,  
PA Reviews

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**From:** [REDACTED] [mailto:[REDACTED]@state.gov]  
**Sent:** Wednesday, October 05, 2016 9:10 AM  
**To:** PA Reviews Mailbox  
**Subject:** RE: Speaking Engagement in Personal Capacity

Please see below:

**SPEAKING ENGAGEMENT REQUEST FORM (updated 11/10/2011)**

Speaker's name, title and office symbol: [REDACTED] Program Analyst, M/PRI

Date of event: Thursday, October 13<sup>th</sup>, 2016

Venue Name, City and State: Hiram-Takoma Lodge of the District of Columbia.

Group/Organization Sponsoring the Event: Takoma Park Chapter of the Masons

Subject of Discussion: Turkey – Memories and Perspective from a Western Traveller

Format (remarks with or without Q&A, panel discussion, keynote address, speech): 20 – 30 minutes remarks, with Q&A

Audience (number of expected attendees and demographics): 100 – open to the public, varied demographic

Media (open press, closed press): No media

Attribution (on-the-record, off-the-record, on background): Off-the-record (?)

Clearances [supervisor, regional bureau (as appropriate), others]: None

Is the speaker being compensated outside of his/her DOS salary to speak at this event? Yes

[REDACTED]  
M/PRI  
202-647-4725

This email is UNCLASSIFIED.

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**From:** PA Reviews Mailbox [mailto:pareviews@state.gov]  
**Sent:** Wednesday, October 05, 2016 2:32 AM  
**To:** [redacted] PA Reviews Mailbox  
**Subject:** RE: Speaking Engagement in Personal Capacity

B6

[redacted]

Thanks for your e-mail. Please provide answers to the questions below and resubmit for clearance. As you will be compensated for your remarks, you will need to obtain L/Ethics clearance.

Please let us know if you have any questions.

PA Reviews

**SPEAKING ENGAGEMENT REQUEST FORM (updated 11/10/2011)**

Speaker's name, title and office symbol:

Date of event:

Venue Name, City and State:

Group/Organization Sponsoring the Event:

Subject of Discussion:

Format (remarks with or without Q&A, panel discussion, keynote address, speech):

Audience (number of expected attendees and demographics):

Media (open press, closed press):

Attribution (on-the-record, off-the-record, on background):

Clearances [supervisor, regional bureau (as appropriate), others]:

Is the speaker being compensated outside of his/her DOS salary to speak at this event?

---

**From:** [redacted] [mailto:[redacted]@state.gov]  
**Sent:** Tuesday, October 04, 2016 4:20 PM  
**To:** PA Reviews Mailbox  
**Subject:** Speaking Engagement in Personal Capacity

Greetings,

I have just returned from LWOP, during which I was teaching English in Istanbul, Turkey. I was there at the time that the coup attempt unfolded, and the aftermath. Since my return, I have been asked by a local chapter of Masons to talk about my experience as a Western woman living in Istanbul during that period, and will be monetarily compensated (modestly). Though I would be presenting in the capacity of a private citizen, I thought it prudent to clear this through PA.

Topic matter will include observations about culture, the role of religion, history, and of course, what it was like being there during the terrorist attacks and the coup attempt. I intend on stating a disclaimer during the presentation that I am NOT speaking in the capacity as a State Department employee, as I was not even in service at the time. I just want to ensure that this is sufficient.

Please advise at your earliest convenience. Thank you!

[redacted]  
M/PRI

202-647-4725

SBU

This email is UNCLASSIFIED.

**Hartmann, Lorraine (Lori)**

**From:** [redacted] <[redacted]@gmail.com>  
**Sent:** Thursday, October 13, 2016 7:44 PM  
**To:** PA Reviews Mailbox  
**Subject:** For Approval: "British Fascist Antisemitism and Jewish Responses"  
**Attachments:** [redacted] reviewBritishFascistAntisemitism.doc

B6

RELEASE IN  
PART B6

**Follow Up Flag:** Follow up  
**Flag Status:** Completed

Hello,

I am submitting the attached book review of "British Fascist Antisemitism and Jewish Responses" by Daniel Tilles. It will be submitted to the academic journal, *Contemporary British History*.

Please let me know if you have any questions.

Sincerely,

[redacted]

What is the author's first and last name, current job title and office symbol?

-- [redacted] Fellow/Foreign Affairs Officer, EUR/CARC

Is the author writing in his/her private capacity or official capacity?

--In private capacity.

Will the author receive remuneration outside of his/her Department of State salary for the article?

--No.

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

--Yes. It reads: "The ideas expressed are solely the author's opinions and do not represent the United States Department of State or any other agency."

Who else has cleared (your supervisor, others within the Department of State)?

--No one else has read it.

Where does the author intend to submit the article/manuscript for publication?

-- The journal, *Contemporary British History*.

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

--No.

RELEASE IN PART  
B6

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Thursday, October 13, 2016 7:43 AM  
**To:** [REDACTED] PA Reviews Mailbox  
**Subject:** RE: Talking points for review

B6

[REDACTED]  
Rainy Young and Andy Laine clear your speaking request and talking points.

Please let us know if you have any questions.

Thank you.

PA Reviews

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**From:** [REDACTED] [mailto:[REDACTED]@state.gov]  
**Sent:** Tuesday, October 11, 2016 2:27 PM  
**To:** PA Reviews Mailbox  
**Subject:** Talking points for review

Good afternoon PA reviews,

Attached please find the talking points I plan to use at an upcoming event, as well as the standard "speaking engagement request form" below. This event is related to the paper I submitted last week for review – the talking points are simply a shortened version of my half of the paper. I have only submitted my own talking points; the paper co-author will be speaking as well but is submitting his talking points to DIA for review.

Please let me know if you need anything else from me at this time.

Thank you!

[REDACTED]

**SPEAKING ENGAGEMENT REQUEST FORM**

**Speaker's name, title and office symbol:** [REDACTED] Foreign Affairs Research Analyst, INR/EAP (my paper is co-authored with a colleague from DIA, who will be co-presenting)

**Date of event:** 10/23/2016

**Venue Name, City and State:** US Army War College, Carlisle, PA

**Group/Organization Sponsoring the Event:** The National Bureau of Asian Research, the Strategic Studies Institute, Department of the Army, US Pacific Command

**Subject of Discussion:** "The PLA and PAP in Counterterrorism Abroad: A Structured Exploration of a New Frontier"

**Format (remarks with or without Q&A, panel discussion, keynote address, speech):** Presentation (15 minutes total, half of which will be for my remarks) and Q&A

**Audience (number of expected attendees and demographics):** Approximately 80 academic and government audience/participants.

**Media (open press, closed press):** None

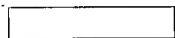
**Attribution (on-the-record, off-the-record, on background):** There will be no write-up of the conference proceedings – the conference is to help edit/improve the presented conference papers for eventual publication

**Clearances [supervisor, regional bureau (as appropriate), others]:** No one has yet cleared.

**Is the speaker being compensated outside of his/her DOS salary to speak at this event?:** No – the sponsors are providing travel and accomodation, but I will not be accepting any money for speaking.

**Is the speaker presenting in an official or personal capacity at this event?:** Personal capacity

**Recommendation (why this furthers U.S. interests):** Discussion and exchange with the academic community deepens INR connections and insights, and raises INR's profile within the academic community.

  
China Analyst  
Department of State, INR/EAP  
202-647-0067

B6

This email is UNCLASSIFIED.

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Thursday, October 13, 2016 6:46 AM  
**To:** [REDACTED]  
**Cc:** PA Reviews Mailbox  
**Subject:** Pre-Publication Review - Indian Intelligence Revealed: An Examination of Operations, Failures and Transformations

RELEASE IN PART  
B6

B6

Mr. [REDACTED]

The Department has completed the review of your book review of *Indian Intelligence Revealed: An Examination of Operations, Failures and Transformations* entitled pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews



**Hartmann, Lorraine (Lori)**

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**From:** [redacted] <[redacted]@state.gov>  
**Sent:** Thursday, October 13, 2016 8:44 AM  
**To:** PA Reviews Mailbox  
**Subject:** RE: Pre-Publication Review - The PLA and PAP in Counterterrorism Abroad: A Structured Exploration of a New Frontier

RELEASE IN PART  
B6

B6

Many thanks, as always, for your quick turnaround and consummate professionalism! I am watching my colleague go through this process at another agency, and I am now doubly impressed with you all.

Best,

[redacted]

This email is UNCLASSIFIED.

---

**From:** PA Reviews Mailbox [mailto:pareviews@state.gov]  
**Sent:** Thursday, October 13, 2016 6:54 AM  
**To:** [redacted]  
**Cc:** PA Reviews Mailbox  
**Subject:** Pre-Publication Review - The PLA and PAP in Counterterrorism Abroad: A Structured Exploration of a New Frontier

Dear [redacted]

The Department has completed the review of your article entitled "The PLA and PAP in Counterterrorism Abroad: A Structured Exploration of a New Frontier", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

We will notify you separately regarding the speaking request and talking points.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Friday, October 14, 2016 6:56 AM  
**To:** EUR-Press  
**Cc:** PA Reviews Mailbox  
**Subject:** EUR Pre-Publication Review - British Fascist Antisemitism and Jewish Responses" by Daniel Tilles  
**Attachments:** [redacted] ReviewBritishFascistAntisemitism.doc

RELEASE IN PART  
B6

B6

EUR Colleagues:

Attached is a 3-page book review on "British Fascist Antisemitism and Jewish Responses" by Daniel Tilles, written in private capacity by [redacted] a Franklin Fellow in EUR/CARC. We would appreciate hearing from you by **COB Monday, October 17**. Please see Mr. [redacted] responses to the standard questions below for additional details.

B6

**QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED**

What is the author's first and last name, current job title and office symbol?

- [redacted] Franklin Fellow; EUR/CARC

Is the author writing in his/her private capacity or official capacity?

- Private capacity.

Will the author receive remuneration outside of his/her Department of State salary for the article?

- No.

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

- Yes. The ideas expressed are solely the author's opinions and do not represent the United States Department of State or any other agency.

Who else has cleared (your supervisor, others within the Department of State)?

- No one else.

Where does the author intend to submit the article/manuscript for publication?

- The journal, Contemporary British History.

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

- No. It is my own interest, which is unrelated to my work.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

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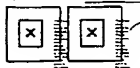
**From:** Flores, Priscilla V <FloresPV@state.gov>  
**Sent:** Monday, April 18, 2016 2:31 PM  
**To:** PA Reviews Mailbox  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Review Status: Religion in the Immigration Debate

RELEASE IN FULL

Many thanks! We will make sure to include the disclaimer.

**Priscilla V. Flores**

*Staff Assistant, Secretary's Office of Religion and Global Affairs (S/RGA)*  
Department of State, HST 7325 | Office: (202)-485-1732 | Cell: 202-714-5176 |  
Email: [FloresPV@state.gov](mailto:FloresPV@state.gov) | Website: [rga.state.gov](http://rga.state.gov)



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**From:** PA Reviews Mailbox [<mailto:pareviews@state.gov>]  
**Sent:** Monday, April 18, 2016 2:24 PM  
**To:** Flores, Priscilla V  
**Cc:** PA Reviews Mailbox  
**Subject:** Review Status: Religion in the Immigration Debate

Priscilla,

The Department has completed the review of this article entitled "From Tunnel Vision to Bridge Building: Religion in the Immigration Debate", pursuant to 3 FAM 4170 and determined that the author may proceed, provided that the author includes the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

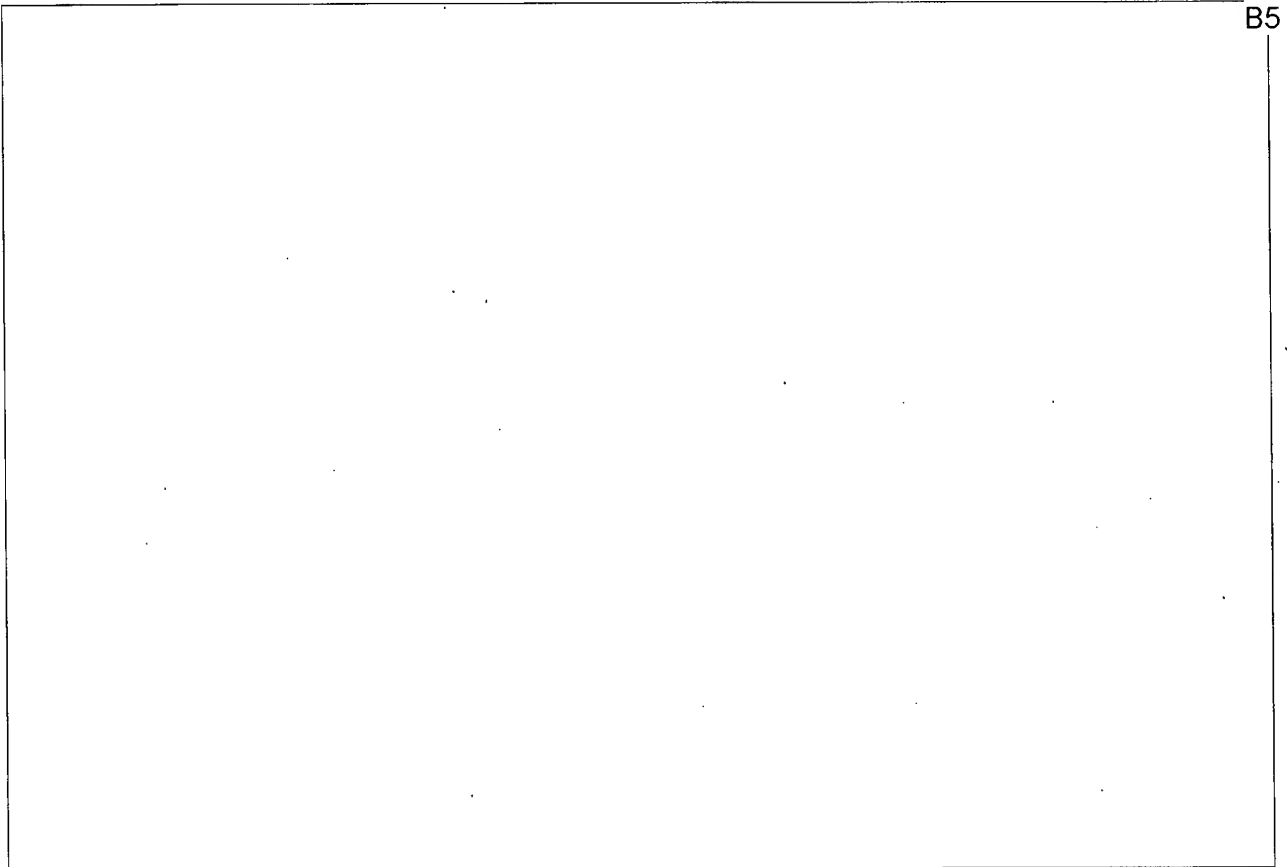
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**From:** PA Reviews Mailbox  
**Sent:** Wednesday, December 23, 2015 2:16 AM  
**To:** Peters, H. Joy; WHA-Press  
**Cc:** PA Reviews Mailbox; Starr, Katherine L  
**Subject:** RE: Narcos Filming at Amb. Residence

RELEASE IN PART  
B5,B6

Good Morning WHA Colleagues:

PA consulted with L on this request. L responded that this request raises some ethics issues that must be addressed and satisfied first. Specifically, L/Ethics provided the following information:



B5

I've copied the referenced FAM provisions below for convenience.

WHA and Post should work directly with L/Ethics (Ethics Attorney Mailbox in the GAL) to address these issues. Also please cc PA Reviews on all correspondence.

Once these issues have been resolved and L/Ethics clears, please resubmit the request to PA Reviews via e-mail. Please ensure that your request to PA includes a clearance page with appropriate WHA, Post, DS and L/Ethics clearances.

Thank you.

**11 FAM 616.1 Use of Public Office for Private Gain**

(CT:POL-59; 09-03-2015)

- a. An employee shall not use his or her public office:
  - (1) For his or her own private gain; or
  - (2) For the private gain or preference of friends, relatives, or persons or entities with whom the employee is affiliated in a nongovernmental capacity (including but not limited to nonprofit organizations of which the employee is an officer or a member or persons or entities with whom the employee has or seeks employment or business relationships).
- b. An employee shall not use or permit the use of his or her Department position, title, or authority in a manner that is intended to coerce or induce another person (including a subordinate) or entity to provide benefits to the employee, the employee's friends or relatives, or persons or entities with whom the employee is affiliated in a nongovernmental capacity.
- c. An employee shall not use his or her Department position for the endorsement of any product, service, or enterprise, except where, in consultation with the employee's office, it is determined that it is:
  - (1) In furtherance of statutory authority to promote products, services, or enterprises;
  - (2) As a result of documentation of compliance with Department requirements or standards; or
  - (3) As the result of recognition for achievement given under a Department program of recognition for accomplishments in support of the Department's mission.
- d. An employee shall not use or permit the use of his Department position or title or any authority associated with his or her public office in a manner that could be reasonably construed to imply that the Department or the government sanctions or endorses his or her personal activities or those of another.
- e. Nothing in this section prohibits employees from participating in official commercial and business advocacy programs such as those which promote, develop, assist, support, or reach out to U.S. companies.

**11 FAM 616.3 Use of Chief-of-Mission Residence (CMR)**

(CT:POL-59; 09-03-2015)

- a. Apart from appropriate personal use by the ambassador and his or her family, the chief-of-mission's residence (CMR) may only be used for official purposes and functions. Unless otherwise authorized, it should not be used, with or without payment, by a nongovernmental entity for events that are not co-hosted by the Department.
- b. In order to use a chief-of-mission residence for a co-hosted event with a nongovernmental entity, post should ensure that:

- (1) If there will be fundraising at the event, it is approved per the procedures in 2 FAM 960;
- (2) There is a foreign affairs purpose for co-hosting the event;
- (3) No undue preference has been given to the co-host over other similar entities;
- (4) Co-hosting the event does not create a real or apparent conflict of interest for the Department or for any employees taking official actions with regard to the event;
- (5) Any use of promotional materials by the co-host is appropriate; and
- (6) Post retains control of the guest list for any co-hosted events.

---

**From:** PA Reviews Mailbox  
**Sent:** Tuesday, December 22, 2015 12:00 PM  
**To:** Starr, Katherine L; Peters, H. Joy; PA Press Duty  
**Cc:** PA Reviews Mailbox  
**Subject:** Re: Narcos Filming at Amb. Residence

I've asked L to take a look and will let you know when they respond.

Thank you.

Sent from my BlackBerry 10 smartphone.

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**From:** Starr, Katherine L  
**Sent:** Monday, December 21, 2015 11:59 PM  
**To:** Peters, H. Joy; PA Press Duty; PA Reviews Mailbox  
**Subject:** RE: Narcos Filming at Amb. Residence

+ PA Reviews to advise on appropriate clearances needed.

---

**From:** Peters, H. Joy  
**Sent:** Monday, December 21, 2015 4:58 PM  
**To:** PA Press Duty  
**Subject:** FW: Narcos Filming at Amb. Residence

Dear PA press duty:

A question from Bogota – how do we proceed with the television show “Narcos” filming at the ambassador’s residence? And if mission staff serve as extras?

Regards,

Joy

---

**From:** Ketchum, Sharon S  
**Sent:** Monday, December 21, 2015 4:53 PM  
**To:** WHA-Press  
**Subject:** FW: Narcos Filming at Amb. Residence

Please share guidance. Does this require a PA Clearance just for the filming or does that only apply if Mission staff become visible? RSO at Post has of course been involved, but this is new ground for all of us!

Sharon

This email is UNCLASSIFIED.

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**From:** Hetrick, Chelsia  
**Sent:** Monday, December 21, 2015 4:31 PM  
**To:** Hodge, David; Roche, Lynn W; Ketchum, Sharon S  
**Cc:** Bell, Susan T; Bentley, Kathy A; Truhler, Erin J  
**Subject:** Narcos Filming at Amb. Residence

Sharon,

I wanted to give you an update to follow our conversation about a month ago regarding the possibility of Netflix's Narcos filming a scene for Season 2 at the Ambassador's residence. The Ambassador invited the full production crew to his home in early December to give them a sense of what it looked like and at the same time invited them to film there. Filming has now been penciled in for January 29<sup>th</sup>. It should be about a one-day event.

Thus far, this will consist of just the filming itself. When we talked about it in November, it didn't seem like it was going to be an issue at all, but I just wanted to double check with you and see what paperwork, official permissions, etc. we need to get for the filming.



That being said, there is still the possibility that members of the mission will appear in the show. They will not likely have speaking roles, but rather appear as extras. If this does happen, I assume I need to put in for PA clearance for them. Is that all I would need to do, or is there something else that I should be tracking?

At this point, I am just trying to make sure that I am tracking all the necessary things and not letting something small, but important fall through the cracks until the very end. I really appreciate any guidance that you can give on both the filming itself and the possibility of members of the mission serving as extras in the show.

Best,  
Chelsia

**Chelsia C. Hetrick**  
Assistant Information Officer  
U.S. Embassy Bogotá  
+57 (1) 275-2418  
+  
HetrickC@state.gov

Visítanos en:

bogota.usembassy.gov /  / 

This email is UNCLASSIFIED.

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox -  
**Sent:** Tuesday, January 05, 2016 9:28 AM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Book Review Status: American Biodefense

RELEASE IN PART  
B6

B6

The Department has completed the review of your book review entitled, *American Biodefense: How Dangerous Ideas about Biological Weapons Shape National Security*, pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this article are those of the author and not necessarily those of the U.S. Government.

Thank you,  
PA Reviews



**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Wednesday, December 30, 2015 2:57 PM  
**To:** ISN-SCO-DL; McKeeby, David I; Paul, Joshua M  
**Cc:** PA Reviews Mailbox  
**Subject:** PM, ISN Publication Review - American Biodefense

RELEASE IN PART  
B6

Dear ISN and PM Colleagues:

For your review, attached is a 2-page review of the book "American Biodefense: How Dangerous Ideas about Biological Weapons Shape National Security", written in private capacity by State Department employee [redacted] a Historian B6 in PA/HO.. We would appreciate hearing from you by **COB Tuesday, January 5**. Please see Mr. [redacted] responses to the standard questions for additional details below.

**QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED**

- What is the author's first and last name, current job title and office symbol?  
o [redacted] Historian, PA/HO
- Is the author writing in his/her private capacity or official capacity?  
o Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.  
o No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?  
o Yes. The views expressed here are those of the author, and do not necessarily reflect those of the U.S. Department of State or the U.S. Government..
- Where does the author intend to submit the article/manuscript for publication?  
o The Journal of Technology and Culture
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?  
o No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement, information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a written, detailed explanation.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Wednesday, January 06, 2016 1:23 PM  
**To:** Ortiz, Alexis J; EAP-Press; OES-PA-DG  
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review: The South China Sea Disputes and Law of the Sea

RELEASE IN PART  
B6

L, OES and EAP Colleagues:

For your review, attached is a 13-page article entitled *The South China Sea Disputes and Law of the Sea*, written in private capacity by [REDACTED]. We would appreciate hearing from you by **COB Monday, January 11**. Please see Mr. [REDACTED] responses to the standard questions below for additional details. <sup>B6</sup>

**QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED**

What is the author's first and last name, current job title and office symbol?

- ☐ [REDACTED] Attorney Adviser L/OES

Is the author writing in his/her private capacity or official capacity?

- ☐ Private

Will the author receive remuneration outside of his/her Department of State salary for the article?

- ☐ No

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

- ☐ Yes. "The views expressed are personal and do not necessarily reflect those of the U.S. government."

Who else has cleared (your supervisor, others within the Department of State)?

- ☐ No one

Where does the author intend to submit the article/manuscript for publication?

- ☐ American Journal of International Law (AJIL), Book Review

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

- ☐ Yes, it covers the law of the sea.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

---

**From:** PA Reviews Mailbox  
**Sent:** Sunday, January 10, 2016 7:51 AM  
**To:** [redacted]; [redacted]  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Book review of "Black Flags: The Rise of ISIS"

RELEASE IN PART  
B6

B6

Mr. [redacted]

The Department has completed the review of your book review entitled "Black Flags: The Rise if ISIS", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**From:** [redacted] [mailto:[redacted]]  
**Sent:** Saturday, January 02, 2016 1:19 PM  
**To:** PA Reviews Mailbox [redacted]  
**Subject:** Book review of "Black Flags: The Rise of ISIS"

Hello,

I have attached the following book review of Joby Warrick's "Black Flags: The Rise of ISIS" for State Department approval to be published in an academic journal. Please let me know if you have any questions.

Sincerely,

[redacted]

[redacted]@State.gov

**Hartmann, Lorraine (Lori)**

---

**From:** PA Reviews Mailbox  
**Sent:** Sunday, January 10, 2016 7:56 AM  
**To:** [REDACTED]  
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review - This is How We Do it

RELEASE IN PART  
B6

B6

[REDACTED]

The Department has completed the review entitled "This Is How We Do It", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** [redacted] <[redacted]@state.gov>  
**Sent:** Tuesday, January 12, 2016 11:12 AM  
**To:** PA Reviews Mailbox  
**Subject:** Review of Law Journal Publication

B6

RELEASE IN PART  
B6

Dear Colleagues,

I am having a paper that I wrote as a student at Yale Law School published in the *Yale Law Journal* soon. I've attached the latest draft of the Note. As you can see, I do not plan to mention my current employment at the Department. I'm more than happy to answer any questions about the publication. Let me know what other information you need.

Best,

[redacted]

[redacted]

Law Clerk  
Office of the Legal Adviser  
Ethics and Financial Disclosure (L/EFD)  
U.S. Department of State  
(202) 663-2998  
[redacted]@state.gov

This email is UNCLASSIFIED.

RELEASE IN PART  
B6

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Wednesday, January 13, 2016 4:08 PM  
**To:** [REDACTED]  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Request for clearance on presentation

B6

[REDACTED]

The Department has completed the review of the speaking engagement request and talking points pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1) during your presentation – that the views expressed are yours alone and not necessarily those of the U.S. Government. Your paper is attached with suggested edits incorporated for your consideration.

Thank you,  
PA Reviews

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**From:** [REDACTED] [mailto:[REDACTED]@state.gov]  
**Sent:** Tuesday, January 12, 2016 3:58 PM  
**To:** PA Reviews Mailbox  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Request for clearance on presentation

PA Reviews:

Please find my responses below; I also am attaching my talking points, which have already been reviewed and approved by INR (Vic Raphael, Deputy Assistant Secretary, INR, and [REDACTED] Acting Director, INR/EAP). I will be presenting my personal academic views in a personal capacity.

B6

Thanks.

[REDACTED]

This email is UNCLASSIFIED.

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**From:** PA Reviews Mailbox [mailto:pareviews@state.gov]  
**Sent:** Tuesday, January 12, 2016 2:57 PM  
**To:** [REDACTED]  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Request for clearance on presentation

[REDACTED] – Please fill out the speaking request form below and we will run the review by relevant equities as soon as this information is received. Who is the reviewer for INR?

Thank you,  
PA Reviews

**SPEAKING ENGAGEMENT REQUEST FORM**

**Speaker's name, title and office symbol:** [redacted] Senior Foreign Research Analyst, INR/EAP

B6

**Date of event:** 01/16/2016

**Venue Name, City and State:** Association for Asian Studies (AAS) Southeast regional conference, Harrisonburg, VA

**Group/Organization Sponsoring the Event:** James Madison University is hosting; it is an AAS regional conference event

**Subject of Discussion:** "China's Portrayal of Japan in Its Rejuvenation Narrative of 2015" on a panel on Chinese and Japanese perceptions of history

**Format (remarks with or without Q&A, panel discussion, keynote address, speech):** Presentation and panel discussion (panel is from 10:30-12:15 on 1/16/16; my presentation will be about 25 minutes)

**Audience (number of expected attendees and demographics):** Academic audience/conference. Number of participants could range from about 20 to 50 (I doubt there will be that many)

**Media (open press, closed press):** This is an academic event; I doubt media will be present. However, I do not think it's "closed" to media either.

**Attribution (on-the-record, off-the-record, on background):** I'll state Chatham House rules for my section.

**Clearances [supervisor, regional bureau (as appropriate), others]:**

1. INR: Supervisor: [redacted] INR/EAP Acting Director has reviewed and approved talking points; Vic Raphael, the Deputy Assistant Secretary for INR, also has reviewed and approved talking points.
2. EAP Bureau: I have sent the talking points to EAP/CM for clearance via e-mail.
3. EAP-P: I have sent talking points to EAP-P for clearance via e-mail.

B6

**Is the speaker being compensated outside of his/her DOS salary to speak at this event?:** No

**Is the speaker presenting in an official or personal capacity at this event?:** In a personal capacity, presenting personal views and not the views of the USG or Department of State

**Recommendation (why this furthers U.S. interests):** Academic research, based purely on Chinese foreign policy journals and open source materials. Discussion and exchange with the academic community deepens INR connections and insights.

I am attaching the talking points I plan to use.

---

**From:** [redacted] **mailto:** [redacted]@state.gov

**Sent:** Tuesday, January 12, 2016 2:13 PM

**To:** PA Reviews Mailbox

**Subject:** FW: Request for clearance on presentation

All,

I have had trouble uploading this request to the PA clearance database site—it keeps crashing and does not appear a compatibility settings issue.

Thanks,

[Redacted]

X72109

B6

This email is UNCLASSIFIED.

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**From:** [Redacted]

**Sent:** Monday, January 11, 2016 10:08 AM

**To:** EAP-CM-Unit Chiefs-DL; EAP-P-Office-DL

**Cc:** [Redacted]

**Subject:** Request for clearance on presentation

B6

Colleagues,

This weekend, I will present a paper on China's rejuvenation narrative, especially as it relates to Japan, based entirely off of foreign policy journal articles at the Association of American Studies' Southeast Regional Conference in Harrisonburg, VA. I request your review of the attached presentation – and your forgiveness for my late request (not a good excuse, but I did not expect last week to be so hectic). A list of sources used is at the back. I will have 25 minutes to present—longer than the usual State Department presentation.

INR also is reviewing. Please let me know if you think anyone else needs to see it, and of course whether I have caveated appropriately. I do not intend to distribute my talking points—just present them orally. If the paper is published later, I will resubmit a separate review process (and I promise to give more time for that review).

Thanks,

[Redacted]

x72109

This email is UNCLASSIFIED.



**Hartmann, Lorraine (Lori)**

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**From:** [redacted] <[redacted]@state.gov>  
**Sent:** Thursday, January 14, 2016 12:05 PM  
**To:** PA Reviews Mailbox  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Publication Review - How to Trim a Christmas Tree: Beyond Severability and Inseverability for Omnibus Statutes

B6

RELEASE IN PART  
B6

Great, thank you.

This email is UNCLASSIFIED.

---

**From:** PA Reviews Mailbox [<mailto:pareviews@state.gov>]  
**Sent:** Thursday, January 14, 2016 6:56 AM  
**To:** [redacted]  
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review - How to Trim a Christmas Tree: Beyond Severability and Inseverability for Omnibus Statutes

[redacted]

The Department has completed the review of your article entitled, *How to Trim a Christmas Tree: Beyond Severability and Inseverability for Omnibus Statutes*, pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this article are those of the author and not necessarily those of the U.S. Government.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Friday, January 15, 2016 2:20 AM  
**To:** EUR-Press  
**Cc:** PA Reviews Mailbox  
**Subject:** EUR Publication Review - From Nightmares to Dreams, The End of the Cold War and the Transformation of Neoconservatism

RELEASE IN PART B6
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Dear EUR Colleagues:

For your review, attached is a 36-page article entitled "From Nightmares to Dreams, The End of the Cold War and the Transformation of Neoconservatism" written in private capacity by  a Historian in PA/HOB6

We would appreciate hearing from you on this review request by **COB Thursday, January 21.** Please see attached Mr.  responses to the standard questions for additional details.

### QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
  - Historian, PA/HO
- Is the author writing in his/her private capacity or official capacity?
  - Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
  - No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
  - Yes: Although my present work on the Foreign Relations of the United States series has given me access to classified records from the Reagan administration, the research and analysis presented in this article relies exclusively on publicly available sources consulted before I joined the Office of the Historian. The views expressed here are my own and do not necessarily reflect those of the Department of State.
- Who else has cleared (your supervisor, others within the Department of State)?
  - Two PA/HO supervisors (William McAllister and Kathleen Rasmussen)
- Where does the author intend to submit the article/manuscript for publication
  - Journal of Cold War Studies
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
  - Not directly, but the article covers a time period that coincides with my FRUS compiling work. My disclaimer makes clear that the article is based on research completed before I started at DOS and gained access to classified records.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);

3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** [redacted] <[redacted]@state.gov>  
**Sent:** Wednesday, January 20, 2016 10:09 AM  
**To:** PA Reviews Mailbox  
**Cc:** PA Reviews Mailbox; McKeeby, David I  
**Subject:** RE: Publication Review - Malaysia's A2AD Dilemma

B6

RELEASE IN PART  
B6

Great – thank you for the timeliness of the review.

Sincerely,

[redacted]

Deputy Director, Office of Strategy  
Bureau of Political Military Affairs  
U.S. Department of State  
T: 202-453-9316  
C: [redacted]

This email is UNCLASSIFIED.

---

**From:** PA Reviews Mailbox [mailto:pareviews@state.gov]  
**Sent:** Wednesday, January 20, 2016 7:08 AM  
**To:** [redacted]  
**Cc:** PA Reviews Mailbox; McKeeby, David I  
**Subject:** Publication Review - Malaysia's A2AD Dilemma

Dear [redacted]

The Department has completed the review of your review request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

**From:** [redacted] <[redacted]>  
**Sent:** Wednesday, January 20, 2016 11:57 AM  
**To:** PA Reviews Mailbox  
**Subject:** Re: Review approval for "Voice of CBI"

RELEASE IN PART  
B6

B6

Hello,

Thank you for reviewing it.

All the best,

[redacted]

On Jan 20, 2016, at 2:14 AM, PA Reviews Mailbox <pareviews@state.gov> wrote:

Mr. [redacted]

The Department has completed the review of your book review entitled "Voice of CBI", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**From:** [redacted] [http://redirect.state.sbu/?url=mailto:[redacted]]  
**Sent:** Saturday, January 16, 2016 12:24 PM  
**To:** PA Reviews Mailbox  
**Subject:** Review approval for "Voice of CBI"

Hello,

I am submitting the attached book review for approval. It will be published by the Central Intelligence Agency's journal "Studies in Intelligence."

Please let me know if you have any questions.

Sincerely,

[redacted]

What is the author's first and last name, current job title and office symbol?

[redacted] Fellow/Foreign Affairs Officer, EUR/CARC

Is the author writing in his/her private capacity or official capacity?

--In private capacity.

Will the author receive remuneration outside of his/her Department of State salary for the article?

--No.

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

--Yes. The journal's disclaimer reads: "All statements of fact, opinion, or analysis expressed in Studies in Intelligence and CSI Publications are those of the Authors. They do not necessarily reflect official positions or views of the Central Intelligence Agency or any other US government entity, past or present."

Who else has cleared (your supervisor, others within the Department of State)?

--No one else has read it. It was submitted to "Studies in Intelligence" before I started at the State Department. But I am sending it for approval so the CIA can mention State in my byline.

Where does the author intend to submit the article/manuscript for publication?

--"Studies in Intelligence," published by the Central Intelligence Agency.

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

--No. It is my own interest, which is unrelated to my work.

**Hartmann, Lorraine (Lori)**

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**From:** [redacted] <[redacted]@state.gov>  
**Sent:** Thursday, January 21, 2016 11:30 AM  
**To:** PA Reviews Mailbox  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Publication Review: The South China Sea Disputes and Law of the Sea

RELEASE IN PART  
B6

B6

Many thanks!

This email is UNCLASSIFIED.

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**From:** PA Reviews Mailbox [mailto:pareviews@state.gov]  
**Sent:** Thursday, January 21, 2016 9:27 AM  
**To:** [redacted]  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Publication Review: The South China Sea Disputes and Law of the Sea

Mr. [redacted]

The Department has completed the review of your article, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

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**From:** [redacted] [mailto:[redacted]@state.gov]  
**Sent:** Thursday, January 21, 2016 11:11 AM  
**To:** PA Reviews Mailbox  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Publication Review: The South China Sea Disputes and Law of the Sea

Thanks. Yes, last week I received edits and comments from Oliver Lewis (L/OES), Robert Harris (L/EAP), and Greg O'Brien (OES/OPA). I also had extensive phone discussions with Oliver. I responded to their inputs and sent them my revised version. I don't believe there are any additional concerns...

Thx,

[redacted]

This email is UNCLASSIFIED.

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**From:** PA Reviews Mailbox [mailto:pareviews@state.gov]  
**Sent:** Thursday, January 21, 2016 8:03 AM

**To:**

**Cc:** PA Reviews Mailbox

**Subject:** Publication Review: The South China Sea Disputes and Law of the Sea

B6

Dear Mr.

We are almost finished with our review of your article. We received a recent e-mail from Oliver Lewis indicating that he and several others from L had been in touch with you directly regarding some revisions to your article.

We are seeking your confirmation that they were in touch with you and that you reached agreement with them on the revisions.

Thank you for your assistance.

PA Reviews



**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Friday, January 22, 2016 12:05 PM  
**To:** [REDACTED] Mr, CIV, IADC (US) [REDACTED]  
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review Status: Sealing Ankara's Border with IS

RELEASE IN PART  
B6

B6

Mr. [REDACTED]

The Department has completed the review of your article entitled, *Sealing Ankara's Border with IS: a Turkey-Friendly Zone inside Syria*, pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this article are those of the author and not necessarily those of the U.S. Government.

Thank you,  
PA Reviews

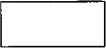
**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Friday, January 22, 2016 10:55 AM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review Status

RELEASE IN PART  
B6

B6



The Department has completed the review of your article entitled, *From Nightmares to Dreams, The End of the Cold War and the Transformation of Neoconservatism*, pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this article are those of the author and not necessarily those of the U.S. Government.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Tuesday, January 26, 2016 9:28 AM  
**To:** [REDACTED] CIV, IADC (US)  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: clearance request

RELEASE IN  
PART B6

B6

Yes, that's fine. Thank you.

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**From:** [REDACTED] CIV, IADC (US) [mailto:[REDACTED]]  
**Sent:** Tuesday, January 26, 2016 9:26 AM  
**To:** PA Reviews Mailbox  
**Subject:** Re: clearance request

Just seeing this on 26 January.

Of course, my intended disclaimer was "...the views expressed above are the author's and do not necessarily reflect the views of the Dpt. Of State or the U.S. Government. ".  
OK?

Sent from my iPhone.

On Jan 15, 2016, at 11:38 AM, PA Reviews Mailbox <pareviews@state.gov> wrote:

Mr. [REDACTED]

We received your request for publication review entitled "*Sealing Ankara's Border with IS: a Turkey-Friendly Zone inside Syria*". The article is under review and we will notify you once the review is complete.

We wanted to note, however, that given this is written in your personal/private capacity, you must include the standard disclaimer (3 FAM 4176.1), that the views expressed in the article are yours alone and not necessarily those of the U.S. Government.

Please let us know if you have questions.

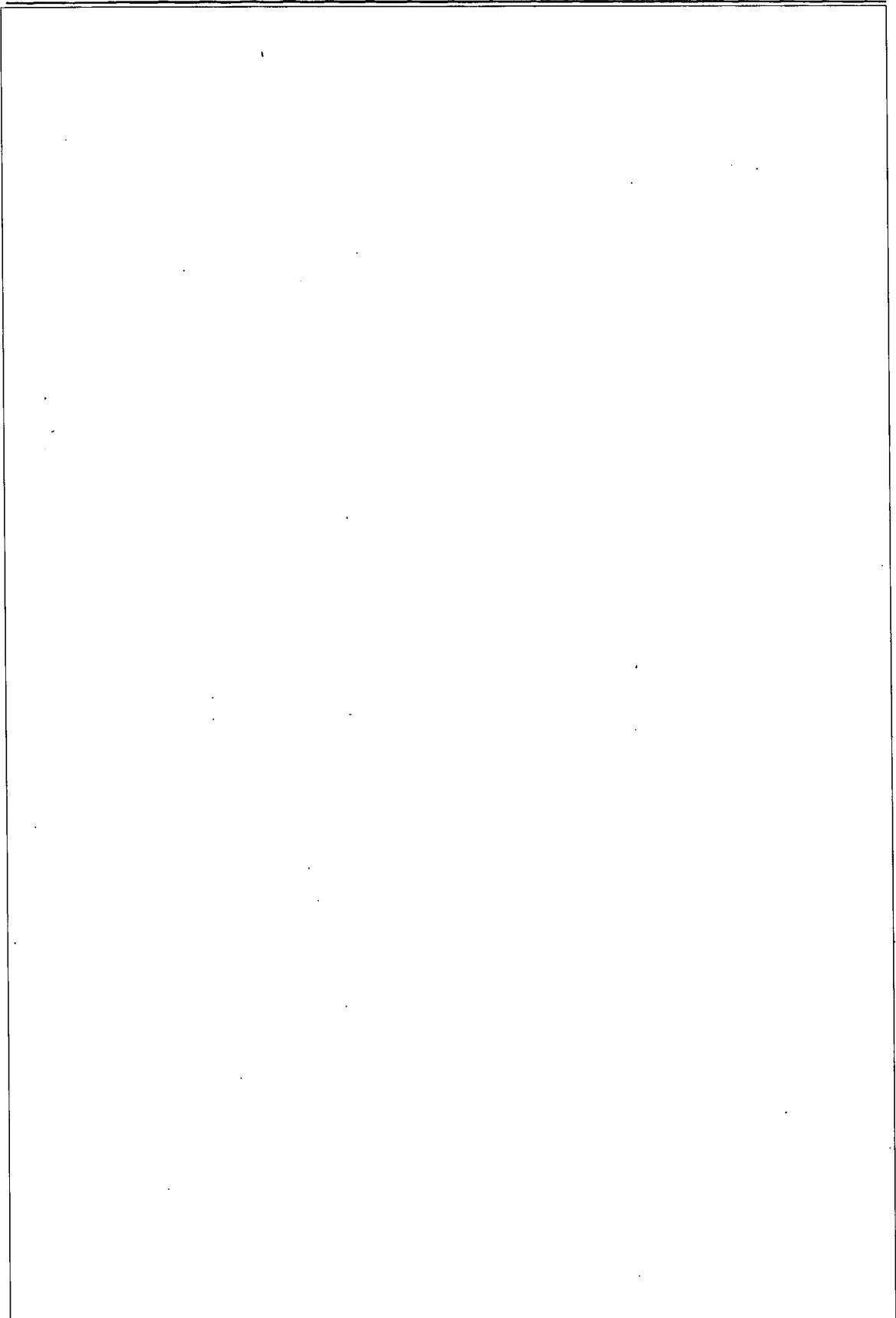
Thank you,  
PA Reviews

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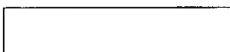
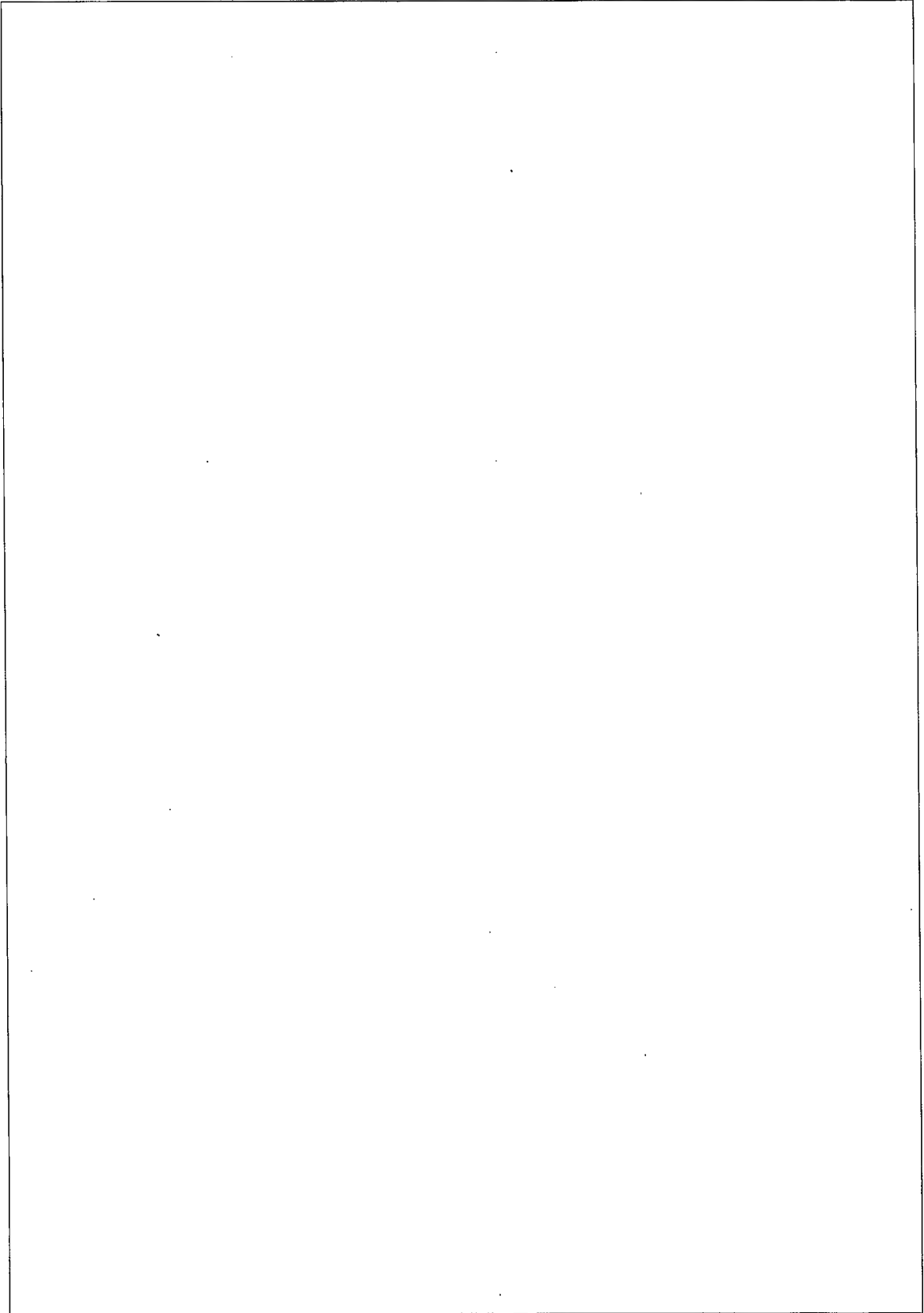
**From:** [REDACTED] CIV, IADC (US)  
[http://redirect.state.sbu/?url=mailto:[REDACTED]]  
**Sent:** Friday, January 15, 2016 9:59 AM  
**To:** PA Clearances Mailbox  
**Subject:** clearance request

I would like to publish the following on the Policy Watch page of the Washington Institute Website and submit it for clearance. Please advise: thanks [REDACTED]

B6



B6



B6

U.S. Foreign Service Officer  
Faculty – Civ-Mil Relations  
Colegio Interamericano de Defensa  
Inter-American Defense College  
Fort Lesley J. McNair  
Washington DC 20319  
+1-202-314-2820 (office)  
+ [ ] (mobile)

B6

Link to IADC

homepage: <http://redirect.state.sbu/?url=http://redirect.state.sbu/?url=http://redirect.state.sbu/?url=http://www.colegio-id.org>

Link to IADC

Foundation: <http://redirect.state.sbu/?url=http://redirect.state.sbu/?url=http://redirect.state.sbu/?url=http://www.iadc.org>

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Wednesday, January 27, 2016 9:27 AM  
**To:** EUR-Press; NEA-Press  
**Cc:** PA Reviews Mailbox  
**Subject:** EUR, NEA Publication Review - Identity and Extremism in the Balkans

RELEASE IN PART B6
-----------------------

Dear EUR and NEA Colleagues:

Attached is a 23-page article entitled "Identity and Extremism in the Balkans" written in private capacity by [redacted] a Foreign Affairs Officer in EUR/PD.

B6

[redacted] indicated in his submission that he had already obtained approval to proceed by EUR/SCE, EUR/SE, NEA/PPD, and the Saudi Arabia Desk.

We are seeking your confirmation of these approvals to proceed by **COB Friday, January 29.**

If you determine that additional review is required by others in your bureaus, we request the review completion by COB Monday, February 1.

Please see attached Mr. [redacted] responses to the standard questions for additional details.

#### QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
  - [redacted] Foreign Affairs Officer, EUR/PD
- Is the author writing in his/her private capacity or official capacity?
  - Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
  - No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
  - Yes: The views expressed in this publication do not necessarily reflect the views of the U.S. Department of State or the United States government..
- Who else has cleared (your supervisor, others within the Department of State)?
  - EUR/SCE, EUR/SE, NEA/PPD, and the Saudi Arabia desk.
- Where does the author intend to submit the article/manuscript for publication
  - The International Centre for the Study of Radicalisation at King's College.
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
  - Yes. I coordinate CVE programming for EUR/PD, which includes the Balkans..

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;

2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews



**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Wednesday, January 27, 2016 11:49 AM  
**To:** [REDACTED]  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Submission Returned for Modifications

B6

RELEASE IN PART  
B6

Received, thank you.

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**From:** [REDACTED] [mailto:[REDACTED]@state.gov]  
**Sent:** Wednesday, January 27, 2016 11:37 AM  
**To:** PA Reviews Mailbox  
**Subject:** RE: Submission Returned for Modifications

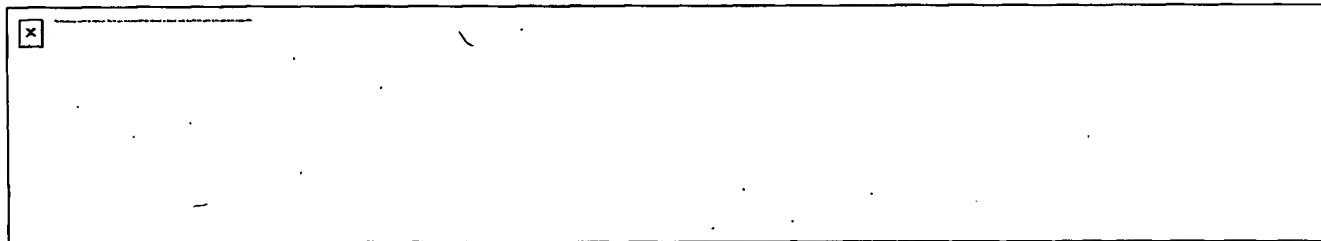
Have modified; did it come through?

[REDACTED]  
Office of the Historian  
202-955-0241  
[REDACTED]@state.gov

This email is UNCLASSIFIED.

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**From:** PA Reviews Mailbox [mailto:pareviews@state.gov]  
**Sent:** Wednesday, January 27, 2016 11:33 AM  
**To:** [REDACTED]  
**Subject:** Submission Returned for Modifications



## Clearance Request

**Request Title:** (\* Blog Post about American Histo \*)

Your request is being returned because PA reviewer is requesting additional information.

**Message from PA:**

Please submit this inquiry as "Articles for newspapers, websites, etc." and fill out the publication questionnaire form. Thank you.

**View Sent Back by PA Queue**

**(\*Warning: If clearance is not in queue when opened, another user in your bureau has resubmitted to PA.\*)**

RELEASE IN PART  
B6**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Wednesday, January 27, 2016 3:56 PM  
**To:** DRL-Press; EUR-Press  
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review: Return of the 1st Quadrant of Public Diplomacy

EUR and DRL Colleagues:

For your review, attached is a 5-page article entitled "Return of the 1st Quadrant of Public Diplomacy", written in private capacity by [REDACTED]. We would appreciate hearing from you by **COB Friday, January 29**. Please see Mr. [REDACTED] B6 responses to the standard questions below for additional details.

#### QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED

What is the author's first and last name, current job title and office symbol?

☐ [REDACTED] PD Fellow. As part of my duties at GW, I am required to submit regular blog entries to the university web site.

Is the author writing in his/her private capacity or official capacity?

☐ Private

Will the author receive remuneration outside of his/her Department of State salary for the article?

☐ No

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

☐ Yes. The opinions and characterizations in this article are those of the author and do not necessarily reflect official positions of the United States Government.

Who else has cleared (your supervisor, others within the Department of State)?

☐ My supervisor at George Washington University Professor Sean Aday has cleared the text

Where does the author intend to submit the article/manuscript for publication?

☐ The article will appear in the university's PD blog "Take Five"

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

☐ I mention an NGO called Campact based in Germany, which was my assignment last year

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Thursday, January 28, 2016 2:29 PM  
**To:** [REDACTED]  
**Cc:** Jacobsohn, Michael N; PA Reviews Mailbox  
**Subject:** Review Status: [REDACTED] Manuscript

<b>RELEASE IN PART</b> <b>B6</b>
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B6

Mr. [REDACTED]

The Department has completed the review of your manuscript entitled *The Embassy –Frontline Diplomacy in Liberia*, pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this book are those of the author and not necessarily those of the U.S. Government.

Thank you,  
 PA Reviews

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**From:** [REDACTED] [mailto:[REDACTED]]  
**Sent:** Wednesday, January 06, 2016 4:26 AM  
**To:** PA Reviews Mailbox  
**Cc:** Jacobsohn, Michael N  
**Subject:** [REDACTED] Manuscript for PA Review

Attached please find a book manuscript for PA Review. The book is slated to be listed publication by Beaufort Books (New York) pending completion of the PA Review. A previous iteration of this book was reviewed by PA in 2006 (letter attached) but it has been rewritten and some additional interview material has been added. The title of the book is "The Embassy" (previous working title "No Monkey No Dog").

Below is some additional information that may be helpful to reviewers:

The book deals with events in Liberia during a very narrow time frame, specifically June - August 2003, save a historical overview of Liberia in the prologue. In the 13 years since the conflict concluded, the following changes to people, places and events referenced in the book have happened:

- Charles Taylor was convicted in an international court and is in jail.
- The chancery was replaced with a new embassy building that opened recently at a separate site.
- Then-US Ambassador John Blaney retired from USG service.
- The ECOMIL peacekeeping operation in October 2003 became a UN PKO.
- The two rebel groups disbanded.
- JTF Liberia concluded its mission in October 2003.
- U.S. European Command, which had responsibility for US military support, has given way to AFRICOM, which did not exist then.
- The Special Court for Sierra Leone wrapped up operations in 2013.
- Then-Liberian Vice President and then-rebel leader "Cobra" have both passed away
- And of course in 2003 we were under the Bush administration and Colin Powell was Secretary of State.

Many thanks in advance for your kind consideration. Kindly contact me at this address and at [REDACTED] if you have any questions (as I have limited access to this account for the time being).

V/R



B6

**Hartmann, Lorraine (Lori)**

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**From:** [redacted]@state.gov>  
**Sent:** Monday, February 01, 2016 1:25 PM  
**To:** PA Clearances Mailbox  
**Subject:** book I've been asked to review

B6

RELEASE IN PART  
B6

Dear PA Clearances: Good afternoon. I've been asked by the Washington Independent Review of Books, which is an organ with internet and email distribution only, to review a nonfiction book in my personal capacity that makes some mention of State and considerably more mention of individuals elsewhere in government. The subject of the book is the struggle in the U.S. for cyber security over the last several decades.

The editors typically ask for reviews that are between 800-1200 words. I would identify myself in the review, if it is accepted, only as "a former journalist" (note: which is true), with no mention of any past or present government employment.

I haven't written the review yet, because I wanted to first find out from you how much time you think it would take to carry out the review process. The book I'm reviewing is going on sale as of March 1, so I'm sure the WIRB would like to post the review within the first week of its appearance in bookstores. If that's not likely to be feasible, then I'll want to let WIRB know so they can assign the review to someone else.

Please advise. Thanks very much, [redacted]

[redacted] Director  
*Office of Western Hemisphere Analysis (INR/WHA)*  
*Department of State*  
*Washington, DC 20520*

*Tel: 202-647-3555*  
*Fax: 202-647-0527*

SBU  
This email is UNCLASSIFIED.

**Hartmann, Lorraine (Lori)**

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**From:** [redacted] <[redacted]@state.gov>  
**Sent:** Monday, February 01, 2016 12:25 PM  
**To:** PA Reviews Mailbox  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Publication Review - Identity and Extremism in the Balkans

B6

RELEASE IN PART  
B6

Thank you! Very much appreciated. I will make the suggested edits and include the disclaimer.

Best regards,



This email is UNCLASSIFIED.

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**From:** PA Reviews Mailbox [mailto:pareviews@state.gov]  
**Sent:** Monday, February 01, 2016 1:59 AM  
**To:** [redacted]  
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review - Identity and Extremism in the Balkans

Dear Mr. [redacted]

The Department has completed the review of this request pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this article are those of the author and not necessarily those of the U.S. Government. The article is attached with a few minor suggested edits and comments incorporated for your consideration.

Please let us know if you have questions.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Thursday, February 04, 2016 7:39 AM  
**To:** Greenberg, Brenda L  
**Cc:** PA Reviews Mailbox  
**Subject:** Brenda - Publication Review: Robert's Rules of EERder

RELEASE IN PART  
B6

Brenda:

For your review, attached is a 4-page article entitled "Robert's Rules of EERder", written in private capacity by  B6  
 a State Department employee on detail.

We would appreciate hearing from you by **COB Friday, February 5**. Please see Mr.  responses to the standard questions below for additional details.

**QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED**

What is the author's first and last name, current job title and office symbol?

☐  State Department employee on detail.

Is the author writing in his/her private capacity or official capacity?

☐ Private

Will the author receive remuneration outside of his/her Department of State salary for the article?

☐ No

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

☐ Yes. The opinions and characterizations in this article are those of the author and do not necessarily reflect official positions of the United States Government.

Who else has cleared (your supervisor, others within the Department of State)?

☐ No one

Where does the author intend to submit the article/manuscript for publication?

☐ Foreign Service Journal

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

☐ Yes

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews



**Hartmann, Lorraine (Lori)**

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**From:** Greenberg, Brenda L <GreenbergBL2@state.gov>  
**Sent:** Thursday, February 04, 2016 8:09 AM  
**To:** PA Reviews Mailbox  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Brenda - Review - Letter to the Editor

RELEASE IN PART  
B6

I clear.

This email is UNCLASSIFIED.

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**From:** PA Reviews Mailbox [mailto:pareviews@state.gov]  
**Sent:** Thursday, February 04, 2016 7:50 AM  
**To:** Greenberg, Brenda L  
**Cc:** PA Reviews Mailbox  
**Subject:** Brenda - Review - Letter to the Editor

Brenda:

For your review, attached is a letter to the editor written in private capacity by  and  B6  
, all employees at FSI.

We would appreciate hearing from you by **COB Friday, February 5**. Please see their responses to the standard questions below for additional details.

**QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED**

What is the author's first and last name, current job title and office symbol?

☐ ,  and .

Is the author writing in his/her private capacity or official capacity?

☐ Private

Will the author receive remuneration outside of his/her Department of State salary for the article?

☐ No

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

☐ Yes. The opinions and characterizations in this article are those of the author and do not necessarily reflect official positions of the United States Government.

Who else has cleared (your supervisor, others within the Department of State)?

☐ FSI/SPAS Dean Mark Pekala (their supervisor)

Where does the author intend to submit the article/manuscript for publication?

☐ Foreign Service Journal

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

☐ No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Monday, February 08, 2016 7:00 AM  
**To:** [REDACTED]  
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review - Robert's Rules of EERder

RELEASE IN PART  
B6

B6

Dear Mr. [REDACTED]

The Department has completed the review entitled "Robert's Rules of EERder", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

The article includes tracked edits to the names as noted via our e-mail exchanges on February 5.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Tuesday, February 09, 2016 7:59 AM  
**To:** Lavallee, Michael P; NEA-Press  
**Cc:** PA Reviews Mailbox  
**Subject:** SECI, NEA Publication Review - Limits to the Islamic State

RELEASE IN PART  
B6

Dear Mike and NEA Colleagues:

For your review, attached is a 7-page article entitled "Limits to the Islamic State", written in private capacity by [ ] a Franklin Fellow in NEA/MAG. We would appreciate hearing from you by **COB Thursday, February 11**. Please see Ms. [ ] responses to the standard questions below for additional details.

**QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED**

What is the author's first and last name, current job title and office symbol?

☐ [ ] Franklin Fellow/Foreign Affairs Officer (Libya), NEA/MAG.

Is the author writing in his/her private capacity or official capacity?

☐ Private

Will the author receive remuneration outside of his/her Department of State salary for the article?

☐ No

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

☐ Yes. The opinions and characterizations in this article are those of the author and do not necessarily reflect official positions of the United States Government.

Who else has cleared (your supervisor, others within the Department of State)?

☐ No one

Where does the author intend to submit the article/manuscript for publication?

☐ This paper is for presentation at the International Studies Association, a political science group, and will be uploaded to their system

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

☐ The focus of this paper is on Iraq and Syria; it mentions Libya peripherally.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);

3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Wednesday, February 10, 2016 4:07 PM  
**To:** DRL-Press; McKeeby, David I; Paul, Joshua M  
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review: Gender Integration of Women into U.S. Army Special Forces

RELEASE IN PART  
B6

DRL and PM Colleagues:

For your review, attached is a 161 page thesis paper entitled "Gender Integration of Women into U.S. Army Special Forces Operational Detachments Alpha as an 18 series Military Occupational Specialty", written in private capacity by

We would appreciate hearing from you by **COB Wednesday, March 2**. Please see Ms.

responses to the standard questions below for additional details.

B6

**QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED**

What is the author's first and last name, current job title and office symbol?

ML Training Candidate FSI/EX

Is the author writing in his/her private capacity or official capacity?

Private

Will the author receive remuneration outside of his/her Department of State salary for the article?

No

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

Yes. The opinions and conclusions expressed herein are those of the student author and do not necessarily represent the views of the U.S. Army Command and General Staff College or any other governmental agency.

Who else has cleared (your supervisor, others within the Department of State)?

No one

Where does the author intend to submit the article/manuscript for publication?

Combined Arms Research Library website and in hard copy in the library in Fort Leavenworth, KS

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);

3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Wednesday, February 10, 2016 2:20 AM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review - Return of the 1st Quadrant of Public Diplomacy

RELEASE IN PART  
B6

B6

Dear

The Department has completed the review of your article entitled "Return of the 1st Quadrant of Public Diplomacy", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

The document contains a suggested tracked edit for your consideration.

Thank you,

PA Reviews



**Hartmann, Lorraine (Lori)**

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**From:** [redacted]@state.gov>  
**Sent:** Tuesday, February 16, 2016 9:04 AM  
**To:** PA Reviews Mailbox  
**Subject:** RE: Book Review - The Dark Territory: The Secret History of Cyber War

RELEASE IN PART  
B6

B6

Thanks for your expeditious handling!

[redacted]

*Office of Western Hemisphere Analysis (INR/WHA)  
Department of State  
Washington, DC 20520*

*Tel: 202-647-3555*

*Fax: 202-647-0527*

This email is UNCLASSIFIED.

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**From:** PA Reviews Mailbox [<mailto:pareviews@state.gov>]  
**Sent:** Tuesday, February 16, 2016 8:03 AM  
**To:** [redacted]  
**Cc:** PA Reviews Mailbox  
**Subject:** Book Review - The Dark Territory: The Secret History of Cyber War

Dear Mr. [redacted]

The Department has completed the review of your book review of "The Dark Territory: The Secret History of Cyber War", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

RELEASE IN PART  
B6

**Hartmann, Lorraine (Lori)**

**From:**  < >  
**Sent:** Wednesday, February 17, 2016 7:25 PM  
**To:** PA Reviews Mailbox  
**Subject:** Review approval for "London Calling"  
  
**Follow Up Flag:** Follow up  
**Flag Status:** Completed

B6

Hello,

I am submitting the attached book review of "London Calling" for approval. It will be submitted to an academic journal titled "Contemporary British History."

Please let me know if you have any questions.

Sincerely,

What is the author's first and last name, current job title and office symbol?

Fellow/Foreign Affairs Officer, EUR/CARC

Is the author writing in his/her private capacity or official capacity?

--In private capacity.

Will the author receive remuneration outside of his/her Department of State salary for the article?

--No.

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

--Yes. It reads: "The ideas expressed are solely the author's opinions and do not represent the United States Department of State or any other agency."

Who else has cleared (your supervisor, others within the Department of State)?

--No one else has read it.

Where does the author intend to submit the article/manuscript for publication?

--"Contemporary British History" (or similar a academic journal if that one declines to publish it.)

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

--No. It is my own interest, which is unrelated to my work.

**Hartmann, Lorraine (Lori)**

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**From:** [redacted] <[redacted]@state.gov>  
**Sent:** Thursday, February 18, 2016 8:48 AM  
**To:** PA Reviews Mailbox  
**Subject:** RE: Publication Review - Limits to the Islamic State

B6

RELEASE IN PART  
B6

Thank you. I will include the disclaimer. I am appreciative of the reviewer's suggestion- my data was from the DoD website- I will check again.

This email is UNCLASSIFIED.

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**From:** PA Reviews Mailbox [<mailto:pareviews@state.gov>]  
**Sent:** Thursday, February 18, 2016 6:51 AM  
**To:** [redacted]  
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review - Limits to the Islamic State

Dear [redacted],

The Department has completed the review of your paper entitled "Limits to the Islamic State", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Additionally, for your consideration only, one of our reviewers suggested that you confirm the information in the first paragraph concerning the number of airstrikes in Iraq and Syria as of February 3. Our reviewer believes that the number actually is closer to 10,000.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

**From:** Choi, Grace H <ChoiGH2@state.gov>  
**Sent:** Thursday, February 18, 2016 1:09 PM  
**To:** [REDACTED] EAP-P-Office-DL; EAP-CM-Unit Chiefs-DL; PA Reviews Mailbox  
**Cc:** [REDACTED]  
**Subject:** RE: Request for clearance on article

RELEASE IN PART B6
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B6

Thank you [REDACTED]

I am looping in PA Reviews team. Tameka – EAP/P office received the following publication review request from the author, Ms. [REDACTED]

[REDACTED] please refer to the information noted below:

B6

**\*PLEASE NOTE\***: PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

Before the written content is sent to the publisher for public releasing, please email PA Reviews Team at [pareviews@state.gov](mailto:pareviews@state.gov) with the following information filled out (Question To For Authors Who Wish To have Manuscripts Published).

#### QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
- Is the author writing in his/her private capacity or official capacity?
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
- Who else has cleared (your supervisor, others within the Department of State)?
- Where does the author intend to submit the article/manuscript for publication?
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

This email is UNCLASSIFIED.

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**From:** [REDACTED]  
**Sent:** Thursday, February 18, 2016 12:33 PM  
**To:** EAP-P-Office-DL; EAP-CM-Unit Chiefs-DL

**Cc:** [redacted]

**Subject:** Request for clearance on article

B6

Good afternoon,

I am seeking clearance on the attached article, which I have written as part of my fellowship at the Mercator Institute for China Studies (MERICS), and which will be posted on the MERICS website.

This is my first time submitting an outside publication for State review, so please let me know if I have missed any steps in the process. I will also be submitting the article to INR and to PA Clearances.

I am also cc'ing my MERICS email address, as my fob connection is quite slow; if you are comfortable replying to that email address as well I would very much appreciate it.

Thank you!

[redacted]

[redacted]

China Analyst  
INR/EAP  
202-647-0067

This email is UNCLASSIFIED.

**Hartmann, Lorraine (Lori)**

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**From:** [redacted] <[redacted]>  
**Sent:** Friday, February 19, 2016 8:51 AM  
**To:** PA Reviews Mailbox  
**Subject:** RE: Publication Review - Empowered or embattled? We don't know what hexin means for Xi Jinping

B6  
RELEASE IN PART  
B6

Thank you!

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**From:** PA Reviews Mailbox [<http://redirect.state.sbu/?url=mailto:pareviews@state.gov>]  
**Sent:** Friday, February 19, 2016 2:34 PM  
**To:** [redacted] <[redacted]@state.gov>; [redacted] MERICS <[redacted]>  
**Cc:** PA Reviews Mailbox <[pareviews@state.gov](mailto:pareviews@state.gov)>  
**Subject:** Publication Review - Empowered or embattled? We don't know what hexin means for Xi Jinping

Dear [redacted]

The Department has completed the review of your article entitled "Empowered or embattled? We don't know what hexin means for Xi Jinping", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** [redacted] <[redacted]>  
**Sent:** Friday, February 19, 2016 9:20 AM  
**To:** PA Reviews Mailbox  
**Cc:** [redacted]  
**Subject:** Re: Publication Review - London Calling

RELEASE IN PART  
B6

B6

Hi,

Thanks!

All the best,

[redacted]

On Feb 19, 2016, at 9:09 AM, PA Reviews Mailbox <[pareviews@state.gov](mailto:pareviews@state.gov)> wrote:

Dear [redacted]

The Department has completed the review of your book review entitled "London Calling", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

---

**From:**   >  
**Sent:** Sunday, February 21, 2016 10:31 AM  
**To:** PA Reviews Mailbox  
**Subject:** Review approval for "The Liberal Party and the Economy, 1929-1964"

RELEASE IN PART  
B6

B6

**Follow Up Flag:** Follow up  
**Flag Status:** Flagged

Hello,

I am submitting the attached book review of Peter Sloman's "The Liberal Party and the Economy, 1929-1964" (Oxford University Press, 2015) for approval. It will be submitted to an academic journal titled "English Historical Review."

Please let me know if you have any questions.

Sincerely,

What is the author's first and last name, current job title and office symbol?

-  Fellow/Foreign Affairs Officer, EUR/CARC

Is the author writing in his/her private capacity or official capacity?

--In private capacity.

Will the author receive remuneration outside of his/her Department of State salary for the article?

--No.

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

--Yes. It reads: "The ideas expressed are solely the author's opinions and do not represent the United States Department of State or any other agency."

Who else has cleared (your supervisor, others within the Department of State)?

--No one else has read it.

Where does the author intend to submit the article/manuscript for publication?

--"English Historical Review" (or similar a academic journal if that one declines to publish it.)

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

--No.



**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Monday, February 22, 2016 6:41 AM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review: Gender Integration of Women into U.S. Army Special Forces

RELEASE IN PART  
B6

B6

The Department has completed the review of "Gender Integration of Women into U.S. Army Special Forces", pursuant to 3 FAM 4170 and determined that the author may proceed, provided that she includes the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you.

PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Tuesday, February 23, 2016 8:07 AM  
**To:** EUR-Press; ISN-SCO-DL  
**Cc:** PA Reviews Mailbox  
**Subject:** EUR, ISN Publication Review - "It Would Be Very Well If We Could Avoid It:" General Pershing and Chemical Warfare

RELEASE IN PART  
B6

Dear EUR and ISN Colleagues:

For your review, attached is a 16-page article entitled ""It Would Be Very Well If We Could Avoid It:" General Pershing and Chemical Warfare" written in a private capacity by [ ] a Historian in PA/HO. We B6  
would appreciate hearing from you by **COB Monday, February 29**. Please see Mr [ ] responses to the  
standard questions below for additional details.

**QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED**

What is the author's first and last name, current job title and office symbol?

☐ [ ] Historian, PA/HO

Is the author writing in his/her private capacity or official capacity?

☐ Private

Will the author receive remuneration outside of his/her Department of State salary for the article?

☐ No

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

☐ Yes. The views expressed here are those of the author, and do not necessarily reflect those of the U.S. Department of State or the U.S. Government

Who else has cleared (your supervisor, others within the Department of State)?

☐ My supervisor Mandy Chalou

Where does the author intend to submit the article/manuscript for publication?

☐ The History Honors Society in the fall issue of "The Historian."

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

☐ No.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;

2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Tuesday, February 23, 2016 2:07 AM  
**To:** AF-Press; JGCJ  
**Cc:** PA Reviews Mailbox  
**Subject:** AF, JGCJ Publication Review - The Evolution of "Hibernation" at the International Criminal Court

RELEASE IN PART  
B6

Dear AF and J/GCJ Colleagues:

For your review, attached is a 7-page article entitled "The Evolution of "Hibernation" at the International Criminal Court: How the World Misunderstood Prosecutor Bensouda's Darfur Announcement" written in a private capacity by [redacted] an Attorney-Advisor in L/PD. We would appreciate hearing from you by **COB Thursday, February 25**. Please see Mr [redacted] responses to the standard questions below for additional details. B6

**QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED**

What is the author's first and last name, current job title and office symbol?

☐ [redacted] Attorney-Advisor, L/PD

Is the author writing in his/her private capacity or official capacity?

☐ Private

Will the author receive remuneration outside of his/her Department of State salary for the article?

☐ No

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

☐ Yes: "The views expressed herein are the author's own and not necessarily those of the Department of State or the U.S. Government."

Who else has cleared (your supervisor, others within the Department of State)?

☐ L/PD and L/UNA Assistant Legal Advisor Maegan Conklin and L/UNA Supervisor Jen Marcovitz

Where does the author intend to submit the article/manuscript for publication?

☐ American Society of International Law

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

☐ No.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;

2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Thursday, February 25, 2016 6:50 AM  
**To:** Thibodeau, Jessica K; Ortiz, Alexis J; NEA-Press; EUR-Press; Lavallee, Michael P; McKeeby, David I  
**Cc:** PA Reviews Mailbox  
**Subject:** L, NEA, EUR, SECI, PM Publication Review - Partners and Pitfalls: Legal Considerations in Fighting Alongside State and Non-State Actors

RELEASE IN PART  
B6

Dear L, NEA, EUR, SECI and PM Colleagues:

For your review, attached is a 27-page article entitled "Partners and Pitfalls: Legal Considerations in Fighting Alongside State and Non-State Actors" written in a private capacity by [REDACTED], an Attorney-Advisor in B6 L/PM.

As the author has a tight deadline, we would appreciate hearing from you by **OOB Tuesday, March 1**. Please see Mr. [REDACTED]'s responses to the standard questions below for additional details.

**QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED**

What is the author's first and last name, current job title and office symbol?

☐ [REDACTED], Attorney-Advisor, L/PM

Is the author writing in his/her private capacity or official capacity?

☐ Private

Will the author receive remuneration outside of his/her Department of State salary for the article?

☐ No

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

☐ The author is an attorney-adviser at the U.S. Department of State. Any views expressed herein are solely those of the author, and are not necessarily those of the United States or the Department of State.

Who else has cleared (your supervisor, others within the Department of State)?

☐ No one

Where does the author intend to submit the article/manuscript for publication?

☐ U.S. Naval War College International Law Series

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

☐ Yes

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:


1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:**     
**Sent:** Sunday, February 28, 2016 7:20 PM  
**To:** PA Reviews Mailbox  
**Subject:** For approval: Phil Haun's "Coercion, Survival, and War" review

B6

RELEASE IN PART  
B6

**Follow Up Flag:** Follow up  
**Flag Status:** Completed

Hello,

I am submitting the attached book review of Phil Haun's "Coercion, Survival, and War" for approval. It will be submitted to an academic journal titled "International Affairs."

Please let me know if you have any questions.

Sincerely,

What is the author's first and last name, current job title and office symbol?

Fellow/Foreign Affairs Officer, EUR/CARC

Is the author writing in his/her private capacity or official capacity?

--In private capacity.

Will the author receive remuneration outside of his/her Department of State salary for the article?

--No.

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

--Yes. It reads: "The ideas expressed are solely the author's opinions and do not represent the United States Department of State or any other agency."

Who else has cleared (your supervisor, others within the Department of State)?

--No one else has read it.

Where does the author intend to submit the article/manuscript for publication?

--"International Affairs" (or similar academic journal if that one declines to publish it.)

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

--No.



**Hartmann, Lorraine (Lori)**

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**From:** [redacted] <[redacted]@state.gov>  
**Sent:** Monday, February 29, 2016 9:33 AM  
**To:** PA Reviews Mailbox  
**Subject:** RE: Publication Review - The Evolution of "Hibernation" at the International Criminal Court

RELEASE IN PART  
B6

B6

Thanks very much PA Reviews team!

This email is UNCLASSIFIED.

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**From:** PA Reviews Mailbox [<mailto:pareviews@state.gov>]  
**Sent:** Monday, February 29, 2016 8:03 AM  
**To:** [redacted]  
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review - The Evolution of "Hibernation" at the International Criminal Court

Déar Mr. [redacted]

The Department has completed the review of your article, "The Evolution of "Hibernation" at the International Criminal Court", pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this article are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** [redacted]@state.gov>  
**Sent:** Monday, February 29, 2016 10:47 AM  
**To:** PA Reviews Mailbox  
**Cc:** Rasamimanana, Jennifer L; PA Reviews Mailbox  
**Subject:** RE: Request for clearance

B6

RELEASE IN PART  
B6

Great. Thanks!

This email is UNCLASSIFIED.

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**From:** PA Reviews Mailbox [mailto:pareviews@state.gov]  
**Sent:** Monday, February 29, 2016 4:43 PM  
**To:** [redacted]  
**Cc:** Rasamimanana, Jennifer L; PA Reviews Mailbox  
**Subject:** RE: Request for clearance

[redacted]

Thank you for your e-mail. The material in your paper does not address matters of Departmental concern and need not be submitted for review (see 3 FAM 4170). In addition, the disclaimer is only required if your personal capacity communication is on a matter of Departmental concern.

Thank you,  
PA Reviews

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**From:** [redacted] [mailto:[redacted]@state.gov]  
**Sent:** Monday, February 29, 2016 10:37 AM  
**To:** PA Reviews Mailbox  
**Cc:** Rasamimanana, Jennifer L  
**Subject:** Request for clearance  
**Importance:** High

PA Reviews,

I have been asked to write a foreword to a compilation of English-language short stories to be published following a competition in Strasbourg, France. The competition is a collaboration of Strasbourg's main English-speaking associations: Americans in Alsace, the English Speaking Union, and the English Speaking Community - Alsace. It invites native and non-native English speakers to participate and is in its third year. More information is located on the website [www.sxb-write-a-story.org](http://www.sxb-write-a-story.org).

Last year, the foreword was written by the UK Permanent Representative to the Council of Europe, Ambassador Matthew Johnson.

I think the competition is a worthy effort and would like to participate by submitting a foreword to this year's winning short story compilation. My draft foreword is attached. Please provide your input and clearance.

Many thanks,

[Redacted]

B6

[Redacted]

***Consul General and  
Deputy Permanent Observer to the Council of Europe  
U.S. Consulate General  
Strasbourg, France  
Tel: +33 3 88-35-86-15***

This email is UNCLASSIFIED.

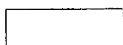
**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Monday, February 29, 2016 2:32 AM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review - It Would Be Very Well If We Could Avoid It:" General Pershing and Chemical Warfare

RELEASE IN PART  
B6

B6



The Department has completed the review of your article, "It Would Be Very Well If We Could Avoid It:" General Pershing and Chemical Warfare", pursuant to 3 FAM 4170 and determined that you may proceed with the publication, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this article are those of the author and not necessarily those of the U.S. Government.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Monday, February 29, 2016 12:57 PM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Review approval for "The Liberal Party and the Economy, 1929-1964"

RELEASE IN PART  
B6

B6

Dear

The Department has completed reviewing your book review of Peter Sloman's "The Liberal Party and the Economy, 1929-1964", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are yours alone and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**From:**  [mailto:  
**Sent:** Sunday, February 21, 2016 10:31 AM  
**To:** PA Reviews Mailbox  
**Subject:** Review approval for "The Liberal Party and the Economy, 1929-1964"

Hello,

I am submitting the attached book review of Peter Sloman's "The Liberal Party and the Economy, 1929-1964" (Oxford University Press, 2015) for approval. It will be submitted to an academic journal titled "English Historical Review."

Please let me know if you have any questions.

Sincerely,

What is the author's first and last name, current job title and office symbol?

-- Fellow/Foreign Affairs Officer, EUR/CARC

Is the author writing in his/her private capacity or official capacity?

--In private capacity.

Will the author receive remuneration outside of his/her Department of State salary for the article?

--No.

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

--Yes. It reads: "The ideas expressed are solely the author's opinions and do not represent the United States Department of State or any other agency."

Who else has cleared (your supervisor, others within the Department of State)?

--No one else has read it.

Where does the author intend to submit the article/manuscript for publication?

--"English Historical Review" (or similar a academic journal if that one declines to publish it.)

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

--No.

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Monday, February 29, 2016 4:26 PM  
**To:** Ortiz, Alexis J; Thibodeau, Jessica K  
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review Request: Hacking Immunity

RELEASE IN PART  
B6

L Colleagues,

For your review, attached is a 73 page paper entitled "Hacking Immunity: Computer Attacks on U.S. Territory by Foreign Sovereigns", written in private capacity by [REDACTED] We would appreciate hearing from you by COB Tuesday, March 15. Please see Mr. [REDACTED]'s responses to the standard questions below for additional details. B6

**QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED**

What is the author's first and last name, current job title and office symbol?

[REDACTED] Program Officer, DRL/GP

Is the author writing in his/her private capacity or official capacity?

Private

Will the author receive remuneration outside of his/her Department of State salary for the article?

No

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

Yes. My current disclaimer reads as follows, but I am happy to revise: "The opinions expressed here reflect the view of the author and do not necessarily represent the position of his employer."

Who else has cleared (your supervisor, others within the Department of State)?

My Office Director, Patricia Davis, has cleared. I am a law student by night and I took an International Law course from James Gresser ([GresserJA@state.gov](mailto:GresserJA@state.gov) L/EUR), who teaches adjunct at Georgetown. As an outgrowth of that course, I developed an interest in a particular lawsuit related to foreign sovereign immunity. He has subject matter expertise in this area and understands State's equities.

Where does the author intend to submit the article/manuscript for publication?

The American Criminal Law Review (a publication of Georgetown Law)

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

No, my official duties are grants management. However I will note that the DRL/MLGA office did analyze this lawsuit. They reached out to me, and I provided informal thoughts to them about the technological aspects of the case given my IT background. The most relevant person in that office is Jason Pielemeier ([PielemeierJS@state.gov](mailto:PielemeierJS@state.gov)).

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews



RELEASE IN PART  
B6**Hartmann, Lorraine (Lori)**

**From:** Choi, Grace H <ChoiGH2@state.gov>  
**Sent:** Monday, February 29, 2016 11:05 AM  
**To:** [REDACTED] PA Reviews Mailbox; EAP-Press  
**Subject:** FW: Book Manuscript on China, for Clearance

B6

Dear Mr. [REDACTED]

Please see details below and I've cc'ed our PA Reviews team.  
 Hope you find this information useful!

Best regards,  
 Grace

**\*PLEASE NOTE\***: PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. **Please contact PA Reviews Team at pareviews.state.gov and PA can work with the employee from there on.**

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

When the publication is drafted and ready for review, it must be submitted to PA for publication review that is written under employee's private capacity.

Before the written content is sent to the publisher for public releasing, **please email PA Reviews Team at pareviews.state.gov** with the following information filled out (Question To For Authors Who Wish To have Manuscripts Published).

### QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?
- Is the author writing in his/her private capacity or official capacity?
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
- Who else has cleared (your supervisor, others within the Department of State)?
- Where does the author intend to submit the article/manuscript for publication?
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

This email is UNCLASSIFIED.

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**From:** Choi, Grace H  
**Sent:** Monday, February 29, 2016 11:00 AM  
**To:** Damberg-Ott, Monica A; EAP-P-Office-DL  
**Cc:** Goldman, Michael B; [REDACTED] Jacanin, Joseph S (Jake); EAP-PD-Office-DL  
**Subject:** RE: Book Manuscript on China, for Clearance

B6

Thanks Monica for looping in the press office.

Dear [REDACTED]

For publication review requests, DoS employees are required to contact PA at [pareviews.state.gov](http://pareviews.state.gov). I will contact you with more details in a separate email but just wanted to close this email chain first. Thank you!

Best, Grace

Grace Choi  
*Office of Public Affairs*  
**Bureau of East Asian and Pacific Affairs**  
U.S. Department of State  
Phone: (202) 736-4537  
Email: [choigh2@state.gov](mailto:choigh2@state.gov)

This email is UNCLASSIFIED.

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**From:** Damberg-Ott, Monica A  
**Sent:** Monday, February 29, 2016 10:00 AM  
**To:** EAP-P-Office-DL  
**Cc:** Goldman, Michael B; [REDACTED] M; Jacanin, Joseph S (Jake); EAP-PD-Office-DL  
**Subject:** FW: Book Manuscript on China, for Clearance

Looping in EAP-Press, who handles this process.

Thanks,  
Monica

**Monica Damberg-Ott**  
*Cultural & Educational Programs Coordinator*  
*Office of Public Diplomacy ~ Bureau of East Asian and Pacific Affairs*  
U.S. Department of State

2201 C Street  
Washington, D.C. 20520  
Phone: 202-647-3653  
BB: [redacted]  
Email: [Damberg-OttMA@state.gov](mailto:Damberg-OttMA@state.gov)

B6

This email is UNCLASSIFIED.

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**From:** Goldman, Michael B  
**Sent:** Monday, February 29, 2016 9:28 AM  
**To:** [redacted] Jacanin, Joseph S (Jake)  
**Cc:** EAP-PD-Office-DL  
**Subject:** FW: Book Manuscript on China, for Clearance

Dear [redacted]

Thank you for the email, and it was nice speaking with you just now. As I understand it, EAP-PD (cc'd) handles the formal process. They'll probably route it back to us to review.

- Mike

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**From:** [redacted]  
**Sent:** Monday, February 29, 2016 9:20 AM  
**To:** Goldman, Michael B  
**Subject:** Book Manuscript on China, for Clearance

Dear Michael,

Hello from Kathmandu! This is [redacted] we met shortly before you went back to Washington for your current B6 post. I don't know if you recall, but I mentioned before you left that I was in the middle of the review process for a book on China. The book has finished reviews and final edits and is ready to be cleared. Would one of your staff be able clear this, or should I contact another office? The manuscript is relatively short (65,000 words) and was written without access to classified materials, so I am not expecting any particular problems.

The manuscript is attached, please let me know if there's any more information I can send you.

Best Regards,

[redacted]

[redacted]

Vice Consul

[redacted]@state.gov

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Tuesday, March 01, 2016 12:06 PM  
**To:** INR Special Assistants; EAP-Press  
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review: Xi Jinping: The Man, the Myth, the Party?

RELEASE IN PART B6
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EAP and INR Colleagues:

For your review is a 7-page article entitled *Xi Jinping: The Man, the Myth, the Party?*, written in private capacity by [REDACTED]. We would appreciate hearing from you by **COB Thursday, March 3**. Please see Ms. [REDACTED] responses to B6 the standard questions below for additional details.

**QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED**

- What is the author's first and last name, current job title and office symbol?
  - [REDACTED] Foreign Affairs Research Analyst, INR/EAP
- Is the author writing in his/her private capacity or official capacity?
  - Personal capacity—I am a visiting fellow at a think tank
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
  - No. As part of my fellowship, the think tank is providing housing while I am in Berlin, but I am not being paid for this article.
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
  - Yes, I plan to have a statement in the article that says, "This article reflects the personal views of the author and not necessarily the views of the U.S. Government or the Department of State." Please see attached.
- Who else has cleared (your supervisor, others within the Department of State)?
  - No one at State has yet cleared.
- Where does the author intend to submit the article/manuscript for publication?
  - This article will be published in *Internationale Politik*, a German-language foreign policy magazine.
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
  - Yes, in INR my secondary (backup) portfolio covers China leadership issues.
- Two further notes for you:
  - This article will be translated into German for final publication. The translation will be done by my colleagues here at the think tank rather than by the magazine to ensure faithful translation.
  - There will be no sources/citations included in the final publication, but I am including them in the attached to aid you and others in the review process.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);

3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Wednesday, March 02, 2016 6:50 AM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review - Partners and Pitfalls: Legal Considerations in Fighting Alongside State and Non-State Actors

RELEASE IN PART  
B6

B6

Mr.

The Department has completed the review of your article entitled "Partners and Pitfalls: Legal Considerations in Fighting Alongside State and Non-State Actors", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

RELEASE IN PART  
B6

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Friday, March 04, 2016 2:05 PM  
**To:** [REDACTED] MERICS'  
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review Status: Xi Jinping: The Man, the Myth, the Party?

B6

Dear [REDACTED]

The Department has completed the review of your article entitled *Xi Jinping: The Man, the Myth, the Party?*, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are yours alone and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Friday, March 04, 2016 3:42 PM  
**To:** Narendra, Blake M; NEA-Press; Paul, Joshua M; McKeeby, David I  
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review: When Did the Armed Conflict with Al-Qaeda Start?

RELEASE IN PART  
B6

NEA, AVC and PM Colleagues,

For your review is a 3-page article entitled When Did the Armed Conflict with Al-Qaeda Start?, written in private capacity by [REDACTED]. We would appreciate hearing from you by **COB Monday, March 8**. Please see Mr [REDACTED] B6 responses to the standard questions below for additional details.

**QUESTIONS FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED**

- What is the author's first and last name, current job title and office symbol? [REDACTED] Senior Adviser to the Special Envoy for Guantanamo Closure, S/GC
- Is the author writing in his/her private capacity or official capacity? Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read? Yes; The opinions and views expressed are those of the author alone. They do not represent the views of the U.S. Department of State or the U.S. Government.
- Who else has cleared (your supervisor, others within the Department of State)? My supervisor, Deputy Special Envoy Charles Trumbull
- Where does the author intend to submit the article/manuscript for publication? Just Security (<https://www.justsecurity.org/>)
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year? No.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews



RELEASE IN PART  
B6

**Hartmann, Lorraine (Lori)**

**From:** [redacted] <[redacted]>  
**Sent:** Friday, March 04, 2016 8:28 PM  
**To:** PA Reviews Mailbox  
**Subject:** Re: Review Status: Coercion, Survival, and War: Why Weak States Resist the United States By Phil Haun

B6

Thanks. I'll take a look at the comments.

On Fri, Mar 4, 2016 at 3:12 PM, PA Reviews Mailbox <[pareviews@state.gov](mailto:pareviews@state.gov)> wrote:

Dear [redacted]

The Department has completed reviewing your book review of Phil Haun's *Coercion, Survival, and War: Why Weak States Resist the United States*, pursuant to 3 FAM 4170 and determined that you may proceed. However, there are some factual errors in the section on Yugoslavia-Bosnia-Kosovo that the desk has corrected using tracked changes in the attached for consideration. It was also recommended that you check the terminology for Serbia vice SFRY vice FRY throughout, in case this carries over into the manuscript.

As you know, the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are yours alone and not necessarily those of the U.S. Government, needs to be included.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:**    
**Sent:** Sunday, March 06, 2016 3:25 PM  
**To:** PA Reviews Mailbox  
**Subject:** Review: "The Children of the Islamic State"

B6

RELEASE IN PART  
B6

**Follow Up Flag:** Follow up  
**Flag Status:** Completed

Hello,

I have another publication for review. I am submitting the attached article, "The Children of the Islamic State," for approval. It will be sent to an academic magazine about terrorism, such as *Homeland Security Today*.

Please let me know if you have any questions.

Sincerely,

What is the author's first and last name, current job title and office symbol?

Fellow/Foreign Affairs Officer, EUR/CARC

Is the author writing in his/her private capacity or official capacity?

--In private capacity.

Will the author receive remuneration outside of his/her Department of State salary for the article?

--No.

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

--Yes. It reads: "The ideas expressed are solely the author's opinions and do not represent the United States Department of State or any other agency."

Who else has cleared (your supervisor, others within the Department of State)?

--No one else has read it.

Where does the author intend to submit the article/manuscript for publication?

--*Homeland Security Today* (or similar academic publication if that one declines to publish it.)

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

--No.

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Monday, March 07, 2016 10:40 AM  
**To:** EUR-Press  
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review: The Radio Propaganda War Against East Germany

RELEASE IN PART B6
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EUR Colleagues,

For your review, attached is a 2-page book review of Nicholas Schlosser's "Cold War on the Airwaves: The Radio Propaganda War Against East Germany" written in a private capacity by [REDACTED]. We would appreciate hearing from you by **COB today**. Please see the author's responses to the standard questions below for additional details.

B6

**QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED**

What is the author's first and last name, current job title and office symbol?

☐ [REDACTED] Historian. PA/HO

Is the author writing in his/her private capacity or official capacity?

☐ Private

Will the author receive remuneration outside of his/her Department of State salary for the article?

☐ No

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

☐ PA Reviews will provide the author with the standard disclaimer language.

Who else has cleared (your supervisor, others within the Department of State)?

☐ PA/HO Mandy Chalou

Where does the author intend to submit the article/manuscript for publication?

☐ It is going to be published in the Marine Corps University's journal "Marine Corps History".

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

☐ No.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** [redacted] <[redacted]>  
**Sent:** Tuesday, March 08, 2016 3:23 PM  
**To:** PA Reviews Mailbox  
**Subject:** Re: Publication Review - Children of the Islamic State

B6

RELEASE IN PART  
B6

Thanks!

On Mar 8, 2016, at 6:48 AM, PA Reviews Mailbox <[pareviews@state.gov](mailto:pareviews@state.gov)> wrote:

Mr. [redacted]

The Department has completed the review of your article entitled "Children of the Islamic State", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** [redacted] (S/GC) <[redacted]@state.gov>  
**Sent:** Tuesday, March 08, 2016 9:40 AM  
**To:** PA Reviews.Mailbox  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Review Status: When Did the Armed Conflict with Al-Qaeda Start?

B63

RELEASE IN PART  
B6

Thank you very much, PA Reviews.

[redacted]  
Senior Adviser to the Special Envoy  
Guantanamo Closure (S/GC)  
(202) 647-3824 (desk)  
[redacted] (mobile)

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**From:** PA Reviews Mailbox [<mailto:pareviews@state.gov>]  
**Sent:** Tuesday, March 08, 2016 9:39 AM  
**To:** [redacted] (S/GC)  
**Cc:** PA Reviews Mailbox  
**Subject:** Review Status: When Did the Armed Conflict with Al-Qaeda Start?

Mr. [redacted]

The Department has completed the review of your article entitled "When Did the Armed Conflict with Al-Qaeda Start?", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this article are yours alone and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

**From:**

**Sent:** Tuesday, March 08, 2016 8:08 PM

**To:** PA Reviews Mailbox

**Subject:** Book Review: "India: The Crucial Years"

B6

RELEASE IN PART B6
-----------------------

**Follow Up Flag:** Follow up

**Flag Status:** Completed

Hello,

I am submitting the attached review of "India: The Crucial Years" by T. V. Rajeswar for approval. It was submitted and accepted for publication before I started at the US Department of State. Today I received the copyright release. I'm sending it for approval so I can update my affiliation with the State Department.

Please let me know if you have any questions.

Sincerely,

What is the author's first and last name, current job title and office symbol?

Fellow/Foreign Affairs Officer, EUR/CARC

Is the author writing in his/her private capacity or official capacity?

--In private capacity.

Will the author receive remuneration outside of his/her Department of State salary for the article?

--No.

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

--Yes. It reads: "The ideas expressed are solely the author's opinions and do not represent the United States Department of State or any other agency."

Who else has cleared (your supervisor, others within the Department of State)?

--No. It was submitted and accepted for publication before I started with the Department of State.

Where does the author intend to submit the article/manuscript for publication?

--*Journal of Intelligence History*

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

--No.

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Tuesday, March 08, 2016 2:40 AM  
**To:** EUR-Press; ENR-PD-Clearances  
**Cc:** PA Reviews Mailbox  
**Subject:** EUR and ENR Publication Review - Europe and Nord Stream 2 – Just Say No

RELEASE IN PART B6
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Dear EUR and ENR Colleagues:

For your review, attached is a 1.5-page article entitled "Europe and Nord Stream 2 – Just Say No" written in a private capacity by [ ] a State Department employee who is an Advisor and Detailee to SAIS. B6

As the author has a tight deadline, we would appreciate hearing from you by **COB Today, March 8**. Please see Ms [ ] responses to the standard questions below for additional details.

### QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED

What is the author's first and last name, current job title and office symbol?

☐ [ ] Advisor and Detailee to SAIS, HR/CDA

Is the author writing in his/her private capacity or official capacity?

☐ Private

Will the author receive remuneration outside of his/her Department of State salary for the article?

☐ No

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

☐ Yes - she is writing this in her personal capacity as a senior fellow at SAIS. It reads: "The ideas expressed are solely the author's opinions and do not represent the United States Department of State or the US Government."

Who else has cleared (your supervisor, others within the Department of State)?

☐ No one

Where does the author intend to submit the article/manuscript for publication?

☐ Undetermined

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

☐ She worked in EUR in the late 1990's, so it doesn't relate to her duties at State over the last year, but may well relate to her detail at SAIS..

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:



1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Tuesday, March 08, 2016 2:36 PM  
**To:** EUR-Press  
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review: Russian Moves Prompt Deepening of Swedish-NATO Ties

RELEASE IN PART  
B6

EUR Colleagues,

For your review, is a 4-page article entitled "Russian Moves Prompt Deepening of Swedish-NATO Ties", written in a private capacity by [ ] State Department Fellow at the US Institute of Peace. We would appreciate hearing from you by **Thursday, March 10**. Please see Mr. [ ] responses to the standard questions below for additional details.

B6

**QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED**

What is the author's first and last name, current job title and office symbol?

☐ Co-author [ ] State Dept Fellow at USIP, HR/CDA/SL

Is the author writing in his/her private capacity or official capacity?

☐ Private

Will the author receive remuneration outside of his/her Department of State salary for the article?

☐ No

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

☐ Yes. The standard disclaimer.

Who else has cleared (your supervisor, others within the Department of State)?

☐ N/A

Where does the author intend to submit the article/manuscript for publication?

☐ US Institute of Peace Blog.

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

☐ No.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** [redacted]@state.gov>  
**Sent:** Wednesday, March 09, 2016 11:06 AM  
**To:** PA Reviews Mailbox  
**Subject:** Brief Article For PA Review

B6

RELEASE IN PART  
B6

Hello, after experiencing some problems with the PA Clearance Database (I was constantly redirected to the Google homepage), I called 7-7777 and was told to send the attached directly to the PA Review Mailbox.

The attached is a review of the international arbitral award in the dispute between the Netherlands and Russia concerning the Arctic Sunrise. It has been reviewed by the attorney with the relevant portfolio in L/OES, Oliver Lewis.

I hope to publish it in the next week.

Best,

[redacted]

[redacted]

Attorney-Adviser  
International Claims and Investment Disputes  
U.S. Dept. of State  
202-776-8344

This email is UNCLASSIFIED.

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Wednesday, March 09, 2016 10:04 AM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Cold War on the Airwaves: The Radio Propaganda War Against East Germany

RELEASE IN PART  
B6

B6

The Department has completed reviewing your book review of Nicholas Schlosser's *Cold War on the Airwaves: The Radio Propaganda War Against East Germany*, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed are yours alone and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

**From:**  MERICS  B>  
**Sent:** Thursday, March 10, 2016 5:40 AM  
**To:** PA Reviews Mailbox  
**Subject:** RE: Questions related to PA Clearances

B6

<b>RELEASE IN PART</b> <b>B6</b>
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**Follow Up Flag:** Follow up  
**Flag Status:** Flagged

Good morning!

As we discussed last week, I'm submitting TPs for a proposed upcoming talk at the local chapter of the Young China Watchers. This is a very informal event. You may recognize many of the attached talking points from my previously-submitted article!

Let me know if you need anything else at the moment.

Best,

**SPEAKING ENGAGEMENT REQUEST FORM**

**Speaker's name, title and office symbol:** , Foreign Affairs Research Analyst, INR/EAP

**Date of event:** April 19 or 20, TBD

**Venue Name, City and State:** TBD, but possibly at MERICS or nearby restaurant in a private room.

**Group/Organization Sponsoring the Event:** Young China Watchers  
 Berlin, <http://redirect.state.sbu/?url=http://www.youngchinawatchers.com/category/locations/berlin/>

**Subject of Discussion:** Western misperceptions of Xi Jinping

**Format (remarks with or without Q&A, panel discussion, keynote address, speech):** Informal remarks (10-15 minutes) followed by Q&A

**Audience (number of expected attendees and demographics):** 15-20 attendees, most under 40, likely a mix of academics, think tankers, government, and other local China-watchers

**Media (open press, closed press):** No media.

**Attribution (on-the-record, off-the-record, on background):** I'll state that Chatham House Rules apply.

**Clearances [supervisor, regional bureau (as appropriate), others]:** None yet.

**Is the speaker being compensated outside of his/her DOS salary to speak at this event?:** No

Is the speaker presenting in an official or personal capacity at this event?: Yes

**Recommendation (why this furthers U.S. interests):** Discussion and exchange with the broader China-watching community deepens INR connections and insights.

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**From:** PA Reviews Mailbox [<http://redirect.state.sbu/?url=mailto:pareviews@state.gov>]

**Sent:** Friday, March 4, 2016 5:35 PM

**To:** [REDACTED] MERICS [REDACTED]

**Cc:** PA Reviews Mailbox <[pareviews@state.gov](mailto:pareviews@state.gov)>

**Subject:** RE: Questions related to PA Clearances

B6

You're welcome, [REDACTED]

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**From:** [REDACTED] MERICS [[http://redirect.state.sbu/?url=mailto:\[REDACTED\]](http://redirect.state.sbu/?url=mailto:[REDACTED])]

**Sent:** Friday, March 04, 2016 11:33 AM

**To:** PA Reviews Mailbox

**Subject:** RE: Questions related to PA Clearances

Thank you for such a quick response! I'll submit my TP's next week.

Have a great weekend!

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**From:** PA Reviews Mailbox

[<http://redirect.state.sbu/?url=http://redirect.state.sbu/?url=http://redirect.state.sbu/?url=mailto:pareviews@state.gov>]

**Sent:** Friday, March 4, 2016 5:31 PM

**To:** [REDACTED] MERICS [REDACTED]

**Cc:** PA Reviews Mailbox <[pareviews@state.gov](mailto:pareviews@state.gov)>

**Subject:** RE: Questions related to PA Clearances

Hi [REDACTED]

No worries and thank you for checking with PA! Yes, you may repost the article on your personal blog so as long as you include the standard disclaimer and make no substantive edits. Since "Xi Jinping: The Man, the Myth, The Party?" is still under review, we recommend that you provide a copy your talking points and answers to the questionnaire below and we will submit the information over to the appropriate bureau/offices for review. Please also note that any form of public engagement (interview, speaking and teaching) that is on a matter of Departmental concern should be submitted to PA for review and or clearance.

Thank you,  
PA Reviews

**SPEAKING ENGAGEMENT REQUEST FORM**

**Speaker's name, title and office symbol:**

**Date of event:**

**Venue Name, City and State:**

**Group/Organization Sponsoring the Event:**

**Subject of Discussion:**

**Format (remarks with or without Q&A, panel discussion, keynote address, speech):**

**Audience (number of expected attendees and demographics):**

**Media (open press, closed press):**

**Attribution (on-the-record, off-the-record, on background):**

**Clearances [supervisor, regional bureau (as appropriate), others]:**

**Is the speaker being compensated outside of his/her DOS salary to speak at this event?:**

**Is the speaker presenting in an official or personal capacity at this event?:**

**Recommendation (why this furthers U.S. interests):**

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**From:** [redacted] MERICS

B6

[[http://redirect.state.sbu/?url=http://redirect.state.sbu/?url=http://redirect.state.sbu/?url=mailto:\[redacted\]](http://redirect.state.sbu/?url=http://redirect.state.sbu/?url=http://redirect.state.sbu/?url=mailto:[redacted])]

**Sent:** Friday, March 04, 2016 10:32 AM

**To:** PA Reviews Mailbox

**Subject:** Questions related to PA Clearances

Good morning!

Apologies for yet another email, but I had a few questions for you related to PA reviews:

- 1) For material that has been cleared and published, may I repost that material on a personal blog, as long as it contains the same caveat about it being my personal opinion, and links back to the original publication site? I am only looking to gather my personal publications in a single location, and don't seek to add any additional information to the published articles. I am unsure if I can repost that material without re-clearing it with you, given that it is technically a new venue.
- 2) I have been asked to give an informal 10-15 summary of the paper that is currently with you for review ("Xi Jinping: The Man, the Myth, The Party?") at a local meeting of the Young China Watchers here in Berlin. Do you want me to resubmit this paper as a series of talking points? I am happy to do so, I just don't want to inundate you if clearance on the paper would also extend to clearance on talking points drawn directly from the paper. Also, I am assuming it's acceptable to answer questions about my presentation/paper after presenting it in such a venue?

Thank you very much!

[redacted]



**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Friday, March 11, 2016 3:19 PM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Review Status: Arctic Sunrise Dispute Between Netherlands/Russia

RELEASE IN PART  
B6

B6

The Department has completed the review of your article pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Monday, March 14, 2016 10:14 AM  
**To:** [REDACTED]  
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review - Personal Essay

RELEASE IN PART  
B6

B6

Dear [REDACTED]

The Department has completed the review of your personal essay, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Monday, March 14, 2016 7:54 AM  
**To:** [REDACTED] MERICS'  
**Cc:** PA Reviews Mailbox  
**Subject:** Personal Capacity Speaking Request - Young China Watchers

RELEASE IN PART  
B6

B6

Dear [REDACTED]

The Department has completed the review of your personal capacity speaking request to your local chapter of the Young China Watchers, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Monday, March 14, 2016 6:35 AM  
**To:**   
**Cc:** Greenberg, Brenda L; PA Reviews Mailbox  
**Subject:** Publication Review - Europe and Nord Stream 2 - Just Say No

RELEASE IN PART  
B6

B6

Dear Ms.

The Department has completed the review of your article entitled "Europe and Nord Stream 2 – Just Say No", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Monday, March 14, 2016 8:03 AM  
**To:**    
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review - Russian Moves Prompt Deepening of Swedish-NATO Ties

RELEASE IN PART  
B6

B6

Dear Mr.

The Department has completed the review of your article entitled "Russian Moves Prompt Deepening of Swedish-NATO Ties", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** Bemish, Renee C <BemishR@state.gov>  
**Sent:** Tuesday, March 15, 2016 3:19 PM  
**To:** PA Clearances Mailbox  
**Cc:** Shavshishvili, Eka  
**Subject:** Clearance for magazine article (American Translators Assoc)

RELEASE IN PART  
B6

Dear PA –

Requesting your clearance of the attached.

This magazine article was written by [ ] Chief of the Translating Division, Office of Language Services (A/OPR/LS). B6

**Media Outlet:** The *ATA Chronicle*, the monthly journal of the American Translators Association (ATA), the leading professional organization in the US translating industry

**Type:** Print media

**When:** To be published in Spring 2016 (pending Department clearance)

**Article Subject:** The article describes the challenges that language service providers—namely A/OPR/LS—face in staffing projects that include languages of limited diffusion.

**Clearances:** This article has been cleared by A/OPR Acting DAS Harry Mahar and the A Bureau Front Office.

**Background:** The Lingala translation was for S/WCI, the Coordinator for War Crimes issues, back in March 2010. The client (Todd Anderson) has moved on, and his old office is now the Office of Global Criminal Justice. The Divehi translation was requested by S/CT (Steven Carney). The office is now the Bureau of CT, back in March 2011. Mr. Carney has moved on and is not in the GAL.

Please let me know if you have any questions. We would appreciate clearance by Friday, March 18.

Thank you,  
Renee  
A Front Office

This email is UNCLASSIFIED.

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Tuesday, March 15, 2016 3:11 PM  
**To:** [REDACTED]  
**Cc:** PA Reviews Mailbox  
**Subject:** Review Status: India: The Crucial Years

RELEASE IN PART  
B6

B6

Mr. [REDACTED]

The Department has completed reviewing your book review of T.V. Rajeswar's *India: The Crucial Years*, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** [redacted] <[redacted]@state.gov>  
**Sent:** Friday, March 18, 2016 9:40 AM  
**To:** PA Reviews Mailbox  
**Cc:** Bemish, Renee C; PA Reviews Mailbox; Drewes, Birgitta D  
**Subject:** RE: Publication Review - Roads Less Taken—Beyond the "UN6"

B6

RELEASE IN PART  
B6

Many thanks to all for moving this through all the levels of clearance. I will be sure to include the disclaimer noted below.

[redacted]  
Office of Language Services

This email is UNCLASSIFIED.

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**From:** PA Reviews Mailbox [<mailto:pareviews@state.gov>]  
**Sent:** Friday, March 18, 2016 7:11 AM  
**To:** [redacted]  
**Cc:** Bemish, Renee C; PA Reviews Mailbox  
**Subject:** Publication Review - Roads Less Taken—Beyond the "UN6"

Mr [redacted]

The Department has completed the review of article entitled "Roads Less Taken—Beyond the "UN6"", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews



**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Friday, March 18, 2016 6:58 AM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review - Hacking Immunity

RELEASE IN PART  
B6

B6

The Department has completed the review of your book review entitled "Hacking Immunity: Computer Attacks on U.S. Territory By Foreign Sovereigns", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

**From:** [redacted] <[redacted]@usaid.gov>  
**Sent:** Saturday, March 19, 2016 9:03 AM  
**To:** PA Reviews Mailbox  
**Cc:** [redacted]  
**Subject:** Re: Story for PA clearance

B6

RELEASE IN PART B6
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**Follow Up Flag:** Follow up  
**Flag Status:** Completed

Hello -

[redacted] is contributing his personal career story to a book that I am writing about international affairs. Please find attached his story for your clearance. Please see below for his answers to your questions.

- What is the author's first and last name, current job title and office symbol?  
 [redacted] Ambassador, US Embassy in Guatemala
- Is the author writing in his/her private capacity or official capacity?  
 In his private capacity.
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.  
 No remuneration will be received.
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?  
 Yes. "The views expressed here are the author's own and do not necessarily reflect the positions of the U.S. Government."
- Who else has cleared (your supervisor, others within the Department of State)?  
 No one else has cleared.
- Where does the author intend to submit the article/manuscript for publication?  
 To [redacted] to be included in her book on international affairs, publisher TBD.
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?  
 No.

Please let me know if you need any additional information.

Thank you!

Best,

[redacted]  
 Bureau for Europe and Eurasia

On Thu, Mar 17, 2016 at 8:28 AM, PA Reviews Mailbox <pareviews@state.gov> wrote:

B6

Please have the Ambassador provide the answers to the following questions and then resubmit the request:

- What is the author's first and last name, current job title and office symbol?
- Is the author writing in his/her private capacity or official capacity?
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
- Who else has cleared (your supervisor, others within the Department of State)?
- Where does the author intend to submit the article/manuscript for publication?
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

Please let us know if you have any further questions.

Thank you.

From: [redacted] [mailto:[redacted]@usaid.gov]

B6

Sent: Thursday, March 17, 2016 8:19 AM

To: PA Reviews Mailbox

Cc: [redacted]

Subject: Story for PA clearance

Hello -

[redacted] is contributing his personal career story to a book that I am writing about international affairs. Please find attached his story for your clearance.

Thank you.

Best,

[redacted]

Bureau for Europe and Eurasia

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Monday, March 21, 2016 7:34 AM  
**To:** EAP-P-Office-DL  
**Cc:** PA Reviews Mailbox  
**Subject:** EAP Publication Review - Preface for Book

RELEASE IN PART B6
-----------------------

Dear EAP Colleagues:

For your review, attached is a 4-page preface to a collaborative book written in private capacity by  B6 , a member of the Policy Planning staff. EAP previously cleared his introductory chapter "China's Approach to Governance Reform" for the same book "China's Approach to Governance Reform" last Fall. We would appreciate hearing from you by **COB Thursday March 24**.

Please see Mr.  responses to the standard questions for additional details below.

### QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?  
o
- Is the author writing in his/her private capacity or official capacity?  
o Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.  
o No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?  
o Yes: The author wrote this chapter in his personal capacity. The chapter does not represent the views of the U.S. government..
- Who else has cleared?  
o Not yet cleared, but the Office of Chinese and Mongolian Affairs (CM) in the East Asian & Pacific Bureau (EAP) is reviewing.
- Where does the author intend to submit the article/manuscript for publication?  
o Cambridge University Press
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?  
o Not directly. My S/P portfolio covers international relations in the entire East Asian & Pacific region. This chapter is a theoretical analysis of administrative law reforms in China over the past 15 years, based on a quantitative research project that concluded in 2014, prior to me joining the State Department.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a written, detailed explanation.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Tuesday, March 22, 2016 10:04 AM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Review Status: Preface for Book

RELEASE IN PART  
B6

B6

Mr.

The Department has completed the review of the preface for "*China's Approach to Governance Reform*", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in the book are yours alone and not necessarily those of the U.S. Government.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** [redacted] <[redacted]@state.gov>  
**Sent:** Wednesday, March 23, 2016 6:49 AM  
**To:** PA Reviews Mailbox; [redacted] ([redacted])  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Publication Review - The Great Migration

B6

RELEASE IN  
PART B6

Thank you. I will give my publisher the green light.

Best,

[redacted]

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**From:** PA Reviews Mailbox [<mailto:pareviews@state.gov>]  
**Sent:** Tuesday, March 22, 2016 12:20 PM  
**To:** [redacted]; [redacted] ([redacted])  
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review - The Great Migration

Dear Mr. [redacted]

The Department has completed the review of your book "The Great Migration", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Wednesday, March 23, 2016 6:57 AM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review - Guest Opinion Piece for the Iowa City Press Citizen

RELEASE IN PART  
B6

B6

Dear

The Department has completed the review of your guest opinion piece for the *Iowa City Press Citizen* pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews



RELEASE IN PART B6

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox <pareviews@state.gov>  
**Sent:** Wednesday, March 23, 2016 6:46 AM  
**To:** PA Reviews Mailbox  
**Subject:** (\* Opinion Piece \*)



## Clearance Request

**Request Date:** 3/23/2016

**Request Title:** (\* Opinion Piece \*)

**Contact Name:** [REDACTED]

You have been selected by the PA Reviews Mailbox to provide input to whether this Request is **CLEARED OR DENIED**.

[View Request](#)

B6

**Hartmann, Lorraine (Lori)**

**From:** [REDACTED] MERICS [REDACTED]  
**Sent:** Thursday, March 24, 2016 12:18 PM  
**To:** PA Reviews Mailbox  
**Subject:** Personal-capacity article for review

RELEASE IN PART  
B6

B6

Good morning, PA Reviews!

I am requesting another review for a "personal capacity" article. I've attached the article and filled in answers to your questions below. Please let me know if you need anything else.

All best,

[REDACTED]

**QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED**

- What is the author's first and last name, current job title and office symbol?
  - [REDACTED] Foreign Affairs Research Analyst, INR/EAP
- Is the author writing in his/her private capacity or official capacity?
  - Personal capacity – I am a visiting fellow at a think tank
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
  - No. As part of my fellowship, the think tank is providing housing while I am in Berlin, but I am not being paid for this article.
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
  - Yes, I plan to have a statement in the article that says, "This article reflects the personal views of the author and not necessarily the views of the U.S. Government or the Department of State." Please see attached.
- Who else has cleared (your supervisor, others within the Department of State)?
  - No one at State has yet cleared.
- Where does the author intend to submit the article/manuscript for publication?
  - This will be published on the think tank blog:  
<http://redirect.state.sbu/?url=http://blog.merics.org/en.html>
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
  - Yes, in INR my primary portfolio relates to social issues, and my secondary (backup) portfolio covers China leadership issues.

[REDACTED]  
 Visiting Academic Fellow  
 Mercator Institute for China Studies (MERICS), Berlin  
 MERICS gGmbH | Klosterstrasse 64 | 10179 Berlin  
 Phone: + [REDACTED]  
 Email: f [REDACTED]

**Hartmann, Lorraine (Lori)**

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**From:** [redacted] <[redacted]@usaid.gov>  
**Sent:** Friday, March 25, 2016 11:07 AM  
**To:** PA Reviews Mailbox  
**Subject:** Re: [redacted] Personal Career Story for Book

RELEASE IN PART  
B6

B6

Thank you! Edits accepted and I will make sure to include the disclaimer.

Best,

[redacted]  
Bureau for Europe and Eurasia

On Fri, Mar 25, 2016 at 10:37 AM, PA Reviews Mailbox <pareviews@state.gov> wrote:

[redacted]

B6

The Department has completed the review of [redacted] personal career story, pursuant to 3 FAM 4170 and determined that this information may be included, provided that the Ambassador includes the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed are his alone and not necessarily those of the U.S. Government. The article is attached with edits included for consideration.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:**   >  
**Sent:** Sunday, March 27, 2016 8:08 PM  
**To:** PA Reviews Mailbox  
**Subject:** Review of "The Official History of the Joint Intelligence Committee Volume I"

RELEASE IN PART  
B6

B6

Hello,

I have another publication for review. I am submitting the attached book review of *The Official History of the Joint Intelligence Committee Volume I* for approval. It will be sent to an academic journal of British intelligence history and only addresses British history from 1936 until 1956.

Please let me know if you have any questions.

Sincerely,

What is the author's first and last name, current job title and office symbol?

-- Fellow/Foreign Affairs Officer, EUR/CARC

Is the author writing in his/her private capacity or official capacity?

--In private capacity.

Will the author receive remuneration outside of his/her Department of State salary for the article?

--No.

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

--Yes. It reads: "The ideas expressed are solely the author's opinions and do not represent the United States Department of State or any other agency."

Who else has cleared (your supervisor, others within the Department of State)?

--No one else has read it.

Where does the author intend to submit the article/manuscript for publication?

--*The Journal of Intelligence History* (or similar academic publication if that one declines to publish it.)

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

--No.

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Monday, March 28, 2016 10:39 AM  
**To:** OES-PA-DG  
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review: Typhoons, Monsoons, Blackouts

<b>RELEASE IN PART</b> B6
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OES Colleagues,

For your review is a 3-page article entitled ""Typhoons, Monsoons, Blackouts, and Lightning Strikes - Oh My!"" , written in private capacity by [ ] WAE and retired SEO with DS/C/ST/STO/, currently TDY to Mexico City. We would appreciate hearing from you by **COB Tuesday, March 29**. Please see Ms. [ ] responses to the standard questions below for additional details. B6

**Publication Review Request**

- What is the author's first and last name, current job title and office symbol? [ ] WAE and retired SEO with DS/C/ST/STO/, currently TDY to Mexico City
- Is the author writing in his/her private capacity or official capacity? Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? No
- Does the author intend to use a disclaimer? If so, how will the disclaimer read? "The views expressed in this article are those of the author, and do not necessarily reflect those of the U.S. Department of State or the U.S. Government."
- Who else has cleared (your supervisor, others within the Department of State)?  
 DS/PA POC: V.Crawley  
 DSS [ ] [ok]  
 DS/C [ ] [ok]  
 DS/PA G.Moe [ok]
- Where does the author intend to submit the article/manuscript for publication? LinkedIn.com account
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year? The article mentions the author's 26 years of experience as an SEO. It discusses in general the challenges of ensuring safe, reliable power and water supplies in demanding environments, and includes general recommendations for anyone managing power and water infrastructure in a remote or demanding environment. B6 B6

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Monday, March 28, 2016 1:55 AM  
**To:** [REDACTED] MERICS'  
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review - The role of culture in the five-year plan

RELEASE IN PART  
B6

B6

Dear [REDACTED]

The Department has completed the review of your article entitled "The Role of Culture in the Five-Year Plan", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews



**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Tuesday, March 29, 2016 6:52 AM  
**To:** [REDACTED] (Mexico City)  
**Cc:** Crawley, Vincent M; PA Reviews Mailbox  
**Subject:** Publication Review - Typhoons, Monsoons, and Blackouts

RELEASE IN PART  
B6

B6



The Department has completed the review of your article "Typhoons, Monsoons, and Blackouts", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Thursday, March 31, 2016 7:49 AM  
**To:** [REDACTED]; [REDACTED]  
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review - The Official History of the Joint Intelligence Committee Volume I

RELEASE IN PART  
B6

B6

Dear Mr. [REDACTED]

The Department has completed the review of your book review of "The Official History of the Joint Intelligence Committee Volume I", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Please let us know if you have any questions.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Thursday, March 31, 2016 8:28 AM  
**To:** ISN-SCO-DL; NEA-Press  
**Cc:** PA Reviews Mailbox  
**Subject:** ISN, NEA Publication Review - The Legal Aspects of Weapons of Mass Destruction Elimination Contingencies

RELEASE IN PART  
B6

ISN and NEA Colleagues:

Attached is a 17- page article entitled "The Legal Aspects of Weapons of Mass Destruction Elimination Contingencies" written in private capacity by [redacted] an Attorney-Advisor in L/NPA. We would appreciate hearing from you by **COB Tuesday, April 5**. Please see Mr. [redacted] responses to the standard questions below for additional details. B6

**QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED**

What is the author's first and last name, current job title and office symbol?

- [redacted] Attorney-Advisor, L/NPA

Is the author writing in his/her private capacity or official capacity?

- Private capacity.

Will the author receive remuneration outside of his/her Department of State salary for the article?

- No.

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

- Yes. The ideas expressed are solely the author's opinions and do not represent the United States Department of State or U.S. Government.

Who else has cleared (your supervisor, others within the Department of State)?

- Mallory Stewart (DAS in AVC); Paul Dean (Assistant Legal Adviser for L/NPA and supervisor has reviewed); Emily Pierce/Maegan Conklin (L/UNA); Jennifer Landside/Neha Lugo/David Gravalles (L/OES).

Where does the author intend to submit the article/manuscript for publication?

- James Martin Center for Nonproliferation Studies

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

- Yes.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Wednesday, April 06, 2016 12:38 PM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Review Status: The Legal Aspects of Weapons of Mass Destruction

RELEASE IN PART  
B6

B6

Mr.

The Department has completed the review of your article entitled "The Legal Aspects of Weapons of Mass Destruction Elimination Contingencies", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are yours alone and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Thursday, April 07, 2016 6:37 AM  
**To:** NEA-Press; PRM-Press-DL  
**Cc:** PA Reviews Mailbox  
**Subject:** NEA, PRM Publication Review - From Damascus to Connecticut

RELEASE IN PART  
B6

NEA and PRM Colleagues:

Attached is a 9- page article entitled "From Damascus to Connecticut" written in private capacity by [redacted] B6  
[redacted] a State Department employee currently on LWOP. We would appreciate hearing from you by **COB Monday, April 11**. Please see Ms. [redacted] responses to the standard questions below for additional details.

**QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED**

What is the author's first and last name, current job title and office symbol?

- [redacted] LWOP, HR

Is the author writing in his/her private capacity or official capacity?

- Private capacity.

Will the author receive remuneration outside of his/her Department of State salary for the article?

- Possibly, but I can refuse payment.

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

- If needed, I will provide a disclaimer that the thoughts and opinions expressed are my own and not the US Government's.

Who else has cleared (your supervisor, others within the Department of State)?

- No one.

Where does the author intend to submit the article/manuscript for publication?

- Newspapers, online news sources

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

- No

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** Greenberg, Brenda L <GreenbergBL2@state.gov>  
**Sent:** Wednesday, April 13, 2016 8:55 AM  
**To:** PA Reviews Mailbox  
**Subject:** RE: Brenda - Publication Review for OSU Alumni Publication

RELEASE IN PART  
B6

I clear.

This email is UNCLASSIFIED.

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**From:** PA Reviews Mailbox [<mailto:pareviews@state.gov>]  
**Sent:** Wednesday, April 13, 2016 6:45 AM  
**To:** Greenberg, Brenda L  
**Cc:** PA Reviews Mailbox  
**Subject:** Brenda - Publication Review for OSU Alumni Publication

Brenda:

Attached is a 1- page article for a college alumni publication written in private capacity by [REDACTED], a Program Officer in INL/WHP. We would appreciate hearing from you by **COB Today, April 13**. Please see Ms. [REDACTED] responses to the standard questions below for additional details. B6

**QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED**

What is the author's first and last name, current job title and office symbol?

- [REDACTED] Program Officer, INL/WHP

Is the author writing in his/her private capacity or official capacity?

- Private capacity.

Will the author receive remuneration outside of his/her Department of State salary for the article?

- No.

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

- If needed, I will provide a disclaimer that the thoughts and opinions expressed are my own and not the US Government's.

Who else has cleared (your supervisor, others within the Department of State)?

- INL/PAPD..

Where does the author intend to submit the article/manuscript for publication?

- Ohio State University Alumni magazine, Mortar Board

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

- Ohio State University Alumni magazine, Mortar Board

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews



**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Wednesday, April 13, 2016 3:28 PM  
**To:** PRM-Press-DL; EUR-Press  
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review: Religion in the Immigration Debate

RELEASE IN PART B6
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EUR and PRM Colleagues:

For your review, attached is a 15-page article "From Tunnel Vision to Bridge Building: Religion in the Immigration Debate" written in personal capacity by [redacted] FSO in S/RGA. We would appreciate hearing from you by COB Tomorrow. Specific details are listed here (PRM, your bureau was listed as clearing the article, please advise who reviewed the article for PRM, thx)

B6

What is the author's first and last name, current job title and office symbol?

- [redacted] Foreign Affairs officer, S/RGA

Is the author writing in his/her private capacity or official capacity?

- Private capacity.

Will the author receive remuneration outside of his/her Department of State salary for the article?

- No.

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

- Yes, it will read: The views expressed in this paper are those of the author alone and in no way constitute an endorsement, expressed or implied, by the United States Department of State.

Who else has cleared (your supervisor, others within the Department of State)?

- her supervisor (Rachel Leslie S/RGA) and PRM/PD

Where does the author intend to submit the article/manuscript for publication?

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

- No, the paper does not directly relate to the author's current duties.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

**From:** [redacted] <[redacted]@state.gov>  
**Sent:** Wednesday, April 20, 2016 5:32 PM  
**To:** PA Clearances Mailbox  
**Subject:** Op-Ed

B6

RELEASE IN PART B5, B6
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Attached is an op-ed I'm pitching in my personal capacity to run early/mid-week next week. Should it run, it will carry this disclaimer: **The views expressed in this article are the author's own and do not necessarily reflect the views of his employer.**

NOTE: INR P/DAS Kathleen Fitzpatrick has given conditional approval to seek PA clearance on the piece as is. [redacted] B5

[redacted]  
 [redacted] Request PA offer their view on this point.

[redacted]

What is the author's first and last name, current job title and office symbol?

[redacted] Analyst, INR/OPN.

Is the author writing in his/her private capacity or official capacity?

Private capacity.

Will the author receive remuneration outside of his/her Department of State salary for the article? If so, please obtain L/Ethics clearance directly.

No.

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

Yes: "The views expressed in this article are the author's own and do not necessarily reflect the views of his employer."

Who else has cleared (your supervisor, others within the Department of State)?

INR P/DAS Kathleen Fitzpatrick gave conditional approval to seek PA clearance, per above.

Where does the author intend to submit the article/manuscript for publication?

For submission to Washington Post Op-Ed pages or other similar outlet.

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

No.

[redacted]

Analyst for Africa and the Americas  
 Office of Opinion Research  
 Department of State  
 Tel: 202.736.4292

[redacted]@state.gov

**Hartmann, Lorraine (Lori)**

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**From:** Trudeau, Elizabeth K <trudeauek@state.gov>  
**Sent:** Friday, April 22, 2016 9:50 AM  
**To:** PA Reviews Mailbox  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: PA Reviews: L/ Ethics Publication Review - From Damascus to Connecticut

RELEASE IN PART  
B6

Yes, this has been cleared with edits. Communicated with employee. Thanks for reminder.

---

**From:** PA Reviews Mailbox [<mailto:pareviews@state.gov>]  
**Sent:** Friday, April 22, 2016 9:48 AM  
**To:** Trudeau, Elizabeth K  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: PA Reviews: L/ Ethics Publication Review - From Damascus to Connecticut

Hi Elizabeth,

Do you have any updates regarding this review? Please let us know if we can assist. Thank you.

---

**From:** Trudeau, Elizabeth K [<mailto:trudeauek@state.gov>]  
**Sent:** Tuesday, April 19, 2016 6:37 AM  
**To:** PA Reviews Mailbox  
**Cc:** PA Reviews Mailbox  
**Subject:** Re: PA Reviews: L/ Ethics Publication Review - From Damascus to Connecticut

Thank you.

---

**From:** PA Reviews Mailbox  
**Sent:** Tuesday, April 19, 2016 6:31 AM  
**To:** Trudeau, Elizabeth K  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: PA Reviews: L/ Ethics Publication Review - From Damascus to Connecticut

Elizabeth: Here is the contact information for

B6

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**From:** Trudeau, Elizabeth K [<mailto:trudeauek@state.gov>]  
**Sent:** Monday, April 18, 2016 5:49 PM  
**To:** PA Reviews Mailbox  
**Subject:** PA Reviews: L/ Ethics Publication Review - From Damascus to Connecticut

PA Reviews, how do I get in touch with this employee? L/ Ethics.has Q.

For your review, attached is a 9-page article "From Damascus to Connecticut" written in personal capacity by Lachlyn Soper, a State Department employee currently on LWOP. Specific details are listed here

What is the author's first and last name, current job title and office symbol?

- LWOP, HR

B6

Is the author writing in his/her private capacity or official capacity?

- Private capacity.

Will the author receive remuneration outside of his/her Department of State salary for the article?

- Possibly, but I can refuse payment.

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

- If needed, I will provide a disclaimer that the thoughts and opinions expressed are my own and not the US Government's.

Who else has cleared (your supervisor, others within the Department of State)?

- No one.

Where does the author intend to submit the article/manuscript for publication?

- New York Times, Boston Globe, Huffington Post

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

-

**Hartmann, Lorraine (Lori)**

---

**From:** PA Reviews Mailbox  
**Sent:** Friday, April 22, 2016 2:47 PM  
**To:** Cavey, Michael J; EUR-Press  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Gentle Reminder: EUR Publication Review - Book Review of Linda Risso's Propaganda and Intelligence in the Cold War: The NATO Information Service

RELEASE IN PART  
B6

Thank you!

---

**From:** Cavey, Michael J [<mailto:CaveyMJ@state.gov>]  
**Sent:** Friday, April 22, 2016 2:37 PM  
**To:** PA Reviews Mailbox; EUR-Press  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Gentle Reminder: EUR Publication Review - Book Review of Linda Risso's Propaganda and Intelligence in the Cold War: The NATO Information Service

PA Colleagues,

EUR clears under 3 FAM 4170.

EUR/RPM: DAlejandro

Best,

Michael  
EUR/Press

This email is UNCLASSIFIED.

---

**From:** PA Reviews Mailbox [<mailto:pareviews@state.gov>]  
**Sent:** Friday, April 22, 2016 11:57 AM  
**To:** EUR-Press  
**Cc:** PA Reviews Mailbox  
**Subject:** Gentle Reminder: EUR Publication Review - Book Review of Linda Risso's Propaganda and Intelligence in the Cold War: The NATO Information Service

EUR Colleagues – We look forward to receiving your comments by COB today. Thank you.

---

**From:** PA Reviews Mailbox  
**Sent:** Wednesday, April 20, 2016 2:22 AM  
**To:** EUR-Press  
**Cc:** PA Reviews Mailbox  
**Subject:** EUR Publication Review - Book Review of Linda Risso's Propaganda and Intelligence in the Cold War: The NATO Information Service

EUR Colleagues:

Attached is a 4-page book review of Linda Risso's *Propaganda and Intelligence in the Cold War: The NATO Information Service*, written in private capacity by [REDACTED], a Franklin Fellow in EUR/CARC. We would appreciate hearing from you by COB Friday, April 22. Please see Mr. [REDACTED] responses to the standard questions below for additional details. B6

QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED

What is the author's first and last name, current job title and office symbol?

- [REDACTED] Franklin Fellow; EUR/CARC

Is the author writing in his/her private capacity or official capacity?

- Private capacity.

Will the author receive remuneration outside of his/her Department of State salary for the article?

- No.

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

- Yes. The ideas expressed are solely the author's opinions and do not represent the United States Department of State or any other agency.

Who else has cleared (your supervisor, others within the Department of State)?

- No one else.

Where does the author intend to submit the article/manuscript for publication?

- The academic journal "History" (or similar academic journal if that one declines to publish it.)

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

- No. It is my own interest, which is unrelated to my work.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews

RELEASE IN PART  
B6

**Hartmann, Lorraine (Lori)**

---

**From:** PA Reviews Mailbox  
**Sent:** Wednesday, April 27, 2016 12:12 PM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Rethinking the War Novel for the War on Terror

B6

The Department has completed the review of your article entitled "Rethinking the War Novel for the War on Terror", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are yours alone and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Friday, April 29, 2016 3:50 PM  
**To:** [REDACTED] MERICS'  
**Cc:** [REDACTED] PA Reviews Mailbox  
**Subject:** RE: Request for clearance on (yet another Xi Jinping) article

RELEASE IN PART  
B6

B6

Dear [REDACTED]

The Department has completed the review of this article, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are yours alone and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

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**From:** [REDACTED] MERICS [mailto:[REDACTED]]  
**Sent:** Monday, April 25, 2016 5:28 AM  
**To:** PA Reviews Mailbox  
**Cc:** [REDACTED]  
**Subject:** Request for clearance on (yet another Xi Jinping) article

Good morning PA Reviews,

I'm writing to request clearance on yet another version of the Xi Jinping paper you've seen several times now (attached). This one is for publication in June as part of a series of conference papers. The majority of the footnotes will be cut in publication, but I'm leaving them in in case anyone from State finds them useful for clearance purposes.

I'm including the standard list of questions and answers below (I tried to use the PA clearances website again, but it seems I still don't have the option to send directly to PA). Please let me know if you need anything else at this time. I hope that this is the last time you have to review a draft of the same paper!

All best,

[REDACTED]

**QUESTIONS TO FOR AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED**

- What is the author's first and last name, current job title and office symbol?
  - [REDACTED] Foreign Affairs Research Analyst, INR/EAP
- Is the author writing in his/her private capacity or official capacity?
  - Personal capacity – I am a visiting fellow at a think tank
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
  - No. As part of my fellowship, the think tank is providing housing while I am in Berlin, but I am not being paid for this article.



- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
  - Yes, I plan to have a statement in the article that says, "This article reflects the personal views of the author and not necessarily the views of the U.S. Government or the Department of State." Please see attached.
- Who else has cleared (your supervisor, others within the Department of State)?
  - No one in either EAP/CM or INR/EAP has yet cleared.
- Where does the author intend to submit the article/manuscript for publication?
  - It will be published as part of a compilation of papers submitted for a MERICS-hosted conference this June, called China's Core Executive: Leadership Styles, Structures and Processes under Xi Jinping. MERICS will be the publisher; the paper will go out both in electronic form on its website and in physical copy under the MERICS banner.
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
  - Yes, in INR my secondary (backup) portfolio covers China leadership issues.

**Hartmann, Lorraine (Lori)**

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**From:** [redacted] <[redacted]@state.gov>  
**Sent:** Friday, April 29, 2016 3:39 PM  
**To:** PA Reviews.Mailbox  
**Subject:** Personal Capacity Communication for Review

B6

RELEASE IN PART  
B6

Dear Public Affairs Office,

The attached file, a manuscript titled "From 'Wall of Suspicion' to 'Atmosphere of Cooperation': The Institutionalization of Department of State Drug Diplomacy toward Mexico, 1969-1989" is a personal-capacity research piece that, pending your approval, I intend to submit for peer-reviewed publication to academic outlets such as the *Journal of Policy History*.

The piece is historical in nature and based on declassified and public sources, therefore it is unlikely to be considered of departmental concern. Still I wanted to be certain it is considered by your office to be appropriately so.

Thank you,

[redacted]  
Passport Specialist  
The National Passport Center, Portsmouth, NH  
U.S. Department of State  
Bureau of Consular Affairs, Office of Passport Services  
(603) 334-5574



This email is UNCLASSIFIED.

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Tuesday, May 03, 2016 3:59 PM  
**To:** Foushee, Christine T  
**Cc:** PA Reviews Mailbox  
**Subject:** OBO Publication Review: POE For Organizations With A Repetitive Building Type

<b>RELEASE IN PART</b> <b>B6</b>
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OBO Colleagues,

Attached is a 16-page chapter entitled "POE For Organizations With A Repetitive Building Type", written in private capacity by [REDACTED]. We would appreciate hearing from you by **COB Friday, May 6**. Please see the author's B6 responses to the standard questions below for additional details.

**QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED**

- What is the author's first and last name, current job title and office symbol?  
[REDACTED] Post Occupancy Evaluation Program Manager, OBO/OPS/AM
- Is the author writing in his/her private capacity or official capacity?  
The author is writing in his private capacity.
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.  
The author will not be receiving any remuneration for writing this material outside his/her Department of State salary.
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?  
The author is using a disclaimer of sorts – it does not reference the Department of State:  
*"...The POE methods in this chapter were developed for a specific organization. ...However, the organization's identity is kept anonymous. This should make the focus shift from the organization to the methods; and be more easily imagined to fit other organizations...."*
- Who else has cleared (your supervisor, others within the Department of State)?  
My supervisor has cleared. I have sent the material to OBO/External Affairs for further clearance. Please let me know if you need their clearance before you look at my material.
- Where does the author intend to submit the article/manuscript for publication?  
This material will be one chapter in a text book on building evaluations. The principal editor organizing this book is Wolfgang Preiser. Dr. Preiser has published similar books in the past. I'm not sure who is publishing his book.
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?  
Yes. The material describes the methods we have used to conduct building evaluations. While the manner of the methods are specific to our office, they follow generally accepted methods in the building industry.
- What is the best e-mail and phone number to reach you?  
[REDACTED]@state.gov; [REDACTED]  
O - (703) 875-6368; M - [REDACTED]

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews

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**From:** [redacted] [mailto:[redacted]@state.gov]  
**Sent:** Friday, April 29, 2016 9:45 AM  
**To:** PA Reviews Mailbox  
**Cc:** [redacted] Kinnett, Toby J  
**Subject:** question about reviewing my material

B6

Greetings – I have been invited to contribute to a text book on building evaluations for which I will not be remunerated. Attached is my first draft, including my biosketch.

The material describes methods I follow in the work I do at the Department of State's Bureau of Overseas Buildings Operations (OBO). However, these methods parallel similar research methods in the industry; and I make no mention of my affiliation at the Department in the material nor in my bio sketch.

Legal/Ethics (L/efd) has advised me they need not review my material because I'm not compensated, also attached. The material has been reviewed, and approved by my supervisor; and I am having it reviewed by OBO's front office. Given that the material does not contain any reference to the Department, and that a disclaimer would actually be counterproductive, I was wondering whether your review and approval is still necessary. I look forward to your response.

Best regards,

[redacted]

---

[redacted] OBO/OPS/AM  
*Post-Occupancy Evaluation*  
US Department of State  
Office of Overseas Buildings Operations (OBO)  
☎: Office 703) 875-6368; Fax 703) 812-2390  
✉: [redacted]@state.gov  
✉: [redacted]

This email is UNCLASSIFIED.

**Hartmann, Lorraine (Lori)**

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**From:** [redacted] <[redacted]@state.gov>  
**Sent:** Tuesday, May 03, 2016 11:30 AM  
**To:** PA Reviews Mailbox  
**Subject:** Prospective blog post

B6

RELEASE IN PART  
B5, B6

**Follow Up Flag:** Follow up  
**Flag Status:** Completed

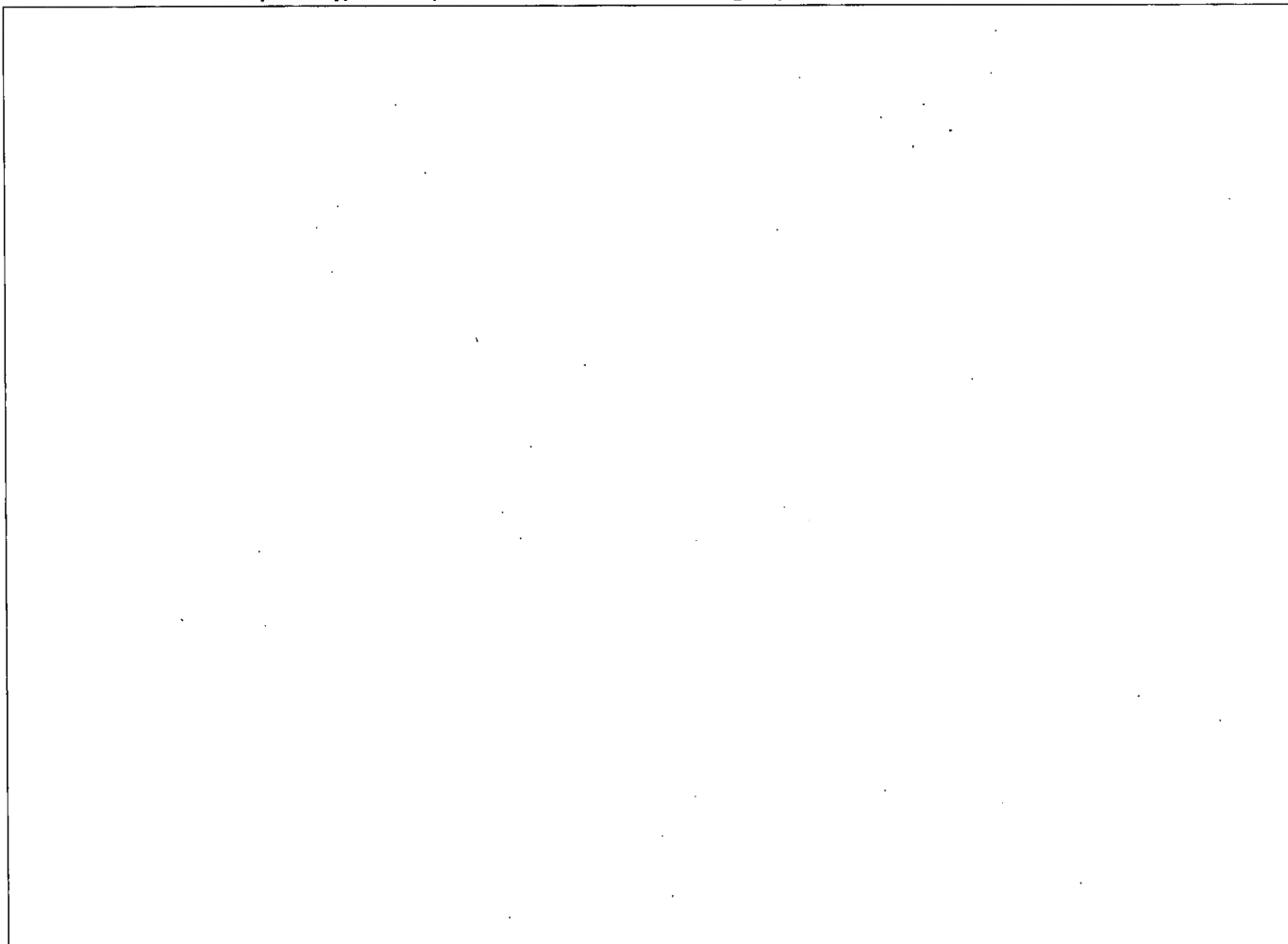
To whom it may concern,

I'm seeking review and approval of the following blog post for publication on justsecurity.org in my personal capacity. As the by-line of the post suggests, I am at the Department as an unpaid intern from Yale Law School. My supervisor in L/UNA, Maegan Conklin, suggests that Brian Finucane in L/PM should provide clearance. I very much appreciate your attention, as I would like to publish the following post as soon as possible.

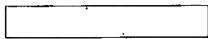
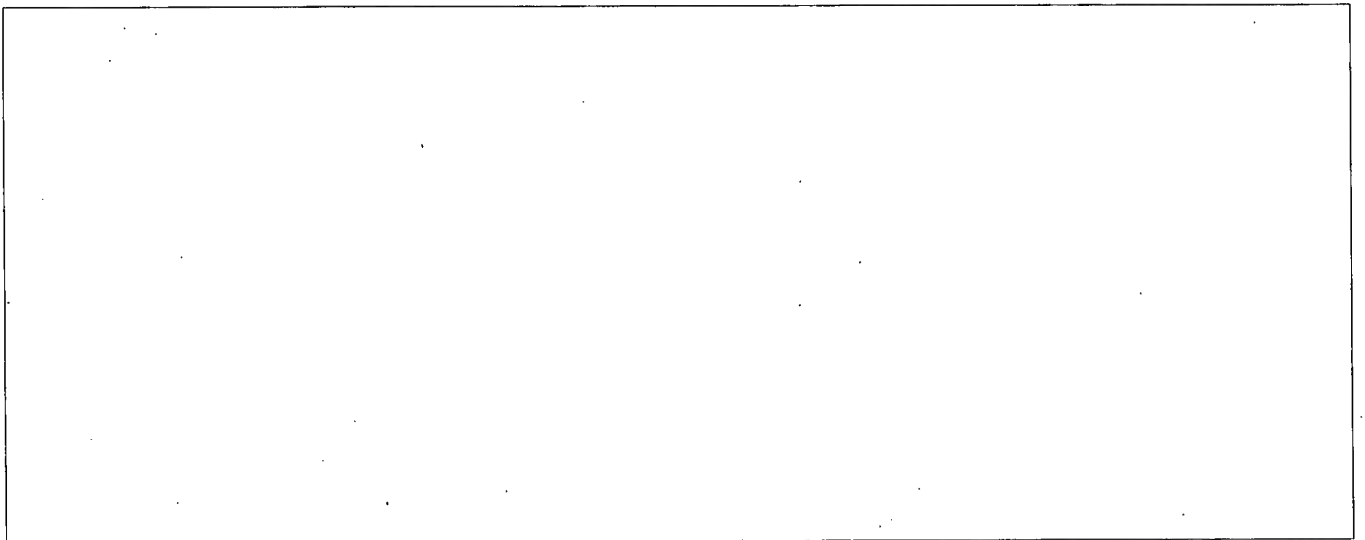
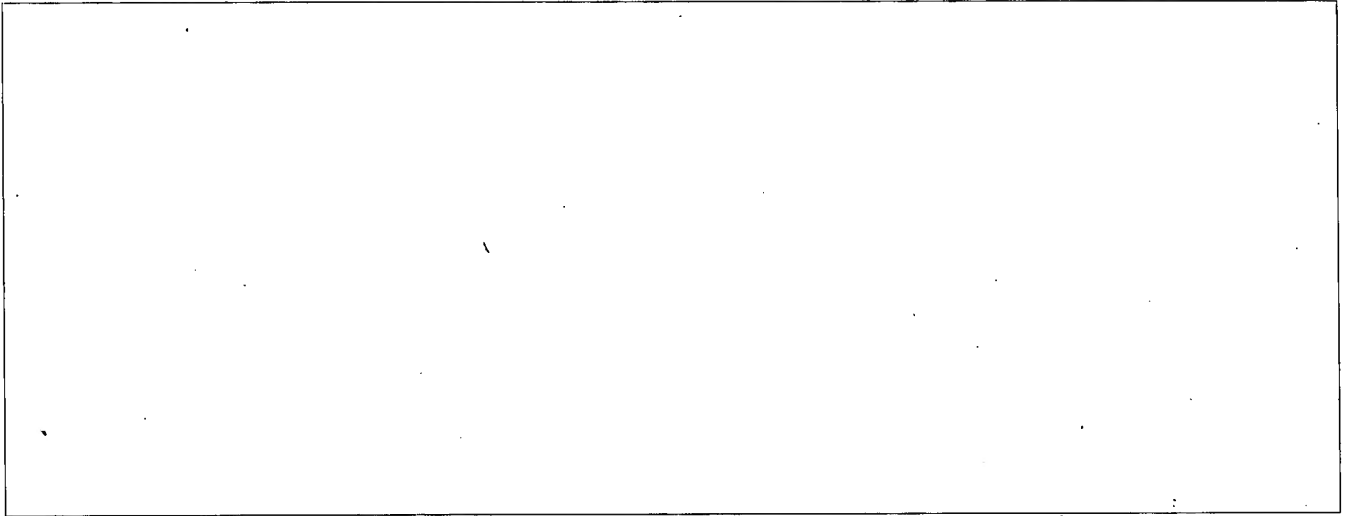
B5

Post here:

**Transparency, Review, and Relief: The Far-Reaching Implications of the Kunduz Report**



B5



L/UNA  
202-647-1227

B6

SBU  
This email is UNCLASSIFIED.

**Hartmann, Lorraine (Lori)**

---

**From:** PA Reviews Mailbox  
**Sent:** Wednesday, May 04, 2016 10:37 AM  
**To:** [REDACTED] PA Clearances Mailbox  
**Subject:** RE: Request to clear article for publishing

RELEASE IN PART  
B6

B6

Good morning [REDACTED]

Given that the article discusses your personal experience with [REDACTED] prior to joining the State Department, it does not require a review from PA. In addition, the disclaimer is only required if your personal capacity communication is on a matter of Departmental concern.

Thank you,

PA Reviews

---

**From:** [REDACTED] [mailto:[REDACTED]@state.gov]  
**Sent:** Tuesday, May 03, 2016 3:42 PM  
**To:** PA Clearances Mailbox  
**Subject:** Request to clear article for publishing

Good Afternoon,

I have written a rough draft of an article I will be submitting for publishing. The article discusses [REDACTED]  
[REDACTED] I do not think that the article is of Department Concern; however, I would like to err on the side of caution. Kindly notify me if this article is permissible for publishing.

Thank you for your time.

[REDACTED]  
Global Engagement Center

This email is UNCLASSIFIED.

**Hartmann, Lorraine (Lori)**

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**From:** [redacted] <[redacted]@state.gov>  
**Sent:** Thursday, May 05, 2016 1:42 PM  
**To:** PA Reviews Mailbox  
**Subject:** RE: Prospective blog post

B6

RELEASE IN PART B5,B6

Dear PA Reviews,

Thanks very much for reviewing and approving the post.

Best wishes,

[redacted]

[redacted]

L/UNA  
202-647-1227

This email is UNCLASSIFIED.

---

**From:** PA Reviews Mailbox [mailto:pareviews@state.gov]  
**Sent:** Thursday, May 05, 2016 1:03 PM  
**To:** [redacted]  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Prospective blog post

[redacted]

The Department has completed the review of this article, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are yours alone and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

---

**From:** [redacted] [mailto:[redacted]@state.gov]  
**Sent:** Tuesday, May 03, 2016 11:30 AM  
**To:** PA Reviews Mailbox  
**Subject:** Prospective blog post

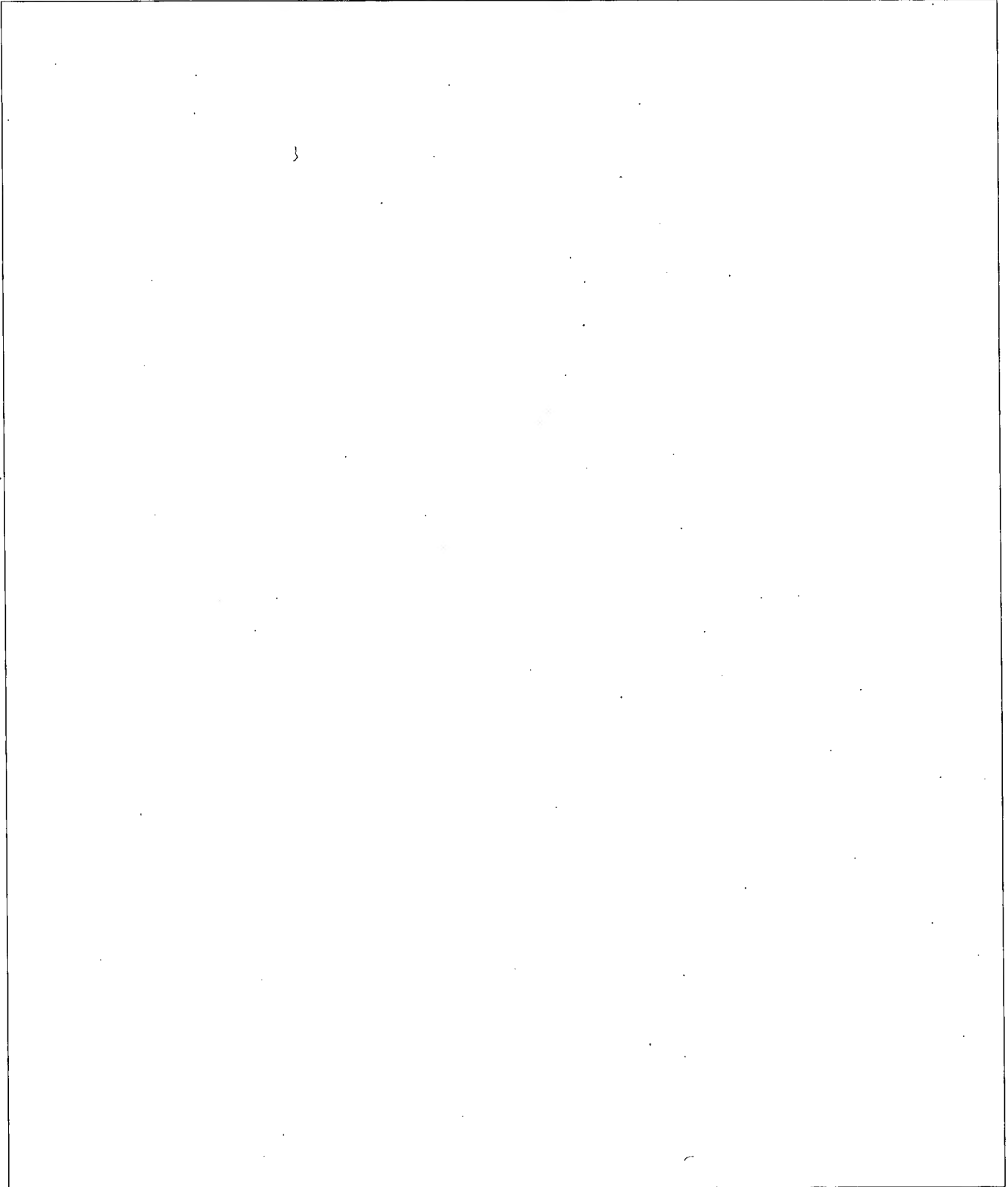
To whom it may concern,

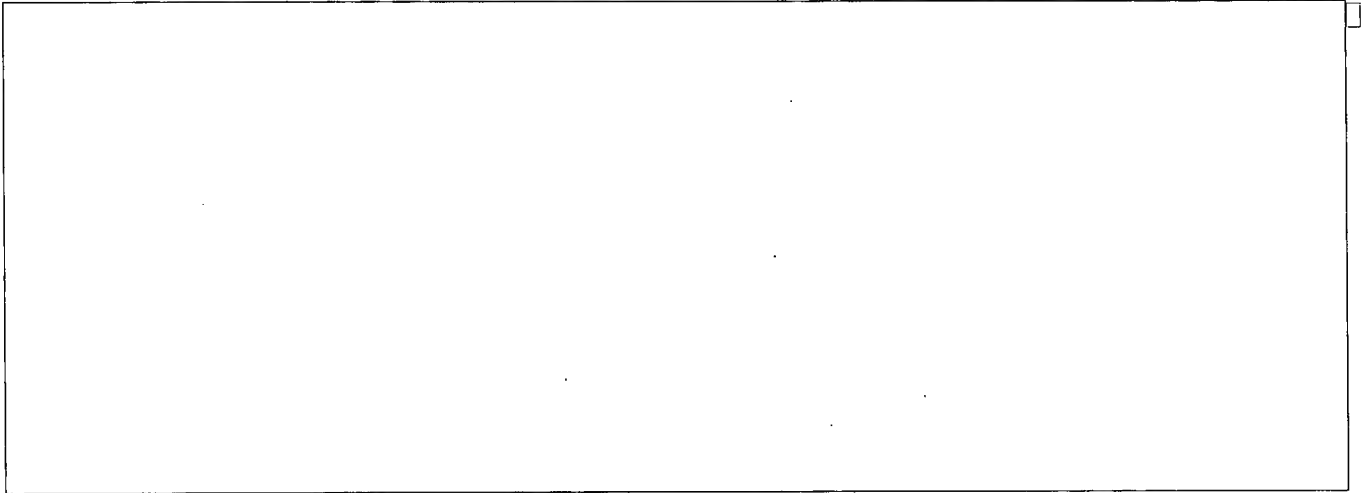
I'm seeking review and approval of the following blog post for publication on justsecurity.org in my personal capacity. As the by-line of the post suggests, I am at the Department as an unpaid intern from Yale Law School. My supervisor in L/UNA, Maegan Conklin, suggests that Brian Finucane in L/PM should provide clearance. I very much appreciate your attention, as I would like to publish the following post as soon as possible.



Post here:

**Transparency, Review, and Relief: The Far-Reaching Implications of the Kunduz Report**





y [redacted]

L/UNA  
202-647-1227

B6

SBU  
This email is UNCLASSIFIED.

**Hartmann, Lorraine (Lori)**

---

**From:** [redacted] <[redacted]@state.gov>  
**Sent:** Thursday, May 05, 2016 9:44 AM  
**To:** PA Reviews Mailbox  
**Subject:** Publishing Clearance Request -- [redacted]

B6

RELEASE IN PART  
B6

I write to request publishing clearance. Attached are the clearance form and the draft manuscript. FSI/SPAS Deans and EAP have already cleared. Thanks—[redacted]

[redacted]  
*Asia Regional Chair  
Foreign Service Institute  
U.S. Department of State  
Tel: (703) 302-6874  
[redacted]@state.gov*

This email is UNCLASSIFIED.

**Hartmann, Lorraine (Lori)**

---

**From:** PA Reviews Mailbox  
**Sent:** Friday, May 06, 2016 2:16 AM  
**To:** [REDACTED]  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Publishing Clearance Request -- [REDACTED]

RELEASE IN PART  
B6

B6

[REDACTED]

The Department has completed the review of your chapter entitled "Activism for Harmony? Immigrant Rights' Activism and Xenophobic Activism", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews.

---

**From:** [REDACTED] [mailto:[REDACTED]@state.gov]  
**Sent:** Thursday, May 05, 2016 9:44 AM  
**To:** PA Reviews Mailbox  
**Subject:** Publishing Clearance Request -- [REDACTED]

I write to request publishing clearance. Attached are the clearance form and the draft manuscript. FSI/SPAS Deans and EAP have already cleared. Thanks-- [REDACTED]

[REDACTED]  
*Asia Regional Chair  
Foreign Service Institute  
U.S. Department of State  
Tel: (703) 302-6874*

[REDACTED]

This email is UNCLASSIFIED.

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Friday, May 06, 2016 9:18 AM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Approval to publish review of Linda Risso's "Radio Wars"

RELEASE IN PART  
B6

B6

Mr.

The Department has completed the review of your book review of Linda Risso's "Radio Wars", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**From:**  [mailto:  
**Sent:** Wednesday, May 04, 2016 3:28 PM  
**To:** PA Reviews Mailbox  
**Subject:** Approval to publish review of Linda Risso's "Radio Wars"

Hello,

I am submitting the attached book review of Linda Risso's *Radio Wars: Broadcasting During the Cold War* (Routledge, 2016) for approval. It will be submitted to an academic journal titled "History."

Please let me know if you have any questions.

Sincerely,

What is the author's first and last name, current job title and office symbol?

-  Fellow/Foreign Affairs Officer, EUR/CARC

Is the author writing in his/her private capacity or official capacity?

--In private capacity.

Will the author receive remuneration outside of his/her Department of State salary for the article?

--No.

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

--Yes. It reads: "The ideas expressed are solely the author's opinions and do not represent the United States Department of State or any other agency."

Who else has cleared (your supervisor, others within the Department of State)?

--No one else has read it.

Where does the author intend to submit the article/manuscript for publication?

-- The academic journal "History" (or similar academic journal if that one declines to publish it.)

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

--No.

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Wednesday, May 11, 2016 4:12 PM  
**To:** EUR-Press; PRM-Press-DL  
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review: Seasonal Labor Migration in Rural Azerbaijan

RELEASE IN PART  
B6

EUR and PRM Colleagues,

Attached is a 12-page article entitled "Social Consequences of Seasonal Labor Migration: A Case Study from Rural Azerbaijan", written in private capacity by [REDACTED]. We would appreciate hearing from you by COB Friday, May 13. Please see the author's responses to the standard questions below for additional details.

- What is the author's first and last name, current job title and office symbol? [REDACTED] EUR Policy Advisor. S/RGA
- Is the author writing in his/her private capacity or official capacity? Private
- Will the author receive remuneration outside of his/her Department of State salary for the article? No
- Does the author intend to use a disclaimer? Yes. The opinions and conclusions expressed herein are those of the author and do not necessarily represent the views of the U.S. Department of State or any other governmental agency.
- Who else has cleared (your supervisor, others within the Department of State)? None
- Where does the author intend to submit the article/manuscript for publication? Palgrave Publishing; the chapter will be included in a volume accepted for publication in Palgrave's Pivot series, with a cross-publication in their diaspora series.
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year? Yes, although the author wrote a dissertation on Azerbaijan and its relationship with Russia, so is an academic expert on the topic.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Wednesday, May 11, 2016 7:27 AM  
**To:** [REDACTED]  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Approval to publish review of Marlene Laruelle's "Eurasianism and the European Far Right"

RELEASE IN PART  
B6

B6

Mr. [REDACTED]

The Department has completed the review of your book review of Marlene Laruelle's "Eurasianism and the European Far Right", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**From:** [REDACTED] (mailto:[REDACTED])  
**Sent:** Monday, May 09, 2016 8:07 PM  
**To:** PA Reviews Mailbox  
**Subject:** Approval to publish review of Marlene Laruelle's "Eurasianism and the European Far Right"

Hello,

I am submitting the attached book review of Marlene Laruelle's *Eurasianism and the European Far Right: Reshaping the Europe-Russia Relationship* for approval. It will be submitted to an academic journal titled "Terrorism and Political Violence."

Please let me know if you have any questions.

Sincerely,

[REDACTED]

What is the author's first and last name, current job title and office symbol?

-- [REDACTED] Fellow/Foreign Affairs Officer, EUR/CARC

Is the author writing in his/her private capacity or official capacity?

--In private capacity.

Will the author receive remuneration outside of his/her Department of State salary for the article?

--No.

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

--Yes. It reads: "The ideas expressed are solely the author's opinions and do not represent the United States Department of State or any other agency."

Who else has cleared (your supervisor, others within the Department of State)?

--No one else has read it.



Where does the author intend to submit the article/manuscript for publication?

-- The academic journal "Terrorism and Political Violence" (or similar academic journal if that one declines to publish it.)

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

--No.

**Hartmann, Lorraine (Lori)**

---

**From:** Laine, Andrew J  
**Sent:** Thursday, May 12, 2016 11:23 AM  
**To:** McKeeby, David I  
**Cc:** PA Reviews Mailbox  
**Subject:** Re: David - [REDACTED] Submissions Question

RELEASE IN PART  
B5, B6

B6

Yes, I clear. Thanks

Sent from my BlackBerry 10 smartphone.

---

**From:** McKeeby, David I  
**Sent:** Thursday, May 12, 2016 6:22 PM  
**To:** Laine, Andrew J  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: David - [REDACTED] Submissions Question

Sure, he will do that. With that, is PA good to go?

**David I. McKeeby**  
Office of Congressional & Public Affairs  
Bureau of Political-Military Affairs (PM/CPA)  
U.S. Department of State

☎ Phone: 202.647.8757 | 📠 BlackBerry: [REDACTED]  
✉ e-mail: [mckeebydi@state.gov](mailto:mckeebydi@state.gov) | 🌐 Web: [www.state.gov/t/pm/](http://www.state.gov/t/pm/) | Twitter: [@StateDeptPM](https://twitter.com/StateDeptPM)

Stay connected with *State.gov*:



This email is UNCLASSIFIED.

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**From:** Laine, Andrew J [mailto:LaineAJ2@state.gov]  
**Sent:** Thursday, May 12, 2016 11:20 AM  
**To:** McKeeby, David I  
**Cc:** PA Reviews Mailbox  
**Subject:** Re: David - [REDACTED] Submissions Question

Hi Dave

The women in combat article is fine - good to go.

[REDACTED]

B5

B5

Thanks Andy

Sent from my BlackBerry 10 smartphone.

**From:** McKeeby, David I  
**Sent:** Thursday, May 12, 2016 5:44 PM  
**To:** Laine, Andrew J  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: David [REDACTED] Submissions Question

B6

Hey there, Andy:

Actually, [REDACTED] our senior Navy officer in PM/ISO, has reviewed and cleared for Navy. We have also flagged for OSD Press who have no objections as long as it has a standard caveat and Navy is ok.

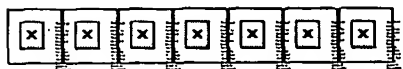
Are we all set?

Please advise and thanks,  
Dave

**David I. McKeeby**  
Office of Congressional & Public Affairs  
Bureau of Political-Military Affairs (PM/CPA)  
U.S. Department of State

☎ Phone: 202.647.8757 | 📠 BlackBerry: [REDACTED]  
✉ e-mail: [mckeebydi@state.gov](mailto:mckeebydi@state.gov) | 🌐 Web: [www.state.gov/t/pm/](http://www.state.gov/t/pm/) | Twitter: [@StateDeptPM](https://twitter.com/StateDeptPM)

Stay connected with *State.gov*:



This email is UNCLASSIFIED.

**From:** Laine, Andrew J [<mailto:LaineAJ2@state.gov>]  
**Sent:** Thursday, May 12, 2016 2:16 AM  
**To:** McKeeby, David I  
**Cc:** PA Reviews Mailbox  
**Subject:** David [REDACTED] Submissions Question

Hi David:

Greeting from Athens! Hope you are well.

I have a question on the two [ ] submissions received yesterday. They have all the appropriate State clearances, so there is no issue there.

B6

However, both articles are clearly DOD issues. So, my question is - Do you know if these articles have been reviewed by DOD?

If they have been cleared, please let us know the name/office/contact information for the reviewing official and we can complete our review process.

If DOD has not cleared, then PA will need to send it to DOD for review.

Just let us know.

Best,

Andy

**Hartmann, Lorraine (Lori)**

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**From:** Lueders, Scott C <LuedersSC@state.gov>  
**Sent:** Friday, May 13, 2016 11:09 AM  
**To:** PA Reviews Mailbox; INL-PAPD  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: WHA, INL Publication Review - Assassination of Municipal Mayors: Selective Violence during México's Conflict

RELEASE IN PART  
B6

Clear for INL. Apologies for the delay. (INL/WHP Lisa Spratt)

Thank you,

Scott

Scott Lueders  
INL/PAPD  
202-634-1148

---

**From:** PA Reviews Mailbox [mailto:pareviews@state.gov]  
**Sent:** Friday, May 13, 2016 2:48 AM  
**To:** INL-PAPD  
**Cc:** PA Reviews Mailbox  
**Subject:** FW: WHA, INL Publication Review - Assassination of Municipal Mayors: Selective Violence during México's Conflict

Good Morning INL Colleagues:

We are checking on the status of this request. WHA has already cleared.

Thank you.

PA Reviews

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**From:** PA Reviews Mailbox  
**Sent:** Monday, May 09, 2016 7:15 AM  
**To:** WHA-Press; INL-PAPD  
**Cc:** PA Reviews Mailbox  
**Subject:** WHA, INL Publication Review - Assassination of Municipal Mayors: Selective Violence during México's Conflict

WHA and INL Colleagues:

Attached is a 29-page academic paper entitled *Assassination of Municipal Mayors: Selective Violence during México's Conflict*, co-authored in a private capacity by [REDACTED] a desk officer in DS/T/TPS. We would appreciate hearing from you by COB Thursday, May 12. Please see the author's responses to the standard questions below for additional details. B6

- What is the author's first and last name, current job title and office symbol?
  - [REDACTED] Desk Officer, DS/T/TPS
- Is the author writing in his/her private capacity or official capacity?

- **Private capacity**
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.
  - **No**
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?
  - **Yes, The views expressed in this article are those of the author, and do not necessarily reflect those of the U.S. Department of State or the U.S. Government.**
- Who else has cleared (your supervisor, others within the Department of State)?
  - **DS/PA**
- Where does the author intend to submit the article/manuscript for publication?
  - **To be submitted for academic peer review**
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?
  - **No, the paper in no way relates to my current duties.**

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:** [redacted] <[redacted]@state.gov>  
**Sent:** Tuesday, May 17, 2016 6:19 PM  
**To:** PA Reviews Mailbox  
**Cc:** Leslie, Rachel D  
**Subject:** Re: Review Status: Seasonal Labor Migration in Rural Azerbaijan

RELEASE IN PART  
B6

B6

Dear PA Reviews,

Thank you very much for the below message.

Best,

[redacted]

Sent from my iPhone

On May 16, 2016, at 2:32 PM, PA Reviews Mailbox <[pareviews@state.gov](mailto:pareviews@state.gov)> wrote:

[redacted]

The Department has completed the review of this article, "Social Consequences of Seasonal Labor Migration: A Case Study from Rural Azerbaijan", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are yours alone and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

---

**From:** PA Reviews Mailbox  
**Sent:** Thursday, May 19, 2016 9:23 AM  
**To:** [REDACTED]  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Re: Action Request - Clearing 2nd Article for Publishing w/Dept of State (184th A-100 FSO [REDACTED])

RELEASE IN PART  
B6

B6

PA/FO Andrew Laine and M/DGHR Brenda Greenberg reviewed the article and have no objections with this publication. Thank you.

---

**From:** [REDACTED] [mailto:[REDACTED]@state.gov]  
**Sent:** Wednesday, May 18, 2016 11:08 AM  
**To:** PA Reviews Mailbox  
**Subject:** Re: Action Request - Clearing 2nd Article for Publishing w/Dept of State (184th A-100 FSO, [REDACTED])

Dear PA Review Colleagues,

I hope this e-mail finds you well!

I am a new FSO with the 184<sup>th</sup> A-100 class and am reaching out to you all to clear an article (attached) that American Councils will publish on their website about my Summer 2011 Fulbright-Hays Fellowship in Tbilisi, Georgia.

They are doing Alumni spotlights and have chosen me for their selection. I am sending you the version for your review and clearance.

Kind regards,

[REDACTED]  
[REDACTED]

This email is UNCLASSIFIED.



**Hartmann, Lorraine (Lori)**

---

**From:** PA Reviews Mailbox  
**Sent:** Thursday, May 19, 2016 7:28 AM  
**To:** [REDACTED]  
**Cc:** Schroeder, Addie B; PA Reviews Mailbox  
**Subject:** RE: Clearance for Time-Sensitive Freelance Story Publication

RELEASE IN PART  
B6

B6

Dear [REDACTED]

Thank you for your e-mail. As noted in 3 FAM 4174.3, the final reviewing office for employees overseas is the Chief of Mission or his/her designee and not PA.

Once the COM clears, you may proceed. Please note that you will need to use the standard disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this author are those of the author and not necessarily those of the U.S. Department of State or U.S. Government.

Please let us know if you have any further questions.

Sincerely,

PA Reviews

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**From:** [REDACTED] [mailto:[REDACTED]@state.gov]  
**Sent:** Thursday, May 19, 2016 4:11 AM  
**To:** PA Reviews Mailbox  
**Cc:** Schroeder, Addie B  
**Subject:** Clearance for Time-Sensitive Freelance Story Publication  
**Importance:** High

Dear Sir or Madam:

My IO at most, Addie Schroeder, reviewed and cleared the attached, time-sensitive freelance article for publication. I was then advised to submit the story to your office directly.

Attached is the Spanish version, which is pending publication in El Nuevo Herald (Miami), along with an English translation.

As an EFM at post who depends on maintaining good relationships with editors for work after this job ends, I ask with the utmost respect that this article be cleared by your office as soon as possible. The newspaper is already waiting for my response for OK to publish and I am afraid that window will close soon.

Thank you for your kind understanding. I am available should you have any questions.

Yours,

[REDACTED]

Sección Política/ Political Section  
Embajada de EE.UU./ U.S. Embassy – Madrid  
Tel.: +34-91-587-2388

Cel. [REDACTED]  
[REDACTED]

B6

PERSONAL

This email is UNCLASSIFIED.

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Thursday, May 19, 2016 12:03 PM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Review Status: Historical Development of DOS Counternarcotics Policy in Mexico

RELEASE IN PART  
B6

B6

Mr.

The Department has completed the review of your article, From "Wall of Suspicion" to "Atmosphere of Cooperation": The Institutionalization of Department of State Drug Diplomacy toward Mexico, 1969-1989, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are yours alone and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

---

**From:** PA Reviews Mailbox  
**Sent:** Thursday, May 19, 2016 8:09 AM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review - Postoperative Complications Following Surgery Abroad

RELEASE IN PART  
B6

B6

Dear Dr.

The Department has completed the review of your article "Postoperative Complications Following Surgery Abroad", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

RELEASE IN PART  
B6

Hartmann, Lorraine (Lori)

**From:** PA Reviews Mailbox <pareviews@state.gov>  
**Sent:** Thursday, May 19, 2016 8:06 AM  
**To:** PA.Reviews Mailbox  
**Subject:** (\* Postoperative Complications Fo \*)



## Clearance Request

Request Date: 5/19/2016

Request Title: (\* Postoperative Complications Fo \*)

Contact Name: [REDACTED]

You have been selected by the PA Reviews Mailbox to provide input to whether this Request is **CLEARED OR DENIED**.

[View Request](#)

B6

**Hartmann, Lorraine (Lori)**

---

**From:**  < >  
**Sent:** Monday, May 23, 2016 7:59 PM  
**To:** PA Reviews Mailbox  
**Subject:** For Approval: "Democratization of Intelligence" Book Review

B6

RELEASE IN PART  
B6

**Follow Up Flag:** Follow up  
**Flag Status:** Completed

Hello,

I am submitting the attached book review of *Democratization of Intelligence* for approval. It will be sent to *History*, an academic journal.

Please let me know if you have any questions.

Sincerely,

What is the author's first and last name, current job title and office symbol?

-- Fellow/Foreign Affairs Officer, EUR/CARC

Is the author writing in his/her private capacity or official capacity?

--In private capacity.

Will the author receive remuneration outside of his/her Department of State salary for the article?

--No.

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

--Yes. It reads: "The ideas expressed are solely the author's opinions and do not represent the United States Department of State or any other agency."

Who else has cleared (your supervisor, others within the Department of State)?

--No one else has read it.

Where does the author intend to submit the article/manuscript for publication?

-- *History* (or similar academic journal)

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

--No.

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Monday, May 23, 2016 6:48 AM  
**To:** DS-Press; WHA-Press; Greenberg, Brenda L  
**Cc:** PA Reviews Mailbox  
**Subject:** DS, WHA, DGHR Publication Review - Delirious in DF

RELEASE IN PART  
B6

Brenda, DS, WHA Colleagues:

Attached is an 8-page article entitled "*Delirious in DF*", written in private capacity by [redacted] a security engineering officer currently on home leave. We would appreciate hearing from you by **COB Thursday, May 26**. Please see Mr. [redacted] responses to the standard questions below for additional details. B6

**QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE ARTICLES/MANUSCRIPTS PUBLISHED**

What is the author's first and last name, current job title and office symbol?

- [redacted] Security Engineering Officer currently on home leave

Is the author writing in his/her private capacity or official capacity?

- Private capacity.

Will the author receive remuneration outside of his/her Department of State salary for the article?

- Nothing has been noted but payment may come based on sales

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

- Yes, standard boilerplate: "The views expressed in this article are those of the author, and do not necessarily reflect those of the U.S. Department of State or the U.S. Government."

Who else has cleared (your supervisor, others within the Department of State)?

- No one else.

Where does the author intend to submit the article/manuscript for publication?

- Tales from a Small Planet, website)

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

- No.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Monday, May 23, 2016 8:16 AM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review: No End in Sight: Violence Against Secularists in Bangladesh Continues

RELEASE IN PART  
B6

B6

Mr.

The Department has completed the review of your article "No End in Sight: Violence Against Secularists in Bangladesh Continues", pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Attached are some suggested tracked edits and comments for your consideration.

Thank you,

PA Reviews

**From:**  [mailto:  
**Sent:** Thursday, May 12, 2016 8:34 PM  
**To:** PA Reviews Mailbox  
**Subject:** Article for Review: "No End in Sight"

Hello,

I am submitting the attached article, "No End in Sight: Violence Against Secularists in Bangladesh Continues," for approval. It will be submitted to a philosophy magazine, such as "Free Inquiry."

Please let me know if you have any questions.

Sincerely,

What is the author's first and last name, current job title and office symbol?

-- Fellow/Foreign Affairs Officer, EUR/CARC

Is the author writing in his/her private capacity or official capacity?

--In private capacity.

Will the author receive remuneration outside of his/her Department of State salary for the article?

--No.

Does the author intend to use a disclaimer? If so, how will the disclaimer read?

--Yes. It reads: "The ideas expressed are solely the author's opinions and do not represent the United States Department of State or any other agency."



Who else has cleared (your supervisor, others within the Department of State)?

--No one else has read it.

Where does the author intend to submit the article/manuscript for publication?

-- The magazine "Free Inquiry" (or similar philosophy magazine)

Does the paper relate in any way to the author's current duties or duties he/she had over the last year?

--No.

**Hartmann, Lorraine (Lori)**

---

**From:** PA Reviews Mailbox  
**Sent:** Wednesday, May 25, 2016 7:46 AM  
**To:** [REDACTED]  
**Cc:** PA Reviews Mailbox  
**Subject:** RE: Review of manuscript for publication

RELEASE IN PART  
B6

B6

Dear [REDACTED]

Please accept our apologies for the delay in responding to your e-mail. We have had technical problems with our mailbox this week.

Given that you co-authored this paper, submitted it for publication and had it accepted before you started working at the State Department, and that you do not include any affiliation with the State Department in the article, it does not require PA review.

Please let us know if you have any further questions.

Thank you,

Andy Laine  
PA Reviews

---

**From:** [REDACTED] [mailto:[REDACTED]@state.gov]  
**Sent:** Monday, May 23, 2016 5:22 PM  
**To:** PA Reviews Mailbox  
**Subject:** Review of manuscript for publication

Dear PA Review Office,

I recently started a fellowship here at State, and just received the final proofs for a manuscript that I am a second author on. I did the work at my previous employer (Princeton University) and as a result, am listing Princeton as my affiliate organization for the paper. In other words, I am claiming no affiliation with the State Department for this manuscript. The manuscript is a basic science research piece that uses statistical models to examine the effect of climate on human migration in South Africa. It has been accepted by the peer-review journal, Global Environmental Change.

I am not clear on whether I need to go through a formal PA Review process. In addition, as it is in the absolute final stage, the journal is requesting that we sign off on the proofs in a very short timeframe (a matter of days).

I have attached a copy of the proofs to this e-mail. I very much appreciate your guidance with this matter.

Sincerely,

[REDACTED]

---

[REDACTED]  
Foreign Affairs Officer / AAAS Science and Technology Fellow  
U.S. Department of State  
Office of Environmental Quality and Transboundary Issues (OES/EQT)

Tel. +1.202.647.1410

SBU

This email is UNCLASSIFIED.

**Hartmann, Lorraine (Lori)**

---

**From:** [redacted] <[redacted]@state.gov>  
**Sent:** Wednesday, May 25, 2016 11:42 AM  
**To:** PA Clearances Mailbox  
**Subject:** Question regarding clearance

B6

RELEASE IN PART  
B6

Greetings,

I have a question regarding academic articles I have written prior to joining the State Department. I have a book chapter on public diplomacy that was written and accepted for publication before joining the State Department, but is still at the publishing company and is pending publication. The article byline does not include my State Department affiliation, but my former affiliation. Does this writing still require clearance?

Thanks,

[redacted]

[redacted]

Chair, Diplomatic Mastery Program  
Foreign Service Institute, US Department of State  
Office: 703-302-6768; Mobile: [redacted]  
Email: [redacted]@state.gov

This email is UNCLASSIFIED

**Hartmann, Lorraine (Lori)**

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**From:** PA Reviews Mailbox  
**Sent:** Tuesday, May 31, 2016 7:59 AM  
**To:**   
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review: "Democratization of Intelligence" Book Review

RELEASE IN PART  
B6

B6

Mr.

The Department has completed the review of your "Democratization of Intelligence" book review, pursuant to 3 FAM 4170 and determined that you may proceed, provided that you include the disclaimer required for personal capacity public communications (see 3 FAM 4176.1), that the views expressed in this paper are those of the author and not necessarily those of the U.S. Government.

Thank you,

PA Reviews

**Hartmann, Lorraine (Lori)**

**From:** PA Reviews Mailbox  
**Sent:** Tuesday, May 31, 2016 2:22 PM  
**To:** NEA-Press  
**Cc:** PA Reviews Mailbox  
**Subject:** Publication Review: Israeli-Jordanian relations during the 1950-60s

<b>RELEASE IN PART</b> <b>B6</b>
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NEA Colleagues:

Attached is a 400-page manuscript entitled "The Limits of the Land: How the Struggle for the West Bank Shaped the Arab-Israeli Conflict", written in private capacity by [REDACTED]. The manuscript is historical in nature and based on declassified documents. We would appreciate hearing from you by **COB Tuesday, June 21**. Please see the author's responses to the standard questions below for additional details.

B6

### QUESTIONS TO ASK AUTHORS WHO WISH TO HAVE MANUSCRIPTS PUBLISHED

- What is the author's first and last name, current job title and office symbol?  
[REDACTED] Historians Office
- Is the author writing in his/her private capacity or official capacity?  
Private capacity.
- Will the author receive remuneration outside of his/her Department of State salary for the article? Note L/Ethics will need to clear if you will receive remuneration.  
The author will receive remuneration for writing this material outside his Department of State salary.
- Does the author intend to use a disclaimer? If so, how will the disclaimer read?  
"Finally, while this book began its life as a doctoral dissertation, it was completed after I began working for the U.S. Department of State. The views expressed here are my own, and do not necessarily reflect those of the Department of State or the United States government. All U.S. documents cited here are declassified and available to any researcher."
- Who else has cleared (your supervisor, others within the Department of State)?  
My supervisor, David Nickles.
- Where does the author intend to submit the article/manuscript for publication?  
Indiana University Press.
- Does the paper relate in any way to the author's current duties or duties he/she had over the last year?  
The book, like my work over the past year, deals with the Middle East and specifically with Arab-Israeli relations. However, it deals with events in the 1950s and 1960s, which I have not dealt with in an official capacity.

PA is charged with reviewing documents on subjects of official concern written by State Department employees in their private capacities. In doing so, we may consult with relevant bureaus.

Under 3 FAM 4170, there are three circumstances in which an employee's personal capacity public communication may be prohibited:

1. If it contains classified information;
2. If it contains information not releasable under FOIA (e.g., protected employee information, law enforcement information, etc.);
3. If it is "highly likely to result in serious adverse consequences to the efficiency or mission of the Department."

If you believe the author's communication meets any of the above criteria, please provide a detailed explanation.

Thank you,  
PA Reviews

**Hartmann, Lorraine (Lori)**

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**From:**  < >  
**Sent:** Tuesday, May 31, 2016 9:23 PM  
**To:** PA Reviews Mailbox  
**Subject:** Does this Piece Need a Pre-publication Review?

RELEASE IN PART  
B6

B6

Dear PA colleagues,

Attached, please find an essay I wrote after a panel I chaired on the subject sponsored by the Public Diplomacy Council, an academic NGO, in fall of 2013.

They want to include it as a chapter in a book. Erring on the side of caution, I told them I would need to submit it to PA.

The creation of the current Religion and Global Affairs structure is relatively current and what I've written is based entirely on public sources. I cover the creation and the speeches given at the dedication and the structure. I know little about the inner workings of this new structure and have not written about the way it has involved or the way it works beyond the bare facts of its creation.

I have not been a part of any related structure since my last job heading the Office of International Religious Freedom in 2009-2010 at which point I retired from the Foreign Service after a 30 year career. I have done WAE work but not in any field related to the religion and diplomacy theme.

Some of the earlier anecdotes from my career contained in the piece have been cleared by PA in the context of a chapter I authored almost 10 years ago. The current ECA programs I know about from my support of Imam Bashar's work, rather than by direct involvement in my career or inside knowledge of the Department. Again, all of this information is available on his websites and in his literature.

My editor was surprised I felt I needed to submit the piece but I feel I do. She is hoping that we could have your approval or a nod that nothing here is sensitive by a week from today. I know that may not be realistic, but I'm hoping someone could give it a quick read to see if anything needs closer examination.

Many thanks for your help.

Bethesda, Maryland